

### III.1 Compact metric space

**정의 1** A sequence in a space  $X$  is a function  $x : \mathbb{N} \rightarrow X$  usually written as  $(x_n)_{n=1}^{\infty}$  where  $x_n = x(n)$ .

**정의 2**  $x_0 \in X$  is a limit point of  $(x_n)$  if  $\forall U$  : open neighborhood of  $x_0$ ,  $\exists N \in \mathbb{N}$  such that  $n \geq N \Rightarrow x_n \in U$

**정의 3**  $x_0$  is a cluster point of  $(x_n)$  if  $\forall U$  : open neighborhood of  $x_0$  and  $\forall N \in \mathbb{N}$ ,  $\exists n > N$  such that  $x_n \in U$ .

**정의 4** If  $\mu : \mathbb{N} \rightarrow \mathbb{N}$  is a monotone increasing function (i.e.,  $n > m \Rightarrow \mu(n) > \mu(m)$ ), then  $x \circ \mu : \mathbb{N} \rightarrow X$  is called a subsequence of  $(x_n)$

**Note** Let  $X$  be a metric space. Then  $x_0$  is a cluster point of  $(x_n)$  iff  $x_0$  is a limit point of a subsequence.

(exercise)

#### Sequential compactness

**정의 5** A metric space is sequentially compact if every sequence has a convergent subsequence.(i.e., every sequence has a cluster point)

**정리 1** *If  $X$  is a metric space,  $X$  is sequentially compact  $\Leftrightarrow X$  has BWP.*

**증명**

( $\Rightarrow$ ) Let  $A = \{x_1, x_2, \dots\}$  be a countably infinite set in  $X$ . Then the sequence  $(x_n)$  has a cluster point  $x$  by sequential compactness and  $x$  is clearly an accumulation point of  $A$ .

( $\Leftarrow$ ) Let  $(x_n)$  be a sequence. (i) If  $\{x_n\}$  is an infinite set, then by BWP there exists an accumulation point  $x$  of  $\{x_n\}$ , and  $x$  is a cluster point of  $(x_n)$ . (ii) If  $\{x_n\}$  is a finite set, then infinitely many  $x_i$ 's are identical points and such points constitute a convergent subsequence.  $\square$

#### Second countable metric space

**정의 6** A space  $X$  is separable if it has a countable dense subset.

**정리 2** *A metric space  $X$  is separable  $\Leftrightarrow X$  is second countable.*

**증명**

( $\Leftarrow$ ) Let  $\{O_n | n = 1, 2, \dots\}$  be a countable basis of  $X$ . Choose a point  $x_n$  from each  $O_n$ . Then  $\{x_n\}$  is a countable dense subset of  $X$ .

( $\Rightarrow$ ) Let  $S$  be a countable dense subset. Then  $\{B_r(s) | s \in S, r \in \mathbb{Q}\}$  becomes a countable basis. □

### Example

1.  $\overline{\mathbb{Q}} = \mathbb{R}$ ,  $\mathbb{Q}$ : countable  $\Rightarrow$   $\mathbb{R}$ : separable.

### Totally bounded metric space

**정의 7** A subset  $A$  of a metric space  $X$  is called an  $\epsilon$ -net if the following three conditions hold.

- (i)  $A$  is a finite set.
- (ii)  $X = \bigcup_{a \in A} B_\epsilon(a)$ .
- (iii)  $d(a, b) \geq \epsilon, \forall a, b \in A$  with  $a \neq b$ .

**정의 8** A metric space  $X$  is said to be totally bounded if it has an  $\epsilon$ -net for  $\forall \epsilon > 0$ .

**remark**  $X$  : totally bounded  $\not\Leftarrow$   $X$  : bounded  
 $\Rightarrow$

**명제 3** A metric space  $X$  is totally bounded  $\Rightarrow$   $X$  is separable.

**증명**

Let  $A_n$  be a  $\frac{1}{n}$ -net. Then  $A = \bigcup_{n=1}^{\infty} A_n$  is a countable dense subset of  $X$ . □

**명제 4** If a metric space  $X$  has BWP, then it is totally bounded.

**증명**

Choose a point  $a_1 \in X$ . If  $B_\epsilon(a_1)$  covers  $X$ ,  $\{a_1\}$  is a  $\epsilon$ -net. If it does not, choose  $a_2 \in X - B_\epsilon(a_1)$ , and consider  $\{B_\epsilon(a_1), B_\epsilon(a_2)\}$ . If this covers  $X$ , then this is an  $\epsilon$ -net. If it does not, choose  $a_3 \in X - (B_\epsilon(a_1) \cup B_\epsilon(a_2))$ , and consider  $\{B_\epsilon(a_1), B_\epsilon(a_2), B_\epsilon(a_3)\}, \dots$ , and so on.

(Claim) This process stops in a finite step to give an  $\epsilon$ -net.

Suppose not. Then we obtain an infinite set  $A = \{a_1, a_2, a_3, a_4, \dots\}$  with the property that  $d(a_i, a_j) \geq \epsilon$  if  $i \neq j$  by construction. Also, by BWP,  $A$  has an

accumulation point  $a \in X$ . Now  $B_{\epsilon/2}(a)$  contains infinitely many  $a_i$ 's and this is a contradiction to the fact that  $d(a_i, a_j) \geq \epsilon$  for  $i \neq j$ .  $\square$

### Homework

1. Is there a separable metric space which is not totally bounded?
2. Is there a totally bounded metric space which does not satisfy BWP?

**정리 5** *If  $X$  is a metric space, then  $X$  is countably compact  $\Leftrightarrow X$  is compact.*

### 증명

( $\Leftarrow$ ) Clear

( $\Rightarrow$ ) Countably Compact  $\Rightarrow$  BWP  $\Rightarrow$  Totally bounded  $\Rightarrow$  Separable  $\Rightarrow$  2nd countable. Let  $\mathcal{O} = \{O_n\}$  be a countable basis and  $\mathcal{U}$  be an open covering of  $X$ . Then  $U \in \mathcal{U}$  is a union of basic open sets in  $\mathcal{O}$ . Since  $\mathcal{O}$  is a countable basis of  $X$ ,  $\mathcal{U}$  has a countable subcovering and hence has a finite subcovering by countable compactness.  $\square$

**remark** (Summary for a metric space)

Compact  $\Leftrightarrow$  Countably compact  $\Leftrightarrow$  BWP  $\Leftrightarrow$  Sequentially compact  
 $\Downarrow$   
 Totally Bounded  
 $\Downarrow$   
 Separable  $\Leftrightarrow$  2nd countable

**정리 6** (*Lebesgue covering lemma*) *In a compact metric space  $X$ , every open covering  $\mathcal{U}$  has a Lebesgue number. i.e.,  $\exists \epsilon > 0$  depending only on  $\mathcal{U}$  such that  $\forall x \in X, \exists U \in \mathcal{U}$  with  $B_\epsilon(x) \subset U$*

### 증명

$B_a \subset X$  is called a big ball if it is not contained in any  $U \in \mathcal{U}$ . Let  $A = \{a \in \mathbb{R} \mid B_a(x) \text{ is a big ball for some } x \in X\}$  and we'll show that  $\inf A > 0$ .

Suppose  $\inf A = 0$ , then  $\forall n, \exists$  a big ball  $B_a(x_n)$  such that  $0 < a < 1/n$ . Since  $X$  is sequentially compact,  $(x_n)$  has a cluster point  $x$ . Hence  $(x_n)$  has a subsequence converging to  $x$ . Now that  $\mathcal{U}$  is an open covering,  $\exists U \in \mathcal{U}$  such that  $x \in U$  and  $B_\delta(x) \subset U$  for some  $\delta > 0$ . Choose sufficiently large  $n$  so that  $x_n \in B_{\delta/2}(x)$  and  $\frac{1}{n} < \frac{\delta}{2}$ . Then  $B_a(x_n) \subset B_{\delta/2}(x) \subset U$ . We reach a contradiction.  $\square$

**따름정리 7** *Let  $f : X \rightarrow Y$  be a continuous function between metric spaces. If  $X$  is compact, then  $f$  is uniformly continuous.*

**증명**

Note that  $\mathcal{U} = \{f^{-1}(B_\epsilon(y)) \mid y \in Y\}$  is an open covering of  $X$ . Apply the Lebesgue covering lemma. □