

## The $\eta$ -invariant of hyperbolic 3-manifolds\*

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### Introduction

Let  $M^3$  be a compact oriented Riemannian manifold of dimension 3. Consider the operator  $A$  on even forms on  $M$ ,  $\Omega^0 + \Omega^2$ , defined on  $\Omega^{2p}$  ( $p=0, 1$ ) by

$$A = (-1)^p(*d - d*).$$

The eigenvalues  $\{\lambda\}$  of  $A$  are all real and can be either positive or negative. In [1], Atiyah, Patodi and Singer have defined

$$\eta(s) = \sum_{\lambda \neq 0} (\text{sign } \lambda) |\lambda|^{-s}.$$

In [1] it is proved that  $\eta(s)$  has a meromorphic continuation to the entire complex plane and does not have a pole at zero. It follows that  $\eta(0)$  is well-defined. This value is the  $\eta$ -invariant  $\eta(M)$  of  $M$ .

**Theorem** (Atiyah-Patodi-Singer [1]). *Let  $W$  be a 4-dimensional compact oriented Riemannian manifold with boundary  $M$  and assume that, near  $M$ , it is isometric to a product. Then*

$$\eta(M) = \frac{1}{3} \int_W P_1 - \text{Sign}(W),$$

where  $\text{Sign}(W)$  is the signature of the non-degenerate quadratic form defined by the cup product on the image  $H^2(W, M)$  in  $H^2(W)$  and  $P_1$  is the first Pontrjagin form of the Riemannian metric.

In this paper, we use the formula in the above theorem to study  $\eta(M)$  for hyperbolic 3-manifolds and calculate the right-hand side of the formula explicitly, in a special case. Our main task is to represent the integral of  $P_1$  by some more tractable ones.

In Sect. 1, we deal with general compact oriented Riemannian manifolds  $M$  of dimension 3. Let  $F(M)$  be the  $SO(3)$  oriented frame bundle of  $M$ . Let  $L$  be a

\* Dedicated to Professor M. Nakaoka on his sixtieth birthday

link in  $M$ . Let  $\alpha$  be an orthonormal framing on  $M$  such that at each point of  $L$  the first vector of  $\alpha$  is tangent to  $L$ . Let  $\mathcal{F}$  be an orthonormal framing defined on  $M - L$  which has a special singularity at  $L$  (see Sect. 1, this notion is due to Meyerhoff [10]). We define the torsion number of  $\alpha$  along  $L$ ,  $\tau(L, \alpha)$ , and the difference degree,  $d(\mathcal{F}, \alpha)$ . The former is a real number and the latter an integer. Let  $Q$  be the Chern-Simons form on  $F(M)$  of the Riemannian metric. Let  $s: M - L \rightarrow F(M)$  be the section defined by  $\mathcal{F}$ . Then the integral  $\int_{s(M-L)} Q$  is defined.

Finally let  $\delta(M, \alpha)$  be the Hirzebruch invariant of the framed 3-manifold  $(M, \alpha)$  defined by  $\delta(M, \alpha) = \frac{1}{3} P_1[W] - \text{Sign}(W)$ , where  $W$  is a compact oriented 4-manifold with boundary  $M$  and  $P_1[W]$  is the relative Pontrjagin number of  $W$  with respect to the framing  $\alpha$  [9].

Using these notions, we prove in Sect. 1,

**Theorem 1.** *Let  $M$  be a compact oriented Riemannian manifold of dimension 3. Let  $L$  be a link in  $M$ . Let  $\alpha$  be an orthonormal framing on  $M$  such that, at each point of  $L$ , the first vector of it is tangent to  $L$ . Let  $\mathcal{F}$  be an orthonormal framing on  $M - L$  having a special singularity at  $L$ . Then*

$$\eta(M) = \frac{1}{3} \int_{s(M-L)} Q - \frac{1}{6\pi} \tau(L, \alpha) + \frac{2}{3} d(\mathcal{F}, \alpha) + \delta(M, \alpha).$$

The precise definitions of all the terms in the right hand side of the above equation will be given in Sect. 1. We note that the last two terms in the equation have nothing to do with the metric.

From Sect. 2 on, we restrict our attention to hyperbolic 3-manifolds. Our method is as follows. Fix an oriented complete hyperbolic 3-manifold  $N$  of finite volume with  $h$  cusps ( $h \geq 1$ ). By Thurston [14], deforming suitably the hyperbolic structure on  $N$  and completing it, we obtain a family of infinitely many closed hyperbolic 3-manifolds  $\{M\}$  (hyperbolic Dehn surgery). Topologically each  $M$  is obtained from  $N$  by attaching  $h$  solid tori to the  $h$  ends of  $N$ , and the corresponding  $h$  core curves of the solid tori are short simple geodesic loops in  $M$  and form a link  $\gamma$  in  $M$ . We want to apply Theorem 1 to the  $\eta$ -invariant of  $M$ . At first we must choose a link  $L$  in  $M$  and a framing  $\mathcal{F}$  on  $M - L$  having a special singularity at  $L$ . It would seem natural to set  $L = \gamma$ . Unfortunately in general,  $M - \gamma$  does not admit such a framing. However adding some extra loops  $m = \cup m_i$  to  $\gamma$  and setting  $L = \gamma \cup m$ , we can construct such a framing  $\mathcal{F}$  on  $M - L$ . Then choosing a suitable framing  $\alpha$  on  $M$  we can apply Theorem 1 to  $\eta(M)$ . As noted before, the last two terms of the equation in Theorem 1 are purely topological and may be calculated by the functorial method (see Sect. 5, for example). The calculation of  $\tau(L, \alpha)$  is local and comparatively easy. What is  $\int_{s(M-L)} Q$ ?

In Sects. 3 and 4, we study this integral.  $M - \gamma$  is  $N$  with deformed hyperbolic structure,  $M - L = N - m$  and  $\int_{s(M-L)} Q = \int_{s(N-m)} Q$ . We show that the link  $m$  in  $N$  can be chosen independently of  $M$  (Proposition 3.1, Sect. 3). Let  $U$  be the deformation space of the hyperbolic structure on  $N$ . For  $u \in U$ , we denote the corresponding hyperbolic manifold by  $N_u$ . If we choose a framing  $\mathcal{F}_u$  on  $N_u - m$ ,

the integral  $\int_{s(N_u-m)} Q$  is defined, where  $s: N_u-m \rightarrow F(N_u)$  is the section defined by  $\mathcal{F}_u$ . We choose a family of framings  $\{\mathcal{F}_u\}_{u \in U}$  such that  $\mathcal{F}_u$  varies in a good manner on a neighborhood of the end of  $N$  when  $u$  varies through  $U$ , each  $\mathcal{F}_u$  has a special singularity at  $m$  and  $\int_{s(N_u-m)} Q$  defines a real-valued smooth function on  $U$ . Here ‘a good manner’ means the following: if  $N_u$  can be completed to a closed hyperbolic 3-manifold  $M_u$  by adjoining  $h$  geodesic loops  $\gamma$  to the end of  $N_u$ , then the framing  $\mathcal{F}_u$  on  $N_u-m = M_u - (\gamma \cup m)$  has a special singularity at  $L = \gamma \cup m$ .

The deformation space  $U$  has a natural complex structure (Sect. 2 for a brief summary). We express the function  $\int_{s(N_u-m)} Q$  on  $U$  as the imaginary part of some analytic function  $f(u)$  on  $U$ . There is a bi-invariant closed analytic differential form  $C$  of degree 3 on the complex Lie group  $PSL_2(\mathbb{C})$  such that the imaginary part of  $C$  is the Chern-Simons form  $Q$  (regarding  $PSL_2(\mathbb{C})$  as the  $SO(3)$  frame bundle of hyperbolic 3-space  $H^3$ ) and the real part of  $C$  is the volume form plus an exact form up to scalar multiplication (Def. 3.1., Sect. 3). Using a developing map of  $N_u$  into  $H^3$ ,  $C$  can be pulled back to  $F(N_u)$ , and the integral  $\int_{s(N_u-m)} C$  is defined and its imaginary part is  $\int_{s(N_u-m)} Q$ . For a technical reason, to define  $f(u)$ , we must subtract from the integral of  $C$  a term arising from the extra link  $m$ , and we obtain a complex-valued function  $f(u)$  on  $U$  whose imaginary part contains  $\int_{s(N_u-m)} Q$  (Def. 3.2, Sect. 3). The analyticity of  $f(u)$  is stated in Theorem 3.1 in Sect. 3 and proved in Sect. 4.

Taking the exponential of  $2\pi f(u)$ ,  $F(u) = \exp(2\pi f(u))$ , and calculating it, we prove the following in Sect. 3,

**Theorem 2.** *Let  $N$  be an oriented complete hyperbolic 3-manifold of finite volume with  $h$  cusps. Let  $U$  be the deformation space of the hyperbolic structure on  $N$ . Let  $u^0$  be the point of  $U$  representing the original complete hyperbolic structure on  $N$ . Then there is a complex analytic function  $F(u)$  on a neighborhood  $V$  of  $u^0$  in  $U$  such that if  $u \in V$  represents the hyperbolic manifold  $N_u$  which can be completed to a closed hyperbolic manifold  $M_u$  by adjoining  $h$  geodesic loops  $\gamma = \cup \gamma_i$  to the  $h$  ends of  $N_u$ , then*

$$|F(u)| = \exp\left(\frac{2}{\pi} \text{vol}(M_u) + \sum_i \text{length}(\gamma_i)\right),$$

$$\arg F(u) = (4\pi \text{CS}(M_u) + \sum_i \text{torsion}(\gamma_i)) \bmod 2\pi \mathbb{Z},$$

where  $\text{vol}(M_u)$  is the volume of  $M_u$ ,  $\text{CS}(M_u)$  is the Chern-Simons invariant of  $M_u$  and  $\text{torsion}(\gamma_i)$  is the torsion of the geodesic loop  $\gamma_i$  (Def. 1.2, Sect. 1).

The above theorem was conjectured in [13].

In Sect. 5, using the results in the preceding sections, we calculate the  $\eta$ -invariant of the hyperbolic manifold  $M_{p,q}$  obtained by performing Dehn surgery of type  $(p, q)$  along the figure-eight knot  $K$  in  $S^3$ . By [14],  $N = S^3 - K$  has a complete hyperbolic structure of finite volume with one cusp, and the points of the deformation space of the hyperbolic structure on  $N$  are parametrized by

pairs  $(z, w)$  of complex numbers in the upper half plane satisfying the equation

$$\log z + \log(1 - z) + \log w + \log(1 - w) = 0, \tag{I}$$

where  $\log$  is taken with  $-\pi < \arg < \pi$ .

In addition, if  $u = (z, w)$  satisfies the following equation for a coprime pair of integers  $(p, q)$ ,

$$p \log w(1 - z) + q \log z^2(1 - z)^2 = 2\pi i, \tag{II}$$

then the corresponding hyperbolic manifold  $N_u$  can be completed to a closed hyperbolic manifold  $M_{p,q}$ , and for each coprime pair of integers  $(p, q)$  such that  $|p| \geq 5$  if  $|q| = 1$ , there is such a pair  $(z, w)$  ([14], §4).

We prove the following in Sect. 5.

**Theorem 3.** *Let  $M_{p,q}$  be the hyperbolic manifold obtained by performing Dehn surgery of type  $(p, q)$  along the figure-eight knot in  $S^3$ , where  $(p, q)$  is a coprime pair of integers such that  $|p| \geq 5$  if  $|q| = 1$ . Let  $(z, w)$  be the pair of complex numbers in the upper half plane satisfying (I) and (II) in the above. Then,*

$$\begin{aligned} \eta(M_{p,q}) = & -\frac{1}{3\pi^2} \operatorname{Re} \left( R(z) + R(w) - \frac{\pi^2}{6} \right) + \frac{1}{3p\pi} \arg z(1 - z) \\ & + \frac{1}{p} \operatorname{def}(p; q, 1) + \frac{q}{3p} \end{aligned}$$

where

(i)  $R(x)$  is the function on the upper half plane defined by

$$R(x) = \frac{1}{2} \log x \log(1 - x) - \int_0^x \log(1 - t) d \log t$$

and

(ii)  $\operatorname{def}(p; q, 1) = -\sum_{k=1}^{p-1} \cot \frac{k}{p} \pi \cot \frac{k}{p} q \pi$  is the Hirzebruch defect [7].

If  $|p|$  or  $|q|$  increases to  $+\infty$ , then  $(z, w)$  converges to  $(e^{\pi i/3}, e^{\pi i/3})$  and the terms except the last two terms of the right-hand side of the above equation converge to zero. Hence, if  $p$  is fixed and  $|q|$  is sufficiently large, then  $\eta(M_{p,q})$  is nearly equal to  $a(p, q) = \frac{1}{p} \operatorname{def}(p; q, 1) + \frac{q}{3p}$ . By definition,  $\operatorname{def}(p; q, 1)$  depends only on  $q \bmod p$  and  $\frac{1}{p} \operatorname{def}(p; q, 1) = -\frac{1}{3p} (q+r) \bmod \frac{1}{3} \mathbf{Z}$ , where  $qr = 1 \bmod p$ . Hence  $a(p, q) = -\frac{r}{3p} \bmod \frac{1}{3} \mathbf{Z}$ . If  $q \not\equiv q' \bmod p$ , it follows  $|a(p, q) - a(p, q')| \geq \frac{1}{3p}$ . If  $q \equiv q' \bmod p$  and  $q \neq q'$ , then  $\operatorname{def}(p; q, 1) = \operatorname{def}(p; q', 1)$  and we have  $|a(p, q) - a(p, q')| \geq \frac{1}{3}$ .

**Corollary 1.** *If  $p$  is fixed, then  $\eta(M_{p,q}) \neq \eta(M_{p,q'})$  for  $q \neq q'$  and  $|q|, |q'| \geq 0$ . In particular, for  $q \neq q' \geq 0$ ,  $\pi_1(M_{p,q})$  is not isomorphic to  $\pi_1(M_{p,q'})$ .*

The last statement of the above corollary follows from the rigidity theorem of Mostow [12].

If a closed hyperbolic manifold admits a self-homotopy equivalence of degree  $-1$ , then it admits an orientation-reversing isometry [12]. This implies that its  $\eta$ -invariant vanishes [1].

**Corollary 2.** *If  $p$  is fixed, then for all sufficiently large  $q$ ,  $M_{p,q}$  admits no self-homotopy equivalence of degree  $-1$ .*

**1. A splitting of the  $\eta$ -invariant of 3-dimensional Riemannian manifolds**

In this section, we consider a general 3-dimensional compact oriented Riemannian manifold  $M$  with Riemannian metric  $g$ . Let  $F(M)$  be its  $SO(3)$  oriented frame bundle. Let  $(\theta_i)$  and  $(\theta_{ij})$  be the fundamental form and the connection form respectively of the Riemannian connection on  $F(M)$ , that is,  $(\theta_{ij})$  is a matrix of 1-forms on  $F(M)$  such that  $\theta_{ij} = -\theta_{ji}$  and  $d\theta_i = -\sum \theta_{ij} \wedge \theta_j$  ( $i, j = 1, 2, 3$ ). If  $\alpha$  is an orthonormal framing defined on a subset  $A$  in  $M$ , it defines the section  $s: B \rightarrow F(M)$  for each subset  $B \subset A$ . Let  $L$  be a link in  $M$ , that is,  $L = L_1 \cup \dots \cup L_k$  is a finite union of smoothly embedded disjoint circles  $L_1, \dots, L_k$  in  $M$ .

*Definition 1.1.* Let  $\alpha = (\bar{e}_1, \bar{e}_2, \bar{e}_3)$  be an orthonormal framing defined on a subset of  $M$  containing  $L$ . Assume that  $\bar{e}_1(y)$  is tangent to  $L$  at each  $y \in L$ . Then the torsion number of  $\alpha$  along  $L$ ,  $\tau(L, \alpha)$ , is defined by

$$\tau(L, \alpha) = - \int_{s(L)} \theta_{23},$$

where  $s: L \rightarrow F(M)$  is the section defined by  $\alpha$  and the orientation of  $L$  is given by  $\bar{e}_1$ . Clearly

$$\tau(L, \alpha) = \sum_{i=1}^k \tau(L_i, \alpha).$$

**Lemma 1.1.** *Let  $\alpha = (\bar{e}_1, \bar{e}_2, \bar{e}_3)$  and  $\alpha' = (\bar{e}'_1, \bar{e}'_2, \bar{e}'_3)$  be two orthonormal framings on a subset of  $M$  containing  $L$  such that  $\bar{e}_1(y)$  and  $\bar{e}'_1(y)$  are tangent to  $L$  at each  $y \in L$ . Then,*

$$\tau(L, \alpha) - \tau(L, \alpha') \in 2\pi \mathbb{Z},$$

where  $\mathbb{Z}$  denotes the ring of rational integers.

*Proof.* It suffices to show the lemma in the case that  $L$  is a simple closed curve in  $M$ . By assumption, for each  $y \in L$ ,

$$\begin{aligned} \bar{e}'_1(y) &= v \bar{e}_1(y), \\ \bar{e}'_2(y) &= v(\cos v(y)) \bar{e}_2(y) + v(\sin v(y)) \bar{e}_3(y), \\ \bar{e}'_3(y) &= -(\sin v(y)) \bar{e}_2(y) + (\cos v(y)) \bar{e}_3(y), \end{aligned}$$

where  $v = \pm 1$  and  $v: L \rightarrow \mathbb{R}/2\pi \mathbb{Z}$  is a smooth map. Let  $s$  and  $s'$  be the sections  $L \rightarrow F(M)$  defined by  $\alpha$  and  $\alpha'$  respectively. Then  $s'^* \theta_{23} = s^* \theta_{23} - v dv$  and

$$\int_L s'^* \theta_{23} = \int_L s^* \theta_{23} - v \int_L dv.$$

In the right hand side  $L$  is oriented by  $\bar{e}'_1$ . Hence,

$$\tau(L, \alpha') = \tau(L, \alpha) + \nu \int_L dv.$$

Since  $\int_L dv$  is an integral multiple of  $2\pi$ , the lemma follows. q.e.d.

*Definition 1.2.* The torsion of a link  $L$  in  $M$ , torsion  $(L)$ , is defined by

$$\text{torsion}(L) = \tau(L, \alpha) \bmod 2\pi\mathbb{Z},$$

where  $\alpha$  is an orthonormal framing defined on a subset of  $M$  containing  $L$  satisfying the condition of Definition 1.1.

Let  $d: M \times M \rightarrow \mathbb{R}$  be the distance function on  $M$  induced by the Riemannian metric. For a link  $L$  in  $M$ , set  $N_\varepsilon(L) = \{x \in M \mid d(x, L) \leq \varepsilon\}$ , where  $\varepsilon > 0$  and  $d(x, L) = \inf\{d(x, y) \mid y \in L\}$ . For a sufficiently small  $\varepsilon > 0$ ,  $N_\varepsilon(L)$  is diffeomorphic to  $L \times D^2$ , where  $D^2$  is the 2-disc, and each  $x \in N_\varepsilon(L)$  can be joined to a unique point  $y \in L$  by a unique geodesic  $\gamma(x, y)$  in  $N_\varepsilon(L)$  such that  $d(x, y) = d(x, L) = \text{length}(\gamma(x, y))$ . Moreover for each  $y \in L$ ,  $S_\delta(y) = \{x \in N_\varepsilon(L) \mid d(x, L) = d(x, y) = \delta \leq \varepsilon\}$  is a smooth circle in  $N_\varepsilon(L)$ .

The following notion is due to Meyerhoff [10].

*Definition 1.3.* Let  $L$  be a link in  $M$ . Let  $\mathcal{F} = (e_1, e_2, e_3)$  be an orthonormal framing defined on  $M - L$ . Assume that  $\mathcal{F}$  satisfies the following properties in  $N_\varepsilon(L)$  for a sufficiently small  $\varepsilon > 0$ : For each  $x \in N_\varepsilon(L) - L$ , taking  $y \in L$  as above,

- (i)  $e_3(x)$  is tangent to  $\gamma(x, y)$  and it has the direction opposite to  $y$ , and
- (ii)  $e_2(x)$  is tangent to  $S_\delta(y)$ , here  $d(x, y) = \delta$ . Then we say that  $\mathcal{F}$  has a special singularity at  $L$ .

Note that  $e_1$  has the direction along  $L$  in  $N_\varepsilon(L) - L$ .  $\mathcal{F}$  looks like this near  $L$ .

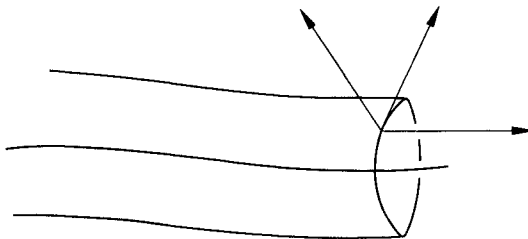


Fig. 1

**Lemma 1.2.** Let  $\mathcal{F}$  be an orthonormal framing on  $M - L$  having a special singularity at  $L$ . Let  $s: M - L \rightarrow F(M)$  be the section defined by  $\mathcal{F}$ . Set  $\bar{M} =$  the closure of  $s(M - L)$  in  $F(M)$ . Then  $\bar{M}$  is a 3-dimensional compact manifold with boundary  $\partial\bar{M}$ .  $\partial\bar{M}$  is diffeomorphic to  $S^1 \times L$  and it is mapped onto  $L$  by the bundle projection  $F(M) \rightarrow M$ . Moreover  $\mathcal{F}$  extends uniquely in a smooth manner to a framing  $\bar{\mathcal{F}}$  on  $\bar{M}$ , where we identify  $M - L$  with  $s(M - L)$ .

*Proof.* Let  $\alpha = (\bar{e}_1, \bar{e}_2, \bar{e}_3)$  be an orthonormal framing defined on a subset of  $M$  containing  $L$  such that  $\bar{e}_1(y)$  is tangent to  $L$  at each  $y \in L$  and it has the same

direction as  $e_1$  of  $\mathcal{F}$  near  $y$ . Then  $S(y) = \lim_{\delta \rightarrow 0} s(S_\delta(y))$  is a smooth circle in  $F(M)$  represented by the rotation of the framing  $\alpha(y) = (\bar{e}_1(y), \bar{e}_2(y), \bar{e}_3(y))$  about  $\bar{e}_1(y)$ . We have  $\partial \bar{M} = \bigcup_{y \in L} S(y)$  and identifying the 1-sphere  $S^1$  with  $\mathbb{R}/2\pi\mathbb{Z}$ , we define a map  $\psi: S^1 \times L \rightarrow \partial \bar{M}$  by

$$\psi(v, y) = (\bar{e}_1(y), (\cos v)\bar{e}_2(y) - (\sin v)\bar{e}_3(y), (\sin v)\bar{e}_2(y) + (\cos v)\bar{e}_3(y)),$$

for  $0 \leq v < 2\pi$  and  $y \in L$ , where the right hand side represents a framing at  $y$ , hence a point of  $F(M)$ . Then  $\psi$  is a diffeomorphism. Since  $e_2(x) \rightarrow \partial/\partial v$  as  $x \rightarrow y$  for  $x \in L$  and  $y \in L$ ,  $\mathcal{F}$  extends naturally to a framing  $\bar{\mathcal{F}}$  which is given on  $\partial \bar{M}$  by

- $e_1$  = the parallel lifts of the unit tangent vectors of  $L$ ,
- $e_2 = \partial/\partial v$ ,
- $e_3$  = inward normal vectors at  $\partial M$  in  $M$ .   q.e.d.

In the rest of this section, we fix a link  $L$  in  $M$  and an orthonormal framing  $\mathcal{F} = (e_1, e_2, e_3)$  on  $M - L$  having a special singularity at  $L$ .

Let  $\alpha = (\bar{e}_1, \bar{e}_2, \bar{e}_3)$  be an orthonormal framing on  $M$ . We assume that  $\alpha$  satisfies the following condition, (\*) for each point  $y \in L$ ,  $\bar{e}_1(y)$  is tangent to  $L$  and it has the same direction with  $e_1$  of  $\mathcal{F}$  near  $y$ .

Any framing on  $M$  can be deformed by homotopy so that it satisfies (\*). We note that the latter condition about the direction of  $\bar{e}_1$  is technical and not essential.

Let  $W$  be a 4-dimensional compact oriented Riemannian manifold with boundary  $M$ . We assume that  $W$  is isometric to a product  $M \times [0, 1]$  near  $M$ , where  $M = M \times 0$ . We set  $W_0 = W - M \times [0, 1]$ . Let  $F(W)$  be the  $SO(4)$  oriented frame bundle of  $W$ , and let  $p: F(W) \rightarrow W$  be the bundle projection. We calculate the integral of the first Pontrjagin form by using a suitable connection  $c$  on  $F(W)$  instead of the Riemannian connection on it. The connection  $c$  is defined as follows. Let  $c_g$  be the Riemannian connection on  $F(M)$ . Let  $c_\alpha$  be the connection on  $F(M)$  defined by the framing  $\alpha$ . Let  $\mu(t)$  be a smooth monotone increasing function defined on  $[0, 1]$  such that  $\mu(t) = 0$  ( $0 \leq t \leq 1/3$ ) and  $\mu(t) = 1$  ( $2/3 \leq t \leq 1$ ). For  $t \in [0, 1]$ , let  $c_t$  be the connection on  $F(M)$  defined by  $c_t = (1 - \mu(t))c_g + \mu(t)c_\alpha$ , where  $+$  is taken in the convex linear space of all the smooth connections on  $F(M)$ . Then  $c_0 = c_g$  and  $c_1 = c_\alpha$ . Let  $c$  be the connection on  $F(M \times [0, 1])$  such that  $c = c_t$  on  $F(M \times t)$  and  $c$  is trivial in the direction of  $t$ . Extend  $c$  to a smooth connection on  $F(W)$  in an arbitrary way on  $F(W_0)$  and we get a smooth connection  $c$  on  $F(W)$ .

Let  $P_1$  be the first Pontrjagin form of the connection  $c$ . Then,

$$\int_W P_1 = \int_{M \times [0, 1]} P_1 + \int_{W_0} P_1.$$

At first we consider  $\int_{W_0} P_1$ . The framing  $\alpha$  induces a map  $h: W \rightarrow BSO(4)$  such that  $h(M) =$  a point and  $h$  classifies the tangent bundle of  $W$ . Let  $[P_1] \in H^4(BSO(4), \mathbb{Z})$  be the universal first Pontrjagin class. Then the relative Pontrjagin number with respect to  $\alpha$  is defined as the evaluation  $\langle h^*[P_1],$

$[W]\rangle$ , where  $[W]$  denotes the orientation class of  $H_4(W, M, \mathbb{Z})$  and  $h^*: H^4(BSO(4), \mathbb{Z}) \rightarrow H^4(W, M, \mathbb{Z})$  is the map induced by  $h$ . We denote this number by  $P_1[W]$ . The following lemma is a standard fact from the theory of characteristic classes.

**Lemma 1.3.**  $\int_{W_0} P_1 = P_1[W]$ .

Next we consider  $\int_{M \times [0, 1]} P_1$ . The framing  $\mathcal{F} = (e_1, e_2, e_3)$  on  $M - L$  and the unit tangent vector  $\partial/\partial t$  of  $[0, 1]$  define the orthonormal framing  $(e_1, e_2, e_3, \partial/\partial t)$  on  $(M - L) \times [0, 1]$ , and it defines the section  $s: (M - L) \times [0, 1] \rightarrow F((M - L) \times [0, 1])$ . Let  $X$  be the closure of the image  $s((M - L) \times [0, 1])$  in  $F((M - L) \times [0, 1])$ . As in Lemma 1.2,  $X$  is a 4-manifold with boundary and is diffeomorphic to  $\bar{M} \times [0, 1]$ , where  $\bar{M}$  is the 3-manifold defined in the lemma. The pull back of the first Pontrjagin form  $P_1, p^*P_1$ , is an exact form and by the Chern-Simons theory [5], there is a differential form of degree 3,  $Q^c$ , on  $F(W)$  such that  $p^*P_1 = dQ^c$  and  $Q^c$  is defined canonically by the connection  $c$ . The explicit form of  $Q^c$  on  $F(M \times [0, 1])$  is as follows. Let  $(\theta_{ij}^c)$  and  $(\Omega_{ij}^c)$  be the connection form and the curvature form respectively of the connection  $c$  ( $i, j = 1, 2, 3, 4$ ). Then  $d\theta_{ij}^c = -\sum_{k=1}^4 \theta_{ik}^c \wedge \theta_{kj}^c + \Omega_{ij}^c$ . Since  $\{\partial/\partial t\}$  is a parallel vector field on  $\bar{M} \times [0, 1]$  with respect to  $c$ ,  $\theta_{i4}^c(\partial/\partial t) = 0$  on  $X = \bar{M} \times [0, 1]$  ( $1 \leq i \leq 4$ ). Hence on  $X$ , we have

$$Q^c = \frac{1}{4\pi^2} (\theta_{12}^c \wedge \theta_{13}^c \wedge \theta_{23}^c + \theta_{12}^c \wedge \Omega_{12}^c + \theta_{13}^c \wedge \Omega_{13}^c + \theta_{23}^c \wedge \Omega_{23}^c)$$

(see [5], §6).

By Stokes's theorem,

$$\int_{M \times [0, 1]} P_1 = \int_X p^*P_1 = \int_X dQ^c = \int_{\partial X} Q^c.$$

The boundary of  $X$ ,  $\partial X = \partial(\bar{M} \times [0, 1])$ , consists of three parts,  $\bar{M} \times 0$ ,  $\bar{M} \times 1$  and  $\partial\bar{M} \times [0, 1]$ . We consider the integral of  $Q^c$  on the three parts separately.

(i)  $\int_{\bar{M} \times 0} Q^c$ .

Let  $(\theta_{ij})$  and  $(\Omega_{ij})$  be the connection form and the curvature form respectively of the Riemannian connection on  $F(M)$  as before. The Chern-Simons form  $Q$  on  $F(M)$  is defined by

$$Q = \frac{1}{4\pi^2} (\theta_{12} \wedge \theta_{13} \wedge \theta_{23} + \theta_{12} \wedge \Omega_{12} + \theta_{13} \wedge \Omega_{13} + \theta_{23} \wedge \Omega_{23}).$$

The connection  $c$  is the product connection of the Riemannian connection  $c_g$  on  $F(M)$  with the trivial connection in the direction of  $[0, 1]$  near  $M \times 0$ . It follows that

$$\int_{\bar{M} \times 0} Q^c = \int_{s(M-L)} Q,$$

where  $s: M - L \rightarrow F(M)$  is the section defined by  $\mathcal{F}$ .



$$(ii) \int_{\bar{M} \times 1} Q^c.$$

The restriction of  $\alpha$  to  $M-L$  extends uniquely in a smooth manner to a framing  $\bar{\alpha}$  on  $\bar{M}$ , where we identify  $M-L$  with  $s(M-L)$  ( $s$  is the section defined by  $\mathcal{F}$ ), such that  $\bar{\alpha}|_{\partial\bar{M}}$  is the parallel lift of  $\alpha|_L$ . On  $\bar{M}$ , there are two orthonormal framings  $\bar{\alpha}=(\bar{e}_1, \bar{e}_2, \bar{e}_3)$  and  $\bar{\mathcal{F}}=(e_1, e_2, e_3)$  which is defined in Lemma 1.2. Since  $\alpha$  satisfies the condition  $(*)$ ,  $\bar{e}_1=e_1$  on  $\partial\bar{M}$ . Define the difference map  $f: \bar{M} \rightarrow SO(3)$  by

$$(e_1(x), e_2(x), e_3(x))=(\bar{e}_1(x), \bar{e}_2(x), \bar{e}_3(x))f(x)$$

for  $x \in \bar{M}$ . Then  $f(\partial\bar{M}) \in SO(2)$ . Hence  $f$  induces the homomorphism  $f_*: H_3(\bar{M}, \partial\bar{M}, \mathbb{Z}) \rightarrow H_3(SO(3), SO(2), \mathbb{Z})$ . Let  $[\bar{M}]$  be the orientation class in  $H_3(\bar{M}, \partial\bar{M}, \mathbb{Z}) = \mathbb{Z}$ . Let  $[SO(3)]$  be the orientation class in  $H_3(SO(3), \mathbb{Z}) = H_3(SO(3), SO(2), \mathbb{Z}) = \mathbb{Z}$ , where  $SO(3)$  is canonically oriented.

*Definition 1.4.* The difference degree,  $d(\mathcal{F}, \alpha)$ , is defined by  $f_*[\bar{M}] = d(\mathcal{F}, \alpha)[SO(3)]$ .

**Lemma 1.4.**  $\int_{\bar{M} \times 1} Q^c = 2d(\mathcal{F}, \alpha).$

*Proof.* On a neighborhood of  $M \times 1$ , the connection  $c$  is the product of the connection  $c_\alpha$  with the trivial connection in the direction of  $[0, 1]$ . Since  $c_\alpha$  is flat, its curvature forms vanish, and the connection form of  $c_\alpha$  is given by the skew symmetric matrix of 1-forms  $(f(x)^{-1}df(x))$  for  $x \in \bar{M}$ , where  $df$  is the differential of  $f$  and  $(f(x)^{-1}df(x))$  is considered as an element of the Lie algebra of  $SO(3)$ . It follows that, on  $\bar{M} \times 1$ ,  $Q^c = \frac{1}{4\pi^2} \theta_{12}^c \wedge \theta_{13}^c \wedge \theta_{23}^c$  is equal to  $f^*(-2\omega)$ , where  $\omega$  is the normalized invariant measure on  $SO(3)$ . Since  $\bar{M} \times 1$  is  $\bar{M}$  with opposite orientation, it follows

$$\int_{\bar{M} \times 1} Q^c = \int_{-\bar{M}} f^*(-2\omega) = d(\mathcal{F}, \alpha) \int_{SO(3)} 2\omega = 2d(\mathcal{F}, \alpha). \quad \text{q.e.d.}$$

$$(iii) \int_{\partial\bar{M} \times [0, 1]} Q^c$$

**Lemma 1.5.**  $\int_{\partial\bar{M} \times [0, 1]} Q^c = -\frac{1}{2\pi} \tau(L, \alpha).$

*Proof.* Define a diffeomorphism  $\psi: S^1 \times L \times [0, 1] \rightarrow \partial\bar{M} \times [0, 1]$  by

$$\psi(v, y, t) = (\bar{e}_1(y), (\cos v)\bar{e}_2(y) - (\sin v)\bar{e}_3(y), (\sin v)\bar{e}_2(y) + (\cos v)\bar{e}_3(y), t)$$

where  $v \in S^1 = \mathbb{R}/2\pi\mathbb{Z}$ ,  $y \in L$ ,  $t \in [0, 1]$  and  $\alpha(y) = (\bar{e}_1(y), \bar{e}_2(y), \bar{e}_3(y))$ . The orientation of  $\bar{M} \times [0, 1]$  is given by  $(e_1, e_2, e_3, \partial/\partial t)$ , where  $\bar{\mathcal{F}} = (e_1, e_2, e_3)$ . Since  $e_3(x)$  is an inward normal vector at each  $x \in \partial\bar{M} \times [0, 1]$ , the orientation of  $S^1 \times L \times [0, 1]$  is given by  $(\partial/\partial v, e_1, \partial/\partial t)$ , where  $e_1$  is the unit tangent vector of  $L$ .  $\{\psi_* \partial/\partial v\}$  is a vertical vector field and  $\psi_* e_1$  is parallel along the integral curves of that vector field. Hence  $(\psi^* \Omega_{ij}^c)(\partial/\partial v, \cdot) = 0$  ( $i, j = 1, 2, 3$ ) and  $(\psi^* \theta_{12}^c)(\partial/\partial v) = (\psi^* \theta_{13}^c)(\partial/\partial v) = 0$ . Moreover by definition,  $(\psi^* \theta_{23}^c)(\partial/\partial v) = 1$ . Let  $q: S^1 \times L$

$\times [0, 1] \rightarrow L \times [0, 1]$  be the projection onto the last two factors. Then  $q = p\psi$ , where  $\psi: S^1 \times L \times [0, 1] \rightarrow \partial\bar{M} \times [0, 1] \subset F(M \times [0, 1])$  and  $p: F(M \times [0, 1]) \rightarrow M \times [0, 1]$  is the bundle projection. Let  $s: L \times [0, 1] \rightarrow F(M \times [0, 1])$  be the section defined by the framing  $(\bar{e}_1, \bar{e}_2, \bar{e}_3, \partial/\partial t)$ , where  $\alpha = (\bar{e}_1, \bar{e}_2, \bar{e}_3)$ . Then from the above facts, we have

$$\begin{aligned} \psi^* Q^c &= \frac{1}{4\pi^2} \psi^*(\theta_{12}^c \wedge \theta_{13}^c \wedge \theta_{23}^c + \theta_{23}^c \wedge \Omega_{23}^c) \\ &= \frac{1}{4\pi^2} \psi^*(\theta_{23}^c \wedge d\theta_{23}^c) \end{aligned}$$

and

$$\psi^* \theta_{23}^c = dv + q^* s^* \theta_{23}^c.$$

It follows that  $\psi^* Q^c = \frac{1}{4\pi^2} dv \wedge d(q^* s^* \theta_{23}^c)$ . From the above orientation convention, by partial integration along  $S^1$  and Stokes's theorem, we have

$$\begin{aligned} \int_{\partial\bar{M} \times [0, 1]} Q^c &= \int_{S^1 \times L \times [0, 1]} \psi^* Q^c \\ &= \frac{1}{4\pi^2} \int_{S^1 \times L \times [0, 1]} dv \wedge d(q^* s^* \theta_{23}^c) \\ &= \frac{1}{2\pi} \int_{L \times [0, 1]} d(s^* \theta_{23}^c) \\ &= \frac{1}{2\pi} \left( \int_{L \times 0} s^* \theta_{23}^c - \int_{L \times 1} s^* \theta_{23}^c \right). \end{aligned}$$

Since the connection  $c$  is  $c_g$  on  $F(M \times 0)$  and  $c_\alpha$  on  $F(M \times 1)$ , we have  $s^* \theta_{23}^c = s^* \theta_{23}$  on  $L \times 0$  and  $s^* \theta_{23}^c = 0$  on  $L \times 1$ . Hence the last expression of the above integral equals to  $\frac{1}{2\pi} \int_L s^* \theta_{23} = -\frac{1}{2\pi} \tau(L, \alpha)$  by definition. q.e.d.

The calculations in the above proof will be frequently used in the subsequent sections, and we will omit the details of such calculations.

From (i), (ii) and (iii) in the above, we have

**Theorem 1.1.** *Let  $M$  be a 3-dimensional compact oriented Riemannian manifold. Let  $L$  be a link in  $M$ . Let  $\mathcal{F}$  be an orthonormal framing on  $M - L$  having a special singularity at  $L$ . Let  $\alpha$  be an orthonormal framing on  $M$  satisfying (\*). Let  $W$  be a 4-dimensional compact oriented Riemannian manifold with boundary  $M$ , and assume that it is isometric to a product near  $M$ . Then*

$$\int_W P_1 = \int_{s(M-L)} Q - \frac{1}{2\pi} \tau(L, \alpha) + 2d(\mathcal{F}, \alpha) + P_1[W],$$

where  $s: M - L \rightarrow F(M)$  is the section defined by  $\mathcal{F}$ .

Since  $d(\mathcal{F}, \alpha)$  and  $P_1[W]$  are integers and  $\int_W P_1 \bmod \mathbf{Z}$  is an invariant of  $M$ , we obtain from Lemma 1.1 and Definition 1.2:

**Corollary 1.1.** *In the situation in Theorem 1.1,*

$$\int_{s(M-L)} Q - \frac{1}{2\pi} \text{torsion}(L) \bmod \mathbf{Z}$$

*is an invariant of  $M$ .*

This corollary is proved in [10] by a different method.

From Theorem 1.1, we obtain immediately Theorem 1 in the Introduction.

## 2. The deformation space of hyperbolic structure

We give a very brief account: see [13] and [14].

We use the upper half space model of hyperbolic 3-space,  $H^3 = \{(c, t) | c \in \mathbf{C} \text{ and } t > 0\}$  with metric  $ds^2 = t^{-2}(|dc|^2 + dt^2)$ , which is bounded by the extended complex plane  $\mathbf{C} \cup \infty$ . If we write the points of  $H^3$  in quaternion form  $q = c + tj$ , the orientation-preserving isometries of  $H^3$  are complex Möbius transformations  $q \rightarrow (\alpha q + \beta)(\gamma q + \delta)^{-1}$ , where  $\alpha\delta - \beta\gamma = 1$  and the computation is carried out within the algebra of quaternions. The group of orientation-preserving isometries may be identified with  $PSL_2(\mathbf{C}) = SL_2(\mathbf{C})/\{\pm id\}$ . The action of  $PSL_2(\mathbf{C})$  on  $H^3$  is transitive and the isotropy subgroup of the point  $(0, 1) \in H^3$  is  $SO(3)$ , its maximal compact subgroup. The correspondence  $g \rightarrow g(0, 1)$  for  $g \in PSL_2(\mathbf{C})$  induces a diffeomorphism  $PSL_2(\mathbf{C})/SO(3) \rightarrow H^3$ , and the natural projection,  $PSL_2(\mathbf{C}) \rightarrow H^3$  is considered as the  $SO(3)$  oriented frame bundle of  $H^3$ . Thus  $PSL_2(\mathbf{C}) = F(H^3)$ .

Let  $N$  be a complete hyperbolic 3-manifold of finite volume with  $h$  cusps ( $h \geq 1$ ). There is a holonomy representation,  $\rho: \Gamma = \pi_1(N, x^0) \rightarrow PSL_2(\mathbf{C})$  ( $x^0 \in N$ ), which is unique up to equivalence, and  $N$  is identified with the quotient space  $\rho(\Gamma) \backslash H^3$ . Corresponding to the  $h$  cusps,  $N$  has  $h$  ends  $\{\varepsilon_i\}_{i=1, \dots, h}$  each of which is diffeomorphic to  $T^2 \times (0, \infty)$ , where  $T^2$  denotes the 2-torus.

An ideal tetrahedron  $\Delta$  in  $H^3$  is a geodesic tetrahedron with all vertices at infinity  $= \partial H^3$ . An ideal tetrahedron is described (up to isometry) by a single complex number  $z$  in the upper half plane such that the euclidean triangle cut out of any vertex of  $\Delta$  by a horosphere section is similar to the triangle with vertices 0, 1 and  $z$ . We write  $\Delta = \Delta(z)$ . The numbers  $z$ ,  $1 - 1/z$  and  $1/1 - z$  give the same tetrahedron: to specify  $z$  uniquely, we must pick an edge of  $\Delta$  (the dihedral angle at this edge will be  $\arg(z)$ ).

We assume that  $N$  is decomposed into a finite union of ideal tetrahedra  $\Delta_1 \cup \dots \cup \Delta_n$ , where the vertices of each  $\Delta_i$  are deleted. For each  $\Delta_i$  ( $i = 1, \dots, n$ ), we make a choice of an edge of  $\Delta_i$  and write  $\Delta_i = \Delta_i(z_i^0)$ . Then to each edge of  $\Delta_i$  is associated one of the three numbers  $z_i^0$ ,  $1 - 1/z_i^0$ ,  $1/1 - z_i^0$  the modulus of the edge. We write

$$N = \Delta(z_1^0) \cup \dots \cup \Delta(z_n^0).$$

Any hyperbolic 3-manifold is obtainable from an ideally triangulated one by Dehn surgeries on some cusps. Hence by the above assumption on  $N$ , we do not lose any generality in subsequent arguments.

If we replace  $u=(z_1^0, \dots, z_n^0)$  by  $u=(z_1, \dots, z_n)$  (im  $z_i > 0, i=1, \dots, n$ ), we obtain a complex  $N_u = \Delta(z_1) \cup \dots \cup \Delta(z_n)$  with the same gluing pattern as  $N$ . The necessary and sufficient condition that  $N_u$  gives a smooth (not necessarily complete) hyperbolic manifold is that at each edge  $e$  of  $N_u$  the tetrahedra  $\Delta_i$  abutting  $e$  close up as one goes around  $e$ , and thus the product of the corresponding moduli of  $\Delta_i$  at  $e$  is  $\exp(2\pi i)$  (the product is taken in the universal cover  $\mathbb{C}^*$  of  $\mathbb{C}^*$ ). The consistency condition at  $e$  is written as

$$\prod_{i=1}^n z_i^{r_i} (1 - z_i)^{r'_i} = \pm 1$$

for some integers  $r_i, r'_i$  depending on  $e$  [14]. Once we have chosen the numbers  $z_i$  (satisfying the consistency conditions),  $N$  acquires a smooth hyperbolic structure, in general incomplete. The deformation space  $U$  of the hyperbolic structure on  $N$  is the variety of  $u=(z_1, \dots, z_n) \in \mathbb{C}^n$  which satisfies the consistency relations. For  $u \in U$ , we denote the corresponding hyperbolic manifold by  $N_u$ .

Choose a pair of simple closed curves  $(m_i, l_i)$  on each torus section  $T_i$  of  $\varepsilon_i$  ( $i = 1, \dots, h$ ) which forms a basis of  $H_1(T_i)$ . For each  $u \in U$ , let  $\rho_u: \Gamma \rightarrow PSL_2(\mathbb{C})$  be a holonomy representation. After suitable choice of a base point, we consider  $(m_i, l_i)$  as elements of  $\Gamma$ . If  $\rho_u(m_i)$  and  $\rho_u(l_i)$  are not parabolic, they have two fixed points in  $\mathbb{C} \cup \infty$  which we can put at 0 and  $\infty$ , so as Möbius transformations on  $\mathbb{C} \cup \infty$ ,

$$\rho_u(m_i): c \rightarrow a_i c, \quad \rho_u(l_i): c \rightarrow b_i c$$

for some  $a_i, b_i \in \mathbb{C}^*$ . Set  $u_i = \log a_i$  and  $v_i = \log b_i$ . If  $\rho_u(m_i)$  and  $\rho_u(l_i)$  are parabolic, we set  $u_i = v_i = 0$ .

**Theorem** (Thurston [14], Neumann-Zagier [13]). *The deformation space  $U$  of the hyperbolic structure on  $N$  has complex dimension  $h$  and can be holomorphically parametrized by points  $(u_1, \dots, u_h) \in \mathbb{C}^h$  in a neighborhood of  $u^0 \in U$ .*

We will need the following fact in the proof of Theorem 3.1 in Sect. 4. Let  $P$  be the subset of  $U$  defined by  $P = \{u \in U \mid N_u \text{ has at least one cusp}\}$ .

**Proposition 2.1.** *In a neighborhood  $V$  of  $u^0$  in  $U$ ,  $P$  is a proper algebraic subset.*

*Proof.* In a neighborhood  $V$  of  $u^0$  in  $U$ , the points of  $V$  are parametrized by  $(u_1, \dots, u_h)$  as in the above theorem, and  $P \cap V$  is precisely the set  $\{u \in V \mid \text{some } u_i = 0\}$ . q.e.d.

If  $u=(u_1, \dots, u_h) \in V - P$ , for each  $i=1, \dots, h$ , there is a unique pair  $(p_i, q_i) \in \mathbb{R}^2 \cup \infty$ , such that  $p_i u_i + q_i v_i = 2\pi i$ . This collection of pairs is called the generalized Dehn surgery invariant, and if each  $(p_i, q_i)$  is a pair of coprime integers,  $N_u$  can be completed to a closed hyperbolic manifold denoted by  $M_{(p_1, q_1), \dots, (p_h, q_h)}$  by adjoining a closed geodesic  $\gamma_i$  to each end  $\varepsilon_i$ . Topologically this manifold is obtained from  $N$  by performing Dehn surgeries which kill the homotopy classes represented by  $p_i m_i + q_i l_i, i=1, \dots, h$  ([14], § 4).

**3. An analytic function on the deformation space and the  $\eta$ -invariant of manifolds obtained by hyperbolic Dehn surgery**

The Lie algebra of  $PSL_2(\mathbb{C})$ ,  $\mathfrak{g}$ , is the complex Lie algebra consisting of all  $2 \times 2$ -complex matrices of trace zero. We regard  $\mathfrak{g}$  as the tangent space of

$PSL_2(\mathbb{C})$  at the identity. Let

$$h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

Then  $\{h, e, f\}$  form a base of  $\mathfrak{g}$  over  $\mathbb{C}$ . Let  $\{h_{\mathbb{C}}^*, e_{\mathbb{C}}^*, f_{\mathbb{C}}^*\}$  be its dual base of  $\text{Hom}_{\mathbb{C}}(\mathfrak{g}, \mathbb{C})$ .

*Definition 3.1.* The complex differential form of degree 3 on  $PSL_2(\mathbb{C})$ ,  $C$ , is defined as the left-invariant differential form on  $PSL_2(\mathbb{C})$  whose value at the identity is given by  $\frac{i}{\pi^2} h_{\mathbb{C}}^* \wedge e_{\mathbb{C}}^* \wedge f_{\mathbb{C}}^*$ .

We can easily check that  $C$  is a complex analytic form on  $PSL_2(\mathbb{C})$  which is closed and bi-invariant. Now  $\{h, e, f, ih, ie, if\}$  form a base of  $\mathfrak{g}$  over  $\mathbb{R}$ . Let  $\{h^*, e^*, f^*, (ih)^*, (ie)^*, (if)^*\}$  be the dual base of  $\text{Hom}_{\mathbb{R}}(\mathfrak{g}, \mathbb{R})$ . Let  $(\theta_i)$  and  $(\theta_{ij})$  be the fundamental form and the connection form respectively on  $PSL_2(\mathbb{C}) = F(H^3)$  of the Riemannian connection of  $H^3$ . Then  $\theta_i$  and  $\theta_{ij}$  are the left-invariant smooth forms on  $PSL_2(\mathbb{C})$  whose value at the identity are given by

$$\begin{aligned} \theta_1 &= 2h^*, & \theta_2 &= e^* + f^*, & \theta_3 &= (ie)^* - (if)^* \\ \theta_{23} &= -2(ih)^*, & \theta_{13} &= (ie)^* + (if)^*, & \theta_{12} &= e^* - f^*. \end{aligned}$$

From these, we have

$$\begin{aligned} h_{\mathbb{C}}^* &= \frac{1}{2}(\theta_1 - i\theta_{23}), \\ e_{\mathbb{C}}^* &= \frac{1}{2}((\theta_2 + \theta_{12}) + i(\theta_3 + \theta_{13})), \\ f_{\mathbb{C}}^* &= \frac{1}{2}((\theta_2 - \theta_{12}) - i(\theta_3 - \theta_{13})). \end{aligned}$$

We have

**Lemma 3.1.**  $C$  is written as

$$\begin{aligned} C &= \frac{1}{4\pi^2} (4\theta_1 \wedge \theta_2 \wedge \theta_3 - d(\theta_1 \wedge \theta_{23} + \theta_2 \wedge \theta_{31} + \theta_3 \wedge \theta_{12})) \\ &\quad + \frac{i}{4\pi^2} (\theta_{12} \wedge \theta_{13} \wedge \theta_{23} - \theta_{12} \wedge \theta_1 \wedge \theta_2 - \theta_{13} \wedge \theta_1 \wedge \theta_3 - \theta_{23} \wedge \theta_2 \wedge \theta_3). \end{aligned}$$

Since  $H^3$  has the constant sectional curvature  $-1$ ,  $\Omega_{ij} = -\theta_i \wedge \theta_j$  ( $i, j = 1, 2, 3$ ). Thus  $C$  is a complex analytic form on  $PSL_2(\mathbb{C})$  whose real part is the volume form plus an exact form (up to scalar multiplication) and whose imaginary part is the Chern-Simons form  $Q$ .

Let  $M$  be an oriented smooth hyperbolic manifold (complete or incomplete) of dimension 3. Let  $F(M)$  be its  $SO(3)$  oriented frame bundle. Choose a base point  $x_0 \in M$  and set  $\Gamma = \pi_1(M, x_0)$ . Let  $\tilde{M}$  be the universal cover of  $M$ . Let  $d: \tilde{M} \rightarrow H^3$  be a developing map. Let  $\rho: \Gamma \rightarrow PSL_2(\mathbb{C})$  be the holonomy representation defined by  $d(g\tilde{x}) = \rho(g)d(\tilde{x})$ , where  $g \in \Gamma$  and  $\tilde{x} \in \tilde{M}$ . Taking the differential of  $d$ , we obtain the  $SO(3)$ -bundle map  $\tilde{d}: F(\tilde{M}) \rightarrow PSL_2(\mathbb{C})$ . Since the form  $C$  is left invariant,  $\tilde{d}^* C$  projects to a closed form on  $F(M) = \Gamma \backslash F(\tilde{M})$  which we denote also by  $C$ . Let  $\mathcal{F}$  be an orthonormal framing on  $M$  and let  $s: M \rightarrow F(M)$

be the section defined by  $\mathcal{F}$ . Then  $s^*C$  is a complex 3-form on  $M$  and  $\int_M s^*C = \int_{s(M)} C$  is defined.

We define the notion of a simple framing. As before,  $H^3 = \{(c, t) | c \in \mathbb{C} \text{ and } t > 0\}$ . Let  $\gamma$  be an oriented geodesic in  $H^3$  and let  $\gamma(-\infty)$  and  $\gamma(+\infty)$  be its initial and terminal endpoints in  $\partial H^3$  respectively. There is an element  $g \in PSL_2(\mathbb{C})$  such that  $g\gamma = t\text{-axis}$  and  $g(\gamma(-\infty)) = 0$  and  $g(\gamma(+\infty)) = \infty$ .

Let  $(r, \beta, \phi)$  be the polar coordinate of  $H^3$  defined by

$$c = r(\sin \beta)(\cos \phi + i \sin \phi), \quad t = r \cos \beta, \quad \text{where } r > 0, \quad 0 \leq \beta < \pi/2 \text{ and } 0 \leq \phi < 2\pi.$$

Let  $\mathcal{F}(t\text{-axis}) = (e_1, e_2, e_3)$  be the framing defined on  $H^3 - \{t\text{-axis}\}$  by

$$e_1 = r(\cos \beta) \partial / \partial r, \quad e_2 = -(\cot \beta) \partial / \partial \phi, \quad e_3 = (\cos \beta) \partial / \partial \beta$$

for a point  $(r, \beta, \phi)$ . We define the framing  $\mathcal{F}(\gamma)$  on  $H^3 - \gamma$  by  $\mathcal{F}(\gamma) = (g^{-1})_* \mathcal{F}(t\text{-axis})$ . Then  $\mathcal{F}(\gamma)$  does not depend on the choice of  $g$ . It can be seen that  $\mathcal{F}(\gamma)$  is invariant under the action of the subgroup of  $PSL_2(\mathbb{C})$  which leaves  $\gamma$  invariant. Next let  $w$  be a point of  $\partial H^3$ . There is an element  $g \in PSL_2(\mathbb{C})$  such that  $gw = \infty$ . Let  $\mathcal{F}(\infty) = (e_1, e_2, e_3)$  be the framing on  $H^3$  defined by

$$e_1 = t(\partial / \partial x), \quad e_2 = -t(\partial / \partial y), \quad e_3 = -t(\partial / \partial t),$$

for a point  $(c, t) = (x + iy, t) \in H^3$ . We define the framing  $\mathcal{F}(w)$  on  $H^3$  by  $\mathcal{F}(w) = (g^{-1})_* \mathcal{F}(\infty)$ . In this case, the definition of  $\mathcal{F}(w)$  includes an ambiguity of rotations about the  $e_3$ -vectors. However  $\{e_1\}$  and  $\{e_2\}$  form two parallel vector fields on each horosphere with center  $w$  with respect to the euclidean structure on it. It can be seen that  $\mathcal{F}(w)$  is invariant up to rotations about its  $e_3$ -vectors under the action of the subgroup of  $PSL_2(\mathbb{C})$  which leaves  $w$  fixed. We call each of the framings  $\mathcal{F}(\gamma)$  and  $\mathcal{F}(w)$  a simple framing.

**Lemma 3.2.** *For a simple framing  $\mathcal{F}(\gamma)$  (resp.  $\mathcal{F}(w)$ ), let  $s: H^3 - \gamma$  (resp.  $H^3$ )  $\rightarrow PSL_2(\mathbb{C})$  be the section defined by it. Then  $s^*C = 0$  (pointwise).*

*Proof.* Since  $C$  is left invariant, it suffices to show the lemma for  $\mathcal{F}(t\text{-axis})$  and  $\mathcal{F}(\infty)$ . For  $\mathcal{F}(t\text{-axis})$ , using the above polar coordinate  $(r, \beta, \phi)$ ,

$$s^*\theta_1 = (1/\cos \beta) d \log r, \quad s^*\theta_2 = (-\tan \beta) d\phi, \quad s^*\theta_3 = (1/\cos \beta) d\beta, \\ s^*\theta_{12} = 0, \quad s^*\theta_{13} = (\tan \beta) d \log r, \quad s^*\theta_{23} = (-1/\cos \beta) d\phi.$$

For  $\mathcal{F}(\infty)$ , using the coordinate  $(x + iy, t)$ ,

$$s^*\theta_1 = (1/t) dx, \quad s^*\theta_2 = (-1/t) dy, \quad s^*\theta_3 = (-1/t) dt, \\ s^*\theta_{12} = 0, \quad s^*\theta_{13} = (1/t) dx, \quad s^*\theta_{23} = (-1/t) dy.$$

In both cases, we obtain  $s^*C = 0$ . q.e.d.

**Lemma 3.3.** *Let  $\mathcal{F}(\gamma) = (e_1, e_2, e_3)$  be a simple framing on  $H^3 - \gamma$ . Let  $v: H^3 - \gamma \rightarrow \mathbb{R}/2\pi\mathbb{Z}$  be a smooth map. Define a new framing on  $H^3 - \gamma$ ,  $\mathcal{F}'(\gamma) = (e'_1, e'_2, e'_3)$ , by*

$$\begin{aligned} e'_1(x) &= (\cos v(x)) e_1(x) + (\sin v(x)) e_2(x) \\ e'_2(x) &= -(\sin v(x)) e_1(x) + (\cos v(x)) e_2(x) \\ e'_3(x) &= e_3(x) \end{aligned}$$

for  $x \in H^3 - \gamma$ . Let  $s' : H^3 - \gamma \rightarrow PSL_2(\mathbb{C})$  be the section defined by  $\mathcal{F}'(\gamma)$ . Then  $s'^* C = 0$ .

The same result holds for a simple framing  $\mathcal{F}(w)$ .

*Proof.* Let  $s : H^3 - \gamma \rightarrow PSL_2(\mathbb{C})$  be the section defined by  $\mathcal{F}(\gamma)$ . Then the following relations hold,

$$\begin{aligned} s'^* \theta_1 &= (\cos v) s^* \theta_1 + (\sin v) s^* \theta_2, \\ s'^* \theta_2 &= -(\sin v) s^* \theta_1 + (\cos v) s^* \theta_2, \\ s'^* \theta_3 &= s^* \theta_3, \\ s'^* \theta_{12} &= s^* \theta_{12} - dv, \\ s'^* \theta_{13} &= (\cos v) s^* \theta_{13} + (\sin v) s^* \theta_{23}, \\ s'^* \theta_{23} &= -(\sin v) s^* \theta_{13} + (\cos v) s^* \theta_{23}. \end{aligned}$$

We have

$$s'^* C = s^* C - dv \wedge d(s^* \theta_3) - i dv \wedge d(s^* \theta_{12}).$$

By Lemma 3.2 and its proof,  $s^* C = d(s^* \theta_3) = s^* \theta_{12} = 0$ . *q.e.d.*

Let  $N$  be an oriented complete hyperbolic 3-manifold of finite volume with  $h$  cusps ( $h \geq 1$ ). We assume that  $N$  has an ideal triangulation,  $N = \Delta(z_1) \cup \dots \cup \Delta(z_n)$ .  $N$  has  $h$  ends  $\varepsilon_1, \dots, \varepsilon_h$  and we set  $\varepsilon = \varepsilon_1 \cup \dots \cup \varepsilon_h$ . Take a base point  $x_0$  in  $N$  and a point  $x_i$  in a torus section of  $\varepsilon_i$  ( $i = 1, \dots, h$ ). Let  $q_i(t)$  ( $0 \leq t \leq 1$ ) be a path in  $N$  with  $q_i(0) = x_0$  and  $q_i(1) = x_i$ . Set  $\Gamma = \pi_1(N, x_0)$  and  $\Gamma_i = (q_i)_\# \pi_1(T^2, x_i)$ , where  $(q_i)_\#$  is the homomorphism:  $\pi_1(T^2, x_i) \rightarrow \pi_1(N, x_0)$  induced by  $q_i$ .

Let  $U$  be the deformation space of the hyperbolic structure on  $N$ . Let  $P$  be the subset of  $U$  defined by  $P = \{u \in U \mid N_u \text{ has at least one cusp}\}$ . For  $u \in U$ , let  $\rho_u : \Gamma \rightarrow PSL_2(\mathbb{C})$  be a holonomy representation. If  $u \in U - P$ , then for each  $i = 1, \dots, h$ ,  $\rho_u(\Gamma_i)$  is an abelian subgroup of  $PSL_2(\mathbb{C})$  consisting of loxodromic elements and it leaves a unique geodesic in  $H^3$  invariant. We orient this geodesic (arbitrarily) and denote it by  $\gamma_i$ . For  $\delta > 0$ , we set  $E_\delta(\gamma_i) = \{x \in H^3 \mid 0 < d(x, \gamma_i) \leq \delta\}$  and  $T_\delta(\gamma_i) = \{x \in H^3 \mid d(x, \gamma_i) = \delta\}$ , where  $d$  denotes the hyperbolic distance. Let  $\widetilde{E}_\delta(\gamma_i)$  and  $\widetilde{T}_\delta(\gamma_i)$  be the universal cover of  $E_\delta(\gamma_i)$  and  $T_\delta(\gamma_i)$  respectively. Then the action of  $\rho_u(\Gamma_i)$  on  $E_\delta(\gamma_i)$  (resp.  $T_\delta(\gamma_i)$ ) is covered by a free action of  $\Gamma_i$  on  $\widetilde{E}_\delta(\gamma_i)$  (resp.  $\widetilde{T}_\delta(\gamma_i)$ ). The induced metric on  $\widetilde{T}_\delta(\gamma_i)$  from the hyperbolic metric on  $H^3$  lifts to a metric on  $\widetilde{T}_\delta(\gamma_i)$  and it gives  $\widetilde{T}_\delta(\gamma_i)$  the structure of the euclidean plane. The free action of  $\Gamma_i$  on  $\widetilde{T}_\delta(\gamma_i)$  is generated by parallel translations by two independent vectors of this euclidean plane [14]. We set

$$E_\delta(\varepsilon_i) = \Gamma_i \backslash \widetilde{E}_\delta(\gamma_i)$$

and

$$T_\delta(\varepsilon_i) = \Gamma_i \setminus \widetilde{T_\delta(\gamma_i)}.$$

For any sufficiently small  $\delta > 0$ ,  $E_\delta(\varepsilon_i)$  gives a neighborhood of the end  $\varepsilon_i$  of  $N_u$  which is diffeomorphic to  $T^2 \times [0, \infty)$  and  $T_\delta(\varepsilon_i)$  gives a torus section of  $\varepsilon_i$ . Since the simple framing  $\mathcal{F}(\gamma_i)$  is invariant under the action of  $\rho_u(\Gamma_i)$ , it defines a framing on  $E_\delta(\varepsilon_i)$  which we denote by  $\mathcal{F}(\varepsilon_i)$ . We call  $E_\delta(\varepsilon_i)$  the  $\delta$ -neighborhood of  $\varepsilon_i$ ,  $T_\delta(\varepsilon_i)$  the  $\delta$ -torus section of  $\varepsilon_i$  and  $\mathcal{F}(\varepsilon_i)$  a simple framing on  $E_\delta(\varepsilon_i)$ .

If  $u \in P$ , then some of the ends of  $N_u$  are cusps. If the  $i$ -th end is a cusp,  $\rho_u(\Gamma_i)$  is a free abelian subgroup of rank 2 of  $PSL_2(\mathbb{C})$  consisting of parabolic elements. It fixes a unique point  $w \in \partial H^3$ .  $\rho_u(\Gamma_i)$  acts freely on each horoball neighborhood of  $w$ , and for a sufficiently small horoball neighborhood  $E(w)$  of  $w$ , the orbit space  $\rho_u(\Gamma_i) \backslash E(w)$  gives a neighborhood of the cusp  $\varepsilon_i$ . We denote  $\rho_u(\Gamma_i) \backslash E(w)$  by  $E(\varepsilon_i)$ . Then  $E(\varepsilon_i)$  is a flat torus section of  $\varepsilon_i$ . Since  $\rho_u(\Gamma_i)$  acts on each horosphere with center  $w$  by parallel translations with respect to the euclidean structure on it, any simple framing  $\mathcal{F}(w)$  is invariant under the action of  $\rho_u(\Gamma_i)$  and it defines a framing  $\mathcal{F}(\varepsilon_i)$  on  $E(\varepsilon_i)$ . We call this framing on  $E(\varepsilon_i)$  a simple framing.

**Proposition 3.1.** *There are a link  $m$  in  $N$  (possibly empty) and an orthonormal framing  $\mathcal{F}$  defined on  $N - m$  such that  $\mathcal{F}$  is a simple framing on a neighborhood of the ends (=cusps)  $\varepsilon$  of  $N$  and  $\mathcal{F}$  has a special singularity at  $m$ . The link  $m$  can be taken so that  $m$  is contained in an arbitrarily small neighborhood of the cusps and each cusp contains at most one connected component of  $m$ .*

*Proof.* Let  $E = E_1 \cup \dots \cup E_h$  be a neighborhood of the cusps  $\varepsilon = \bigcup \varepsilon_i$  such that  $E_i = E(\varepsilon_i)$  is an orbit space of a horoball by the action of  $\Gamma_i$ , and  $E_i \cap E_j = \emptyset$  if  $i \neq j$  for  $i, j = 1, \dots, h$ . Set  $T = \partial E$ . Then  $T$  consists of  $h$  disjoint 2-tori,  $T = T_1 \cup \dots \cup T_h$ , where  $T_i = \partial E_i$ . We choose arbitrarily a family of simple framings  $\mathcal{F}(\varepsilon) = \{\mathcal{F}(\varepsilon_i)\}$  on  $E$  ( $i = 1, \dots, h$ ). We consider the obstruction to extending  $\mathcal{F}(\varepsilon)$  to an orthonormal framing on  $N$ . This is a purely topological problem. By definition, any two simple framings on  $E$  are homotopic by rotations about  $e_3$ -vectors. Hence the obstruction is independent of the choice of simple framings  $\mathcal{F}(\varepsilon)$ . Set  $N_0 = N - \dot{E}$ . Then  $N_0$  is a 3-manifold with boundary  $\partial N_0 = T$ . The only obstruction to extending  $\mathcal{F}(\varepsilon)$  over  $N$  is characterized by an element  $o$  of  $H^2(N, E, \pi_1(SO(3))) = H^2(N_0, T, \mathbb{Z}_2)$ . Consider the following commutative diagram,

$$\begin{CD} H^1(T, \mathbb{Z}_2) @>\delta^*>> H^2(N_0, T, \mathbb{Z}_2) @>k^*>> H^2(N_0, \mathbb{Z}_2) \\ @VV D V @VV D V @VV D V \\ H_1(T, \mathbb{Z}_2) @>j_*>> H_1(N_0, \mathbb{Z}_2) @>>> H_1(N_0, T, \mathbb{Z}_2), \end{CD}$$

where the upper (resp. lower) row is the cohomology (resp. homology) exact sequence of the pair  $(N_0, T)$  with  $\mathbb{Z}_2$ -coefficients,  $D$  denotes the Poincaré dual-



ity isomorphisms and  $j$  and  $k$  are the inclusions. The class  $k^*o$  represents the obstruction to putting a framing on  $N_0$ , whence  $k^*o=0$ . By exactness  $o=k^*o'$  for some  $o' \in H^1(T, \mathbb{Z}_2)$ . Put  $\bar{o} = D o' \in H_1(T, \mathbb{Z}_2) = \bigoplus_{i=1}^h H_1(T_i, \mathbb{Z}_2)$ .  $\bar{o}$  is written as  $\bar{o} = \sum \bar{o}_i$ ,  $\bar{o}_i \in H_1(T_i, \mathbb{Z}_2)$ . If  $\bar{o}_i \neq 0$ ,  $\bar{o}_i$  is represented by a simple closed curve  $m'_i$  on  $T_i$ . Set  $m' = \bigcup m'_i$  if some  $\bar{o}_i \neq 0$  and  $m' = \phi$  if  $\bar{o} = 0$ . We identify  $E$  with  $T \times [0, \infty)$ , where  $T \times 0 = T$ . Then  $m'$  lies on  $T \times 0$ . For each  $i$  such that  $m'_i \neq \phi$ , let  $m_i$  be a simple closed curve on  $T_i \times 1 \subset E_i$  which is isotopic to  $m'_i$  in  $E_i$ . Set  $m = \bigcup m_i$ . It can be seen that there is a framing  $\mathcal{F}_1$  on  $E - m$  such that  $\mathcal{F}_1 = \mathcal{F}(\varepsilon)$  on  $T \times [2, \infty) \subset E$  and  $\mathcal{F}_1$  has a special singularity at  $m$ . We compare  $\mathcal{F}_1$  and  $\mathcal{F}(\varepsilon)$  on  $T \times 0 = T$ . The difference between these two framings on  $T$  defines a map from  $T$  to  $SO(3)$ , and its homotopy class is represented by an element  $f = \sum f_i \in H^1(T, \mathbb{Z}_2) = \bigoplus H^1(T_i, \mathbb{Z}_2)$ . If  $\bar{o}_i = 0$ , we may assume that  $\mathcal{F}_1 = \mathcal{F}(\varepsilon)$  on  $E_i$ , whence  $f_i = 0$ . If  $\bar{o}_i \neq 0$ , let  $l'_i$  be a simple closed curve on  $T_i = T_i \times 0$  such that  $l'_i \cap m'_i = \text{one point}$ . The pair  $(m'_i, l'_i)$  forms a base of  $H_1(T_i, \mathbb{Z}_2)$  and  $f_i$  is characterized by its values on them. The curve  $m'_i$  is isotopic to a curve in  $T_i \times 2 \subset E_i$  by an isotopy in  $E_i - m$ . On  $T_i \times 2$ ,  $\mathcal{F}_1 = \mathcal{F}(\varepsilon)$  and it follows that  $f_i([m'_i]) = 0$ , where  $[m'_i]$  is the homology class in  $H_1(T_i, \mathbb{Z}_2)$  represented by  $m'_i$ . Modulo 1-chains in  $T_i \times [2, \infty)$ , the curve  $l'_i$  is homologous in  $E_i - m$  to a curve which is the boundary of a small 2-disc in  $E_i$  intersecting with  $m_i$  at exactly one point. Since  $\mathcal{F}_1 = \mathcal{F}(\varepsilon)$  on  $T_i \times [2, \infty)$  and  $\mathcal{F}_1$  has a special singularity at  $m$ , we have  $f_i([l'_i]) \neq 0$  in  $\mathbb{Z}_2$ . Therefore the Poincaré dual of  $f_i$  is represented by  $m'_i$  and that of  $f = \sum f_i$  by  $m' = \bigcup m'_i$ . On the other hand, the obstruction to extending  $\mathcal{F}(\varepsilon)$  over  $N$  is also represented by  $m'$ . It follows that  $\mathcal{F}_1$  extends to a framing  $\mathcal{F}$  on  $N - m$ , and  $\mathcal{F}$  has the desired properties.  $\text{q.e.d.}$

**Proposition 3.2.** *Let  $m$  be a link in  $N$  given in Proposition 3.1. Then there is a family of orthonormal framings  $\{\mathcal{F}_u\}_{u \in U}$  such that, for each  $u \in U$ , (i)  $\mathcal{F}_u$  is defined on  $N_u - m$  and it has a special singularity at  $m$ , (ii)  $\mathcal{F}_u$  is a simple framing  $\mathcal{F}(\varepsilon)$  on a neighborhood of the end of  $N_u$  and (iii)  $\{\mathcal{F}_u\}_{u \in U - P}$  depends on  $u \in U - P$  in a smooth manner and the integral  $\int_{s(N_u - m)} C$  defines a smooth function on  $U$ , where  $s: N_u - m \rightarrow F(N_u)$  is the section defined by  $\mathcal{F}_u$ .*

*Proof.* Let  $\mathcal{F}$  be an orthonormal framing on  $N - m$  which is a simple framing on a neighborhood of the cusps  $\varepsilon$  and has a special singularity at  $m$ . For  $u \in U$ ,  $N_u$  has a different hyperbolic structure from  $N$ . By Schmidt's orthonormalization, orthonormalizing  $\mathcal{F}$  with respect to this new hyperbolic structure, we obtain an orthonormal framing  $\mathcal{F}'_u$  on  $N_u - m$ . Near  $m$ ,  $\mathcal{F}'_u$  is homotopic to a framing having a special singularity at  $m$ . By deforming in a neighborhood of  $m$  if necessary, we may assume that  $\mathcal{F}'_u$  has a special singularity at  $m$  and the family of framings  $\{\mathcal{F}'_u\}_{u \in U}$  depends on  $u$  in a smooth manner. Let  $s': N_u - m \rightarrow F(N_u)$  be the section defined by  $\mathcal{F}'_u$ . Then the integral  $\int_{s'(N_u - m)} C$  defines a complex-valued smooth function on  $U$ . Let  $u \in U - P$ . Each flat torus section of a cusp of  $N$  gives a  $\delta$ -torus section of the corresponding end of  $N_u$  for some  $\delta > 0$ . Hence the  $e_3$ -vectors of  $\mathcal{F}'_u$  coincide with the  $e_3$ -vectors of a simple framing  $\mathcal{F}(\varepsilon)$  on a neighborhood of that end. Therefore deforming  $\mathcal{F}'_u$  by rotations about its  $e_3$ -vectors in a small neighborhood of the end of  $N_u$ , we obtain an

orthonormal framing  $\mathcal{F}_u$  such that  $\mathcal{F}_u$  is a simple framing  $\mathcal{F}(\varepsilon)$  on a neighborhood of the end of  $N_u$  and it has a special singularity at  $m$ . These deformations can be carried out in a smooth manner with respect to  $u \in U - P$ . By Lemma 3.3, these deformations have no affect on the integrals of  $C$ . Thus  $\int_{s'(N_u-m)} C = \int_{s(N_u-m)} C$ , where  $s: N_u - m \rightarrow F(N_u)$  is the section defined by  $\mathcal{F}_u$ . The family of framings  $\{\mathcal{F}_u\}_{u \in U}$  gives the desired one. q.e.d.

Let  $\{\mathcal{F}_u\}_{u \in U}$  be a family of framings given in Proposition 3.2. Let  $\kappa = (f_1, f_2, f_3)$  be an orthonormal framing defined on a subset of  $N$  containing  $m$  such that  $f_1(y)$  is tangent to  $m$  at each  $y \in m$  and it has the same direction as the  $e_1$ -vectors of  $\mathcal{F}$  near  $y$ . For  $u \in U$ , we orthonormalize  $\kappa$  with respect to the hyperbolic metric of  $N_u$ , and the resulting orthonormal framing is denoted by  $\kappa_u$ . Clearly the first vectors of  $\kappa_u$  are tangent to  $m$  at any points of  $m$ .

Now we define the function  $f(u)$ .

*Definition 3.2.* Let  $\{\mathcal{F}_u\}_{u \in U}$  and  $\{\kappa_u\}_{u \in U}$  be as above. We define the complex valued function on  $U$  by

$$f(u; \mathcal{F}_u, \kappa_u) = \int_{s(N_u-m)} C - \frac{1}{2\pi} \int_{s(m)} (\theta_1 - i\theta_{23}),$$

where  $s: N_u - m \rightarrow F(N_u)$  and  $s: m \rightarrow F(N_u)$  are the sections defined by  $\mathcal{F}_u$  and  $\kappa_u$  respectively. If  $\mathcal{F}_u$  and  $\kappa_u$  are prescribed, we write  $f(u; \mathcal{F}_u, \kappa_u)$  simply as  $f(u)$ .

The following theorem will be proved in the next section.

**Theorem 3.1.** *For each prescribed family  $\{\mathcal{F}_u, \kappa_u\}$ ,  $f(u)$  is a complex analytic function on a neighborhood  $V$  of  $u^0$  in  $U$ , where  $u^0$  represents the original complete hyperbolic structure on  $N$ .*

Let  $u \in U$  be a point such that  $N_u$  can be completed to a closed hyperbolic manifold  $M_u$  by adjoining  $h$  closed geodesics  $\{\gamma_i\}$  to the ends  $\{\varepsilon_i\}$  of  $N_u$ . Set  $\gamma = \bigcup \gamma_i$  and  $L = \gamma \cup m$ . Then  $L$  is a link in  $M_u$  and  $M_u - L = N_u - m$ . The section  $s: N_u - m \rightarrow F(N_u)$  defined by  $\mathcal{F}_u$  is considered as the section  $s: M_u - L \rightarrow F(M_u)$ . Note that  $\mathcal{F}_u$  is an orthonormal framing on  $M_u - L$  having a special singularity at  $L$  by conditions (i) and (ii) of Proposition 3.2. Let  $\overline{M}_u$  be the closure of  $s(M_u - L)$  in  $F(M_u)$ . Then  $\overline{M}_u$  is a 3-manifold with boundary  $\partial \overline{M}_u = S \cup R$ , where  $S$  and  $R$  are mapped onto  $\gamma$  and  $m$  respectively by the bundle projection  $F(M_u) \rightarrow M_u$ . Let  $\alpha = (\bar{e}_1, \bar{e}_2, \bar{e}_3)$  be an orthonormal framing on a subset of  $M_u$  containing  $\gamma$  such that  $e_1(y)$  is tangent to  $\gamma$  at each  $y \in \gamma$  and it has the same direction as the first vectors of  $\mathcal{F}_u$  near  $y$ . Then  $\alpha$  and  $\kappa_u$  define the diffeomorphisms,  $\psi_\alpha: S^1 \times \gamma \rightarrow S$  and  $\psi_\kappa: S^1 \times m \rightarrow R$  by

$$\psi_\alpha(v, y) = (\bar{e}_1(y), (\cos v)\bar{e}_2(y) - (\sin v)\bar{e}_3(y), (\sin v)\bar{e}_2(y) + (\cos v)\bar{e}_3(y))$$

and

$$\psi_\kappa(v, y) = (f_1(y), (\cos v)f_2(y) - (\sin v)f_3(y), (\sin v)f_2(y) + (\cos v)f_3(y))$$

for  $v \in S^1 = \mathbb{R}/2\pi\mathbb{Z}$  and  $y \in \gamma \cup m$ . Set  $\psi = \psi_\alpha \cup \psi_\kappa: S^1 \times L \rightarrow S \cup R$ . Let  $q: S^1 \times L \rightarrow L$  be the projection onto the second factor. Let  $s: L \rightarrow F(M_u)$  be the

section defined by  $\alpha$  and  $\kappa_u$ . Then as in the proof of Lemma 1.5, we have  $\psi^* \theta_i(\partial/\partial v) = \psi^* \theta_{i1}(\partial/\partial v) = 0$  ( $i = 1, 2, 3$ ) and  $\psi^* \theta_{23}(\partial/\partial v) = 1$ . It follows that  $\psi^*(\theta_2 \wedge \theta_{31}) = \psi^*(\theta_3 \wedge \theta_{12}) = 0$  and  $\psi^*(\theta_1 \wedge \theta_{23}) = q^* s^* \theta_1 \wedge (dv + q^* s^* \theta_{23}) = q^* s^* \theta_1 \wedge dv$ . The orientations of  $S^1 \times \gamma$  and  $S^1 \times m$  are given by  $(e_1, -\partial/\partial v)$  and  $(f_1, -\partial/\partial v)$  respectively. By calculations similar to those in the proof of Lemma 1.5, we have

$$\begin{aligned} \text{Re} f(u) &= \frac{1}{\pi^2} \int_{M_u} \theta_1 \wedge \theta_2 \wedge \theta_3 - \frac{1}{4\pi^2} \int_{S^1 \times L} \psi^*(\theta_1 \wedge \theta_{23}) - \frac{1}{2\pi} \int_{s(m)} \theta_1 \\ &= \frac{1}{\pi^2} \text{vol}(M_u) + \frac{1}{2\pi} \int_{s(\gamma)} \theta_1 + \frac{1}{2\pi} \int_{s(m)} \theta_1 - \frac{1}{2\pi} \int_{s(m)} \theta_1 \\ &= \frac{1}{\pi^2} \text{vol}(M_u) + \frac{1}{2\pi} \int_{s(\gamma)} \theta_1 \\ &= \frac{1}{\pi^2} \text{vol}(M_u) + \frac{1}{2\pi} \sum_i \text{length}(\gamma_i). \end{aligned}$$

Next we consider  $\text{Im} f(u)$ . Following [10], the Chern-Simons invariant  $CS(M_u)$  of  $M_u$  is defined by

$$CS(M_u) = \int_{s(M_u - L)} \frac{1}{2} Q - \frac{1}{4\pi} (\tau(\gamma, \alpha) + \tau(m, \kappa_u)) \pmod{\frac{1}{2}\mathbf{Z}},$$

where  $s: M_u - L \rightarrow F(M_u)$  is the section defined by  $\mathcal{F}_u$ . The fact that this is actually an invariant of  $M_u$  follows from Corollary 1.1 in Sect. 1. Using this invariant we have

$$\begin{aligned} \text{Im} f(u) &= \int_{s(M_u - L)} Q - \frac{1}{2\pi} \tau(m, \kappa_u) \\ &= 2 CS(M_u) + \frac{1}{2\pi} \tau(\gamma, \alpha) \pmod{\mathbf{Z}} \\ &= 2 CS(M_u) + \frac{1}{2\pi} \sum_i \text{torsion}(\gamma_i) \pmod{\mathbf{Z}}. \end{aligned}$$

We set  $F(u) = \exp(2\pi f(u))$ . Then  $F(u)$  is a complex analytic function on a neighborhood  $V$  of  $u^0$  in  $U$  by Theorem 3.1. For  $u \in U$  such that  $N_u$  can be completed to a closed hyperbolic manifold  $M_u$  by adjoining  $h$  simple closed geodesics  $\{\gamma_i\}$  to the  $h$  ends of  $N_u$ ,

$$F(u) = \exp\left(\frac{2}{\pi} \text{vol}(M_u) + i 4\pi CS(M_u)\right) \prod_{i=1}^h \exp(\text{length}(\gamma_i) + i \text{torsion}(\gamma_i)).$$

This proves Theorem 2 in Introduction.

*Remark.* These computations show that  $F(u)$  is independent of the choices of  $\{\mathcal{F}_u\}$ ,  $\{\kappa_u\}$  and  $m$ ; in particular,  $f(u)$  differs only by an integral multiple of  $i$  when different choices of them are made. However this can be proved directly using the closedness of  $C$ .

The  $\eta$ -invariant of  $M_u$ .

We consider  $\eta(M_u)$ , where  $u \in V$  on which  $f(u)$  is analytic and  $N_u$  is completed to the closed hyperbolic manifold  $M_u$ . Set  $L = \gamma \cup m$  as above. Let  $\alpha_u = (\bar{e}_1, \bar{e}_2, \bar{e}_3)$  be an orthonormal framing on  $M_u$  such that  $\bar{e}_1(y)$  is tangent to  $L$  at each  $y \in L$  and it has the same direction as the first vectors of  $\mathcal{F}_u$  near  $y$ . As noted before,  $\mathcal{F}_u$  is an orthonormal framing on  $M_u - L$  having a special singularity at  $L$ . Applying Theorem 1 to this case, we obtain

**Theorem 3.2.**

$$\eta(M_u) = \frac{1}{3} \operatorname{Im} f(u) - \frac{1}{6\pi} \tau(\gamma, \alpha_u) + \frac{2}{3} d(\mathcal{F}_u, \alpha_u) + \delta(M_u, \alpha_u) + \frac{1}{6\pi} (\tau(m, \kappa_u) - \tau(m, \alpha_u)),$$

where  $f(u)$  is the analytic function on a neighborhood  $V$  of  $u^0$  in  $U$  defined in Definition 3.2 using the framings  $\{\mathcal{F}_u\}$  and  $\{\kappa_u\}$ , and  $u \in V$ .

**4. Proof of Theorem 3.1**

Let  $N = \Delta(z_1^0) \cup \dots \cup \Delta(z_n^0)$  be the ideal triangulation of the complete hyperbolic manifold  $N$ . For each point  $u = (z_1, \dots, z_n)$  of the deformation space  $U$  of the hyperbolic structure on  $N$ , let  $N_u = \Delta(z_1) \cup \dots \cup \Delta(z_n)$  be the ideal triangulation of  $N_u$ . For each  $u \in U$ , starting at the ideal tetrahedron in  $H^3$  with vertices  $\{0, 1, z_1, \infty\}$ , by analytic continuation, we obtain a developing map  $d_u: \tilde{N}_u \rightarrow H^3$ , where  $\tilde{N}_u$  is the universal cover of  $N_u$ . Thus we obtain a family of the developing maps  $\{d_u\}_{u \in U}$  which depends smoothly on  $u$ .

Let  $\rho_u: \Gamma = \pi_1(N, x_0) \rightarrow PSL_2(\mathbb{C})$  be the holonomy representation defined by  $d_u(g\tilde{x}) = \rho_u(g)d_u(\tilde{x})$ , where  $\tilde{x} \in \tilde{N}_u$  and  $g \in \Gamma$ .

$\tilde{N}_u$  has the ideal triangulation consisting of the infinite ideal tetrahedra each of which is a lift of some  $\Delta(z_i)$ ,  $i = 1, \dots, n$ . Let  $0$  be the set of all the vertices of those infinite ideal tetrahedra. Then we can define the image set  $d_u(0)$  in  $\partial H^3 = \mathbb{C} \cup \infty$ .  $d_u(0)$  is a subset of  $\partial H^3$  consisting of all the points each of which is a fixed point of the subgroup  $\rho_u(g\Gamma_i g^{-1})$  for some  $g \in \Gamma$  and  $\Gamma_i = \pi_1(\varepsilon_i)$  ( $i = 1, \dots, n$ ). For each  $o \in 0$ , the coordinate of  $d_u(o)$  in  $\mathbb{C} \cup \infty$  can be written as a meromorphic function of  $(z_1, \dots, z_n)$ . Hence it gives an analytic map from  $U$  to the Riemann sphere  $\mathbb{C} \cup \infty$ .

**Lemma 4.1.** *Let  $\{o_1, o_2, o_3\}$  be a set of three distinct points in  $0$ . Let  $a \in U$  and let  $U_a$  be a neighborhood of  $a$  in  $U$ . Assume that  $d_u(o_i) \neq d_u(o_j)$  ( $i \neq j, i, j = 1, 2, 3$ ) for each  $u \in U_a$ . Then for each  $u \in U_a$ , there is a unique element  $g(u) \in PSL_2(\mathbb{C})$  such that  $g(u)d_u(o_i) = d_u(o_i)$  ( $i = 1, 2, 3$ ) and the map  $U_a \ni u \rightarrow g(u) \in PSL_2(\mathbb{C})$  is an analytic map.*

*Proof.* If we represent  $g(u)$  by a matrix in  $SL_2(\mathbb{C})$ , the components of the matrix are given by the solutions of linear equations whose coefficients are polynomials of the coordinates of  $d_u(o_i)$  and  $d_u(o_j)$  in  $\mathbb{C} \cup \infty$  ( $i = 1, 2, 3$ ). From this, the lemma follows. q.e.d.

For each subset  $A$  of  $N_u$ , we denote by  $\tilde{A}$  the inverse image of  $A$  of the covering map  $\tilde{N}_u \rightarrow N_u$ . Let  $m$  be a link in  $N$  given in Proposition 3.1 and let  $\{\mathcal{F}_u\}_{u \in U}$  be a family of framings given in Proposition 3.2. Then the lift of  $\mathcal{F}_u$  to  $\tilde{N}_u - \tilde{m}$  defines the map  $\tilde{s}: \tilde{N}_u - \tilde{m} \rightarrow PSL_2(\mathbb{C})$  such that the following diagram commutes

$$\begin{array}{ccc} \tilde{N}_u - \tilde{m} & \xrightarrow{\tilde{s}} & PSL_2(\mathbb{C}) \\ & \searrow d_u & \downarrow \\ & & H^3 \end{array}$$

where  $d_u$  is the restriction of the developing map and the right vertical map is the bundle projection of  $PSL_2(\mathbb{C}) = F(H^3)$  as in Sect. 2.

Choose lifts in  $\tilde{N}_u$  of the  $n$  ideal tetrahedra of  $N_u$ ,  $\tilde{\Delta}(z_1), \dots, \tilde{\Delta}(z_n)$ , so that

$$D_u = \tilde{\Delta}(z_1) \cup \dots \cup \tilde{\Delta}(z_n)$$

is a connected fundamental domain in  $\tilde{N}_u$  with respect to the covering transformation group. Then  $D_u \cap \tilde{m}$  consists of finite arcs. Set  $D'_u = D_u - D_u \cap \tilde{m}$ . By definition,  $\int_{s(N_u - m)} C = \int_{D'_u} \tilde{s}^* C$ , where  $s: N_u - m \rightarrow F(N_u)$  is the section defined by  $\mathcal{F}_u$ .

As in Sect. 2, let  $P$  be the subset of  $U$  defined by  $P = \{u \in U \mid N_u \text{ has at least one cusp}\}$ . By Proposition 2.1, there is a neighborhood  $V$  of  $u^0 = (z_1^0, \dots, z_n^0)$  in  $U$  such that  $P \cap V$  is a proper algebraic subset in  $V$ . We prove the analyticity of  $f(u)$  on  $V$ .

Since  $f(u)$  is a smooth function on  $V$  and  $V \cap P$  is represented as the zero set of some non-trivial analytic functions, by a fundamental theorem of the complex function theory of several variables, it suffices to show that  $f(u)$  is analytic on  $V - P$  (e.g. [3]). Let us fix an (arbitrary) point  $a \in V - P$ . Take an open neighborhood of  $a$  in  $V - P$ ,  $V_a$ , such that  $V_a$  is analytically equivalent to the unit ball in  $\mathbb{C}^h$  (see Sect. 2) and  $\bar{V}_a \subset V - P$ . On  $V_a$ , we will express  $f(u)$  by a path-integral of an analytic closed 1-form.

Let  $\{o_k\}_{k=1, \dots, r}$  be all the vertices of the ideal polyhedron  $D_u$ , where  $r = \#\{\text{the vertices of } D_u\}$ . For each  $u \in V_a$ , each vertex  $o_k$  is one of the two fixed points of  $\rho_u(g_k \Gamma_i g_k^{-1})$  in  $\partial H^3$ , which are the end points of the geodesic  $\gamma_k$  in  $H^3$  left invariant by  $\rho_u(g_k \Gamma_i g_k^{-1})$ , for some  $g_k$  and  $\Gamma_i = \pi_1(\varepsilon_i) (i=1, \dots, h)$ . Set for  $\delta > 0$ ,

$$D_\delta(\gamma_k) = \{x \in H^3 \mid d(x, \gamma_k) \leq \delta\},$$

where  $d$  denotes the hyperbolic distance. Since the framing  $\mathcal{F}_u$  on  $N_u - m$  is a simple framing on a neighborhood of the end of  $N_u$  and  $\bar{V}_a$  is compact, we may choose  $\delta > 0$  to be so small that  $\tilde{m} \cap \bigcup_k D_\delta(\gamma_k) = \emptyset$  and  $\tilde{s}(D_u \cap D_\delta(\gamma_k)) (\subset PSL_2(\mathbb{C}) = F(H^3))$  is a part of the simple framing  $\mathcal{F}(\gamma_k)$  for each  $u \in V_a$  and  $k=1, \dots, r$ . Set  $D''_u = D_u - \tilde{m} - \bigcup_k D_\delta(\gamma_k) = D'_u - \bigcup_k D_\delta(\gamma_k)$ . By Lemma 3.2,  $\tilde{s}^* C = 0$  on  $\bigcup_k D_\delta(\gamma_k)$  and we have  $\int_{D'_u} \tilde{s}^* C = \int_{D''_u} \tilde{s}^* C$ . The map  $\tilde{s}: D''_u \rightarrow PSL_2(\mathbb{C})$  is an immersion, and by taking the closure of the image  $\tilde{s}(D''_u)$  in  $PSL_2(\mathbb{C})$ , we can compactify  $D''_u$  and

we denote the resulting compact polyhedron by  $X_u$ . Since  $\mathcal{F}_u$  has a special singularity at  $m$ , by the same argument as in the proof of Lemma 1.2 (using the lift of the framing  $\kappa_u$  on  $m$  to  $\tilde{m}$ ), we see that  $X_u$  is obtained by attaching a finite union of cylinders diffeomorphic to  $S^1 \times (D_u \cap \tilde{m})$  after deleting  $D_u \cap \tilde{m}$  from  $D_u - \bigcup_k \tilde{D}_\delta(\gamma_k)$ .

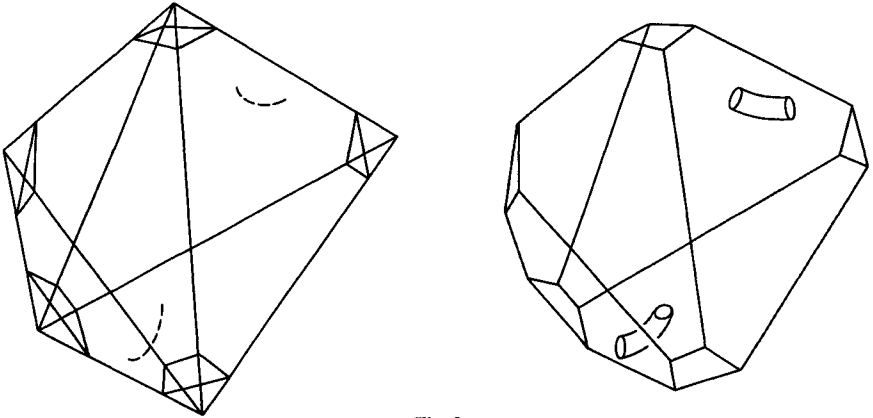


Fig. 2

The immersion  $\tilde{s}$  extends naturally to an immersion  $\tilde{s}: X_u \rightarrow PSL_2(\mathbb{C})$  and  $\int_{D'_u} \tilde{s}^* C = \int_{X_u} \tilde{s}^* C$ . The boundary  $\partial X_u$  consists of three parts,  $\partial X_u = Y_u \cup Z_u \cup W_u$ , where

$$\begin{aligned}
 Y_u &= \partial X_u - D''_u \text{ (the set of the compactifying points)} \\
 Z_u &= D''_u \cap \left( \bigcup_k D_\delta(\gamma_k) \right) \\
 W_u &= \partial X_u - (Y_u \cup Z_u).
 \end{aligned}$$

For each 2-face  $S_u$  of  $W_u$ , there is another 2-face  $S'_u$  of  $W_u$  such that  $S'_u = gS_u$  for some  $g \in \Gamma$  uniquely determined by  $S_u$ , and  $W_u$  consists of finite pairs of such 2-faces  $(S_u, gS_u)$ . Now we fix  $a \in V_a$  as before, and set  $X = X_a$ ,  $Y = Y_a$ ,  $Z = Z_a$ ,  $W = W_a$  and  $\tilde{W} = \bigcup (S \cup gS)$ . We note that the ideal triangulation of  $N$  gives a combinatorial triangulation of  $N$  and the link  $m$  is a combinatorial submanifold of  $N$ . If the hyperbolic structure on  $N$  varies, the combinatorial properties of  $N$  and  $m$  remain unchanged. Thus the combinatorial shape of  $X_u$  remains unchanged when  $u$  varies. Each  $X_u$  has the boundary pattern indicated in Fig. 2 and we have a family of diffeomorphisms

$$\{h_u: X \rightarrow X_u\}_{u \in V_a}$$

preserving this boundary pattern and satisfying  $h_u(gx) = gh_u(x)$  for  $x \in S \subset W$ . Define

$$H: V_a \times X \rightarrow PSL_2(\mathbb{C})$$

by  $H(u, x) = \tilde{s}(h_u(x))$  for  $u \in V_a$  and  $x \in X$ .

We define smooth 1-forms of three kinds on  $V_a$  as follows.

(i)  $\omega_1(g)$ .

Let  $g \in \Gamma$ . We set

$$\omega_1(g) = (\rho_u(g))^* \frac{1}{2\pi} (\theta_1 - i\theta_{23})$$

where we regard  $u \rightarrow \rho_u(g)$  as a map  $V_a \rightarrow PSL_2(\mathbb{C})$ .  $\omega_1(g)$  is an analytic 1-form on  $V_a$ .

(ii)  $\omega_2(g)$ .

Let  $G: PSL_2(\mathbb{C}) \times PSL_2(\mathbb{C}) \rightarrow PSL_2(\mathbb{C})$  be the multiplication of  $PSL_2(\mathbb{C})$ ,  $G(g_1, g_2) = g_1 g_2 (g_1, g_2 \in PSL_2(\mathbb{C}))$ . Since  $C$  is a bi-invariant form on  $PSL_2(\mathbb{C})$ ,  $G^* C$  is written as

$$G^* C = p_1^* C + \omega^{2,1} + \omega^{1,2} + p_2^* C$$

where  $p_j: PSL_2(\mathbb{C}) \times PSL_2(\mathbb{C}) \rightarrow PSL_2(\mathbb{C})$  is the projection onto the  $j$ -th factor ( $j=1, 2$ ) and  $\omega^{i,j}$  is an analytic form belonging to  $\bigwedge^i PSL_2(\mathbb{C}) \otimes \bigwedge^j PSL_2(\mathbb{C})$  ( $i, j = 1, 2$ ).

Let  $(S, gS)$  be a pair of 2-faces of  $W$ . Let

$$\rho \times H: V_a \times S \rightarrow PSL_2(\mathbb{C}) \times PSL_2(\mathbb{C})$$

be the map defined by  $(\rho \times H)(u, x) = (\rho_u(g), H(u, x))$  for  $u \in V_a$  and  $x \in S$ . Then  $(\rho \times H)^* \omega^{1,2}$  is a smooth 3-form on  $V_a \times S$ . By partial integration along  $S$ , we define the smooth 1-form on  $V_a$ ,

$$\omega_2(g) = \int_S (\rho \times H)^* \omega^{1,2}.$$

Let  $(u_1, \dots, u_h)$  be a complex coordinate on  $V_a$ . Since the map  $V_a \ni u \rightarrow \rho_u(g) \in PSL_2(\mathbb{C})$  is analytic, each term in  $(\rho \times H)^* \omega^{1,2}$  which involves the factor  $d\bar{u}_i$  ( $i=1, \dots, h$ ) in its expression in the coordinate vanishes under the above partial integration. Hence  $\omega_2(g)$  is a smooth 1-form on  $V_a$  which does not involve  $d\bar{u}_i$ , that is, it is of type  $(1,0)$ .

(iii)  $\omega_3(A)$ .

For  $u \in V_a$ , let  $\Delta_u$  be one of the ideal tetrahedra  $\{\Delta(z_i)\}$  ( $i=1, \dots, n$ ), where  $N_u = \Delta(z_1) \cup \dots \cup \Delta(z_n)$ . Let  $J_u$  be one of the four connected components of  $Z_u \cap \Delta_u$ . Then  $J_u$  is a triangle with 3 vertices  $\{v_0(u), v_1(u), v_2(u)\}$  each of which is an intersection of  $J_u$  with an edge geodesic of the triangulation of  $X_u$ . There is a unique geodesic  $\gamma(u)$  in  $H^3$  such that  $d_u(J_u) \subset T_\delta(\gamma(u)) = \{x \in H^3 \mid d(x, \gamma(u)) = \delta\}$ . Moreover for each  $j=0, 1, 2$ , there is a unique geodesic  $\gamma_j(u)$  in  $H^3$  such that  $d_u(v_j(u)) = \gamma_j(u) \cap T_\delta(\gamma(u))$ . By assumption,  $\tilde{s}(J_u) \subset PSL_2(\mathbb{C}) = F(H^3)$  is a part of the simple framing  $\mathcal{F}(\gamma(u))$ . We regard  $\tilde{s}(v_j(u))$  as a framing at  $d_u(v_j(u))$ . There is a unique element  $g_j(u) \in PSL_2(\mathbb{C})$  such that  $\tilde{s}(v_j(u)) = g_j(u) \tilde{s}(v_j(a))$  ( $j=0, 1, 2$ ).

**Lemma 4.2.** *The map  $g_j: u \rightarrow g_j(u)$  is an analytic map from  $V_a$  to  $PSL_2(\mathbb{C})$  ( $j=0, 1, 2$ ).*

*Proof.* The geodesics  $\gamma(u)$  and  $\gamma_j(u)$  have a unique common end point  $o(u) \in \partial H^3$ . Let  $\{o(u), o'(u)\}$  be the end points of  $\gamma(u)$  and let  $\{o(u), o''(u)\}$  be those of  $\gamma_j(u)$ . Then  $\{o(u), o'(u), o''(u)\}$  are three distinct points in  $\partial H^3$ . Hence there is a unique

element  $g \in PSL_2(\mathbb{C})$  such that  $g$  maps the ordered triple  $\{o(a), o'(a), o''(a)\}$  to the ordered triple  $\{o(u), o'(u), o''(u)\}$ . Then  $g$  maps  $\gamma(a)$  to  $\gamma(u)$ ,  $\gamma_j(a)$  to  $\gamma_j(u)$  and  $\mathcal{F}(\gamma(a))$  to  $\mathcal{F}(\gamma(u))$ . Since  $\delta$  is a constant, it follows that  $g = g_j(u)$ . By Lemma 4.1, the lemma follows. *q.e.d.*

There are two elements of  $PSL_2(\mathbb{C})$ ,  $w_1$  and  $w_2$ , such that both of them leave  $\gamma(a)$  invariant and  $\tilde{s}(v_1(a)) = w_1 \tilde{s}(v_0(a))$  and  $\tilde{s}(v_2(a)) = w_2 \tilde{s}(v_0(a))$ . Set  $a_j(u) = g_j(u) w_j g_0(u)^{-1}$  ( $j=1, 2$ ). Then  $a_j(u) \tilde{s}(v_0(u)) = \tilde{s}(v_j(u))$ , and  $a_j(u)$  leaves  $\gamma(u)$  invariant ( $j=1, 2$ ). The subgroup of  $PSL_2(\mathbb{C})$  consisting of those elements which leave  $\gamma(u)$  invariant is isomorphic to  $\mathbb{C}^*$ . The restriction of the simple framing  $\mathcal{F}(\gamma(u))$  to  $T_\delta(\gamma(u))$ ,  $B_u = \mathcal{F}(\gamma(u))|T_\delta(\gamma(u))$ , is an orbit of the  $\mathbb{C}^*$  action on  $PSL_2(\mathbb{C})$  by the left multiplication of this subgroup. The universal cover  $\tilde{B}_u$  of  $B_u$  has the  $\mathbb{C}$ -action which is the lift of the  $\mathbb{C}^*$ -action on  $B_u$ , and this  $\mathbb{C}$ -action gives an identification of  $\tilde{B}_u$  with  $\mathbb{C}$ . The map  $\tilde{s}$  immerses the triangle  $J_u$  into  $B_u$ , and the immersed image  $\tilde{s}(J_u)$  lifts to an embedded triangle  $\tilde{J}_u$  in  $\tilde{B}_u$ . With respect to the identification of  $\tilde{B}_u$  with  $\mathbb{C}$ ,  $\tilde{J}_u$  is considered as an affine triangle with vertices  $\{\tilde{v}_0(u), \tilde{v}_1(u), \tilde{v}_2(u)\}$  where  $\tilde{v}_j(u)$  is the lift of  $\tilde{s}(v_j(u))$  in  $B_u$  to  $\tilde{B}_u$  ( $j=0, 1, 2$ ). Let  $(t_0, t_1, t_2)$  be the barycentric coordinate of  $\tilde{J}_u$  with respect to the affine structure on  $\tilde{B}_u = \mathbb{C}$ , and we write the points of  $\tilde{J}_u$  as  $\sum t_j \tilde{v}_j(u)$ . Identifying  $J_u$  with  $\tilde{J}_u$  by the embedding, we write the points of  $J_u$  as  $\sum t_j v_j(u)$ . The elements  $a_1(u)$  and  $a_2(u) \in PSL_2(\mathbb{C})$  are contained in the subgroup which leaves  $\gamma(u)$  invariant, hence they are considered as two elements in  $\mathbb{C}^*$ . Hence we may write as

$$\tilde{s}(\sum t_j v_j(u)) = a_1(u)^{t_1} a_2(u)^{t_2} \tilde{s}(v_0(u)),$$

where  $a_j(u)^t$  is defined by analytic continuation. Since  $\tilde{s}(v_0(u)) = g_0(u) \tilde{s}(v_0(a))$ , we have

$$\tilde{s}(\sum t_j v_j(u)) = a_1(u)^{t_1} a_2(u)^{t_2} g_0(u) \tilde{s}(v_0(a)).$$

Let  $J$  be the euclidean triangle in the real plane  $\mathbb{R}^2$  with vertices  $\{(0,0), (1,0), (0,1)\}$  and let  $(t_0, t_1, t_2)$  be its barycentric coordinate. Define the map

$$A: V_a \times J \rightarrow PSL_2(\mathbb{C})$$

by

$$A(u, (t_0, t_1, t_2)) = a_1(u)^{t_1} a_2(u)^{t_2} g_0(u).$$

Then  $A$  is a smooth map and, for each  $(t_0, t_1, t_2)$ , the restriction of  $A$  to  $V_a \times (t_0, t_1, t_2)$  gives an analytic map from  $V_a$  to  $PSL_2(\mathbb{C})$  by Lemma 4.2.

We define

$$\omega_3(A) = \int_J A^* C,$$

where  $\int_J$  means the partial integration along  $J$ . Then  $\omega_3(A)$  defines an analytic 1-form on  $V_a$ .

Using the above smooth 1-forms on  $V_a$  of three kinds, we proceed to prove that  $f(u)$  is written as a path integral of an analytic closed 1-form on  $V_a$ .

Let  $u(t)$  ( $0 \leq t \leq 1$ ) be a smooth path in  $V_a$  with  $u(0) = a$  and  $u(1) = u$ . Set



$H(t, x) = H(u(t), x)$  for  $t \in [0, 1]$  and  $x \in X$ , where  $H(u, x): V_u \times X \rightarrow PSL_2(\mathbb{C})$  is defined as before.  $H = H(t, x)$  is a map from  $[0, 1] \times X$  to  $PSL_2(\mathbb{C})$ . Since  $C$  is a closed form, by Stokes's theorem, we have

$$\begin{aligned} 0 &= \int_{[0, 1] \times X} dH^* C \\ &= \int_{1 \times X} H^* C - \int_{0 \times X} H^* C + \int_{[0, 1] \times \partial X} H^* C. \end{aligned}$$

Since, for  $j = 0, 1$ ,  $H(j, x) = H(u(j), x) = \tilde{s} h_{u(j)}(x)$ , we have

$$\int_{1 \times X} H^* C = \int_{X_u} \tilde{s}^* C \quad \text{and} \quad \int_{0 \times X} H^* C = \int_X \tilde{s}^* C.$$

It follows that

$$\int_{X_u} \tilde{s}^* C - \int_X \tilde{s}^* C = - \int_{[0, 1] \times \partial X} H^* C. \tag{1}$$

Since  $\partial X = Y \cup Z \cup W$  as before, we have

$$\int_{[0, 1] \times \partial X} H^* C = \int_{[0, 1] \times Y} H^* C + \int_{[0, 1] \times Z} H^* C + \int_{[0, 1] \times W} H^* C. \tag{2}$$

At first we consider the integral on  $[0, 1] \times Y$  of  $H^* C$ . The framing  $\kappa_u = (f_1, f_2, f_3)$  on  $m$  in  $N_u$  lifts to the framing  $\tilde{\kappa}_u = (\tilde{f}_1, \tilde{f}_2, \tilde{f}_3)$  on  $\tilde{m}$  in  $\tilde{N}_u$ . As in the proof of Lemma 1.2, we have the diffeomorphism

$$\psi: [0, 1] \times S^1 \times (D_a \cap \tilde{m}) \rightarrow [0, 1] \times Y$$

defined by

$$\begin{aligned} \psi(t, v, y) &= \{t\} \times h_{u(t)}^{-1}(\tilde{f}_1(y), (\cos v)\tilde{f}_2(y) - (\sin v)\tilde{f}_3(y), \\ &\quad (\sin v)\tilde{f}_2(y) + (\cos v)\tilde{f}_3(y)) \end{aligned}$$

for  $t \in [0, 1]$ ,  $v \in S^1 = \mathbb{R}/2\pi\mathbb{Z}$  and  $y \in D_a \cap \tilde{m}$ , where we identify  $D_a \cap \tilde{m}$  with  $D_{u(t)} \cap \tilde{m}$  ( $0 \leq t \leq 1$ ),  $h_{u(t)}: Y \rightarrow Y_{u(t)}$  is the diffeomorphism defined as before and  $Y_{u(t)}$  is considered as an (immersed) submanifold of  $PSL_2(\mathbb{C})$ . As in the proof of Lemma 1.5, we have  $(\psi^* H^* \theta_i)(\partial/\partial v) = (\psi^* H^* \theta_{i1})(\partial/\partial v) = 0$  ( $i = 1, 2, 3$ ) and  $(\psi^* H^* \theta_{23})(\partial/\partial v) = 1$ . It follows that

$$\psi^* H^* C = -\frac{1}{4\pi^2} \psi^* H^*(d(\theta_1 \wedge \theta_{23})) + \frac{i}{4\pi^2} \psi^* H^*(\theta_{23} \wedge d\theta_{23}).$$

The orientation of  $[0, 1] \times S^1 \times (D_a \cap \tilde{m})$  is given by  $(\partial/\partial t, -\partial/\partial v, \tilde{f}_1)$ . By calculations similar to the ones in the proof of Lemma 1.5, using the partial integration along  $S^1$  and Stokes's theorem, we have

$$\begin{aligned} \int_{[0, 1] \times Y} H^* C &= \int_{[0, 1] \times S^1 \times (D_a \cap \tilde{m})} \psi^* H^* C \\ &= -\frac{1}{2\pi} \int_{1 \times (D_a \cap \tilde{m})} s^*(\theta_1 - i\theta_{23}) + \frac{1}{2\pi} \int_{0 \times (D_a \cap \tilde{m})} s^*(\theta_1 - i\theta_{23}) \\ &\quad + \frac{1}{2\pi} \int_{[0, 1] \times \partial(D_a \cap \tilde{m})} s^*(\theta_1 - i\theta_{23}), \end{aligned}$$

where  $s: [0, 1] \times (D_a \cap \tilde{m}) \rightarrow PSL_2(\mathbb{C})$  is defined by  $s(t, y) = \tilde{\kappa}_{u(t)}(y)$  for  $t \in [0, 1]$  and  $y \in D_a \cap \tilde{m}$ . It can be easily shown that

$$\int_{1 \times (D_a \cap \tilde{m})} s^*(\theta_1 - i\theta_{23}) = \int_{s(m)} (\theta_1 - i\theta_{23}),$$

where  $s: m \rightarrow F(N_u)$  is the section defined by  $\kappa_u$ , and

$$\int_{0 \times (D_a \cap \tilde{m})} s^*(\theta_1 - i\theta_{23}) = \int_{s(m)} (\theta_1 - i\theta_{23}),$$

where  $s: m \rightarrow F(N_a)$  is the section defined by  $\kappa_a$ .

By definition of  $f(u)$  and (1) and (2) above, we obtain

$$\begin{aligned} f(u) - f(a) = & - \int_{[0, 1] \times \partial(D_a \cap \tilde{m})} s^* \frac{1}{2\pi} (\theta_1 - i\theta_{23}) \\ & - \int_{[0, 1] \times W} H^* C - \int_{[0, 1] \times Z} H^* C. \end{aligned} \tag{3}$$

We consider the three integrals of the right hand side of (3) separately.

(i)' 
$$\int_{[0, 1] \times \partial(D_a \cap \tilde{m})} s^* \frac{1}{2\pi} (\theta_1 - i\theta_{23})$$

$D_a \cap \tilde{m}$  consists of finite arcs and  $\partial(D_a \cap \tilde{m})$  is a finite union of points. As  $m$  is a finite union of disjoint simple closed curves,  $\partial(D_a \cap \tilde{m})$  consists of finite pairs of two points  $(y, gy)$ , where  $g \in \Gamma$  is uniquely determined by  $y$ . For simplicity, we set  $\Phi = \frac{1}{2\pi} (\theta_1 - i\theta_{23})$ . Since  $y$  and  $gy$  have mutually opposite orientations in  $\partial(D_a \cap \tilde{m})$ , we have

$$\int_{[0, 1] \times \partial(D_a \cap \tilde{m})} s^* \Phi = \sum \varepsilon_y \left( \int_{[0, 1] \times gy} s^* \Phi - \int_{[0, 1] \times y} s^* \Phi \right), \quad (\varepsilon_y = \pm 1),$$

where  $[0, 1] \times gy$  and  $[0, 1] \times y$  are both oriented as  $[0, 1]$  with natural orientation. Since  $s(t, y) = \tilde{\kappa}_{u(t)}(y)$  ( $0 \leq t \leq 1$ ) and  $\tilde{\kappa}_{u(t)}$  is the lift of  $\kappa_{u(t)}$  to  $\tilde{m}$ , we have

$$s(t, gy) = \rho_{u(t)}(g) s(t, y).$$

Hence the map  $t \rightarrow s(t, gy)$  is the composition of the maps

$$[0, 1] \xrightarrow{\rho \times s} PSL_2(\mathbb{C}) \times PSL_2(\mathbb{C}) \xrightarrow{G} PSL_2(\mathbb{C}),$$

where  $(\rho \times s)(t) = (\rho_{u(t)}(g), s(t, y))$ . Since  $\Phi$  is a bi-invariant 1-form on  $PSL_2(\mathbb{C})$ , we obtain  $G^* \Phi = p_1^* \Phi + p_2^* \Phi$ . It follows that on  $[0, 1] \times gy$ ,

$$s^* \Phi = (\rho_{u(t)}(g))^* \Phi + s(t, y)^* \Phi.$$

Hence

$$\begin{aligned} & \int_{[0, 1] \times gy} s^* \Phi - \int_{[0, 1] \times y} s^* \Phi \\ &= \int_{[0, 1]} (\rho_{u(t)}(g))^* \Phi. \end{aligned}$$

Therefore  $\int_{[0, 1] \times \partial(D_a \cap \bar{m})} s^* \Phi$  is a sum of path integrals along  $u(t)$  of 1-forms of type (i).

(ii)' 
$$\int_{[0, 1] \times W} H^* C.$$

$W$  is a finite union of the pairs of the 2-faces  $(S, gS)$ . Choose the orientations of  $S$  and  $gS$  such that  $g: S \rightarrow gS$  is orientation-preserving. Then the orientation of  $S$  induced from  $X$  is opposite to that of  $gS$ . Hence

$$\int_{[0, 1] \times W} H^* C = \sum \varepsilon_S \left( \int_{[0, 1] \times gS} H^* C - \int_{[0, 1] \times S} H^* C \right), \quad (\varepsilon_S = \pm 1).$$

For each  $(t, g x) \in [0, 1] \times gS$ ,  $H(t, g x) = \tilde{s} h_{u(t)}(g x) = \tilde{s} g(h_{u(t)}(x)) = \rho_{u(t)}(g) \tilde{s} h_{u(t)}(x) = \rho_{u(t)}(g) H(t, x)$ . Hence  $H: [0, 1] \times gS \rightarrow PSL_2(\mathbb{C})$  is considered as the composition of the maps

$$[0, 1] \times S \xrightarrow{\rho \times H} PSL_2(\mathbb{C}) \times PSL_2(\mathbb{C}) \xrightarrow{G} PSL_2(\mathbb{C}),$$

where  $(\rho \times H)(t, x) = (\rho_{u(t)}(g), H(t, x))$ . As before,  $G^* C = p_1^* C + \omega^{2,1} + \omega^{1,2} + p_2^* C$ . For dimensional reasons,  $(\rho \times H)^* p_1^* C = 0$  and  $(\rho \times H)^* \omega^{2,1} = 0$ . Therefore

$$(G(\rho \times H))^* C = (\rho \times H)^* \omega^{1,2} + H^* C.$$

It follows that

$$\begin{aligned} & \int_{[0, 1] \times gS} H^* C - \int_{[0, 1] \times S} H^* C \\ &= \int_{[0, 1] \times S} (\rho \times H)^* \omega^{1,2} \\ &= \int_{[0, 1]} \left( \int_S (\rho \times H)^* \omega^{1,2} \right) \\ &= \int_{[0, 1]} \omega_2(g). \end{aligned}$$

This shows that  $\int_{[0, 1] \times W} H^* C$  is a sum of path integrals along  $u(t)$  of 1-forms of type (ii).

(iii)' 
$$\int_{[0, 1] \times Z} H^* C$$

$Z = Z_a$  is a finite union of the triangles  $\{J_a\}$  described in (iii) and

$$\int_{[0, 1] \times Z} H^* C = \sum \int_{[0, 1] \times J_a} H^* C.$$

Each  $J_a$  has the barycentric coordinate as in (iii) and identifying  $J_a$  with the triangle  $J$  in the real plane  $\mathbb{R}^2$  with vertices  $\{(0, 0), (1, 0), (0, 1)\}$ ,  $H$  is considered as the composition of the maps

$$u(t) \times 1: [0, 1] \times J \rightarrow V_a \times J$$

and

$$A: V_a \times J \rightarrow PSL_2(\mathbb{C}),$$

where  $A$  is the map defined in (iii). It follows that  $\int_{\{0,1\} \times J_a} H^* C$  is equal to a sum of path integrals along  $u(t)$  of 1-forms of type (iii).

By (i)', (ii)' and (iii)' above, we see from (3) that  $(f(u) - f(a))$  can be written as a path integral along  $u(t)$  of a smooth 1-form  $\omega$  on  $V_a$  which is a finite sum of 1-forms defined in (i), (ii) and (iii). Let  $(u_1, \dots, u_h)$  be a complex coordinate on  $V_a$ . Then each 1-form defined in (i), (ii) and (iii) does not involve  $d\bar{u}_i$  ( $i = 1, \dots, h$ ) in its expression, that is, it is of type (1, 0). Therefore  $\omega$  is written as

$$\omega = \sum \omega_i(u_1, \dots, u_h) du_i$$

where  $\omega_i(u_1, \dots, u_h)$  is a smooth function of  $(u_1, \dots, u_h)$  for each  $i = 1, \dots, h$ . Thus

$$f(u) - f(a) = \int_{u(a)} \sum \omega_i(u_1, \dots, u_h) du_i.$$

The path  $u(t)$  may be arbitrarily chosen in  $V_a$  and the left-hand side of the above equation depends only on the end point  $u = u(1)$ . This implies that  $\omega$  is a closed form. However  $d\omega = 0$  implies that each  $\omega_i$  satisfies the Cauchy-Riemann equations at each point of  $V_a$ . Hence  $\omega$  is an analytic 1-form and  $f(u)$  is an analytic function on  $V_a$ . Since  $a$  is an arbitrary point in  $V - P$ , this proves Theorem 3.1.

**5. An example Figure eight knot complement**

Let  $S^3$  be the unit sphere in  $\mathbb{C}^2$ ,  $S^3 = \{(z_1, z_2) \in \mathbb{C}^2 \mid |z_1|^2 + |z_2|^2 = 1\}$ . We orient  $S^3$  as the boundary of the unit disc in  $\mathbb{C}^2$ . Let  $K$  be the figure-eight knot in  $S^3$ . Then  $N = S^3 - K$  has a complete hyperbolic structure of finite volume with one cusp [14].  $N$  is decomposed into two ideal tetrahedra  $N = \Delta(e^{\pi i/3}) \cup \Delta(e^{\pi i/3})$ . The deformation space  $U$  of the hyperbolic structure on  $N$  has complex dimension 1 and the points of  $U$  are parametrized by pairs of complex numbers  $(z, w)$  in the upper half plane satisfying the equation (I) in Introduction. For  $u = (z, w) \in U$ , the corresponding hyperbolic manifold  $N_u$  is given by  $N_u = \Delta(z) \cup \Delta(w)$  with same gluing pattern as  $N$ , and the equation (I) is the consistency condition in Sect. 2 ([14], §4).

For  $u = (z, w) \neq u^0 = (e^{\pi i/3}, e^{\pi i/3})$ , let  $T_\delta(\varepsilon)$  be the  $\delta$ -torus section of the end  $\varepsilon$  of  $N_u$  ( $\delta$  is sufficiently small). Let  $(m_1, l_1)$  be a meridian-longitude pair on  $T_\delta(\varepsilon)$ : in  $S^3$ ,  $m_1$  bounds a 2-disc in a tubular neighborhood of  $K$  and  $l_1$  is homologous to zero in  $Q^3 - K$ . Taking a suitable holonomy representation  $\rho_u: \pi_1(N_u) \rightarrow PSL_2(\mathbb{C})$ ,  $\rho_u(m_1)$  and  $\rho_u(l_1)$  are given as isometries of  $H^3$  by

$$\begin{aligned} \rho_u(m_1)(c, t) &= (w(1 - z)c, \quad |w(1 - z)|t) \\ \rho_u(l_1)(c, t) &= (z^2(1 - z)^2c, \quad |z^2(1 - z)^2|t), \end{aligned} \tag{III}$$

for  $(c, t) \in H^3$  ([14], §4).

For each coprime pair of integers  $(p, q)$  such that  $|p| \geq 5$  if  $|q| = 1$ , there is a point  $u(p, q) = (z, w)$  of  $U$  satisfying the equation (II) in Introduction.  $N_u$  can be completed to the closed hyperbolic manifold  $M_{p,q}$  by adjoining a simple closed geodesic  $\gamma$  to the end of  $N_u$  ([14], §4).

We prove Theorem 3 in Introduction by applying Theorem 3.2 to  $\eta(M_{p,q})$ . Our method is as follows. At first we determine the analytic function  $f(u) = f(z, w)$  for a suitable family of framings  $\{\mathcal{F}_u\}$  and  $\{\kappa_u\}$ . Next we compare  $\eta(M_{p,q})$  with  $\eta(L(p, q))$  which is known [2], where  $L(p, q)$  is the Lens space with the standard metric. Note that  $M_{p,q}$  is topologically obtained by performing Dehn surgery of type  $(p, q)$  along  $K$ . If we perform Dehn surgery of type  $(p, q)$  along the trivial knot  $K_0$  in  $S^3$ , we obtain the Lens space  $L(p, q)$ . From this we obtain a degree 1 map  $H: M_{p,q} \rightarrow L(p, q)$ , and using the functorial properties of the third and the fourth terms of the right-hand side of the equation in Theorem 3.2, we deduce the formula in Theorem 3.

We need some preliminaries.

Let  $\iota: S^3 \rightarrow S^3$  be the involution defined by  $\iota(z_1, z_2) = (\bar{z}_1, -z_2)$  for  $(z_1, z_2) \in S^3$ , where  $\bar{z}_1$  is the complex conjugate of  $z_1$ . Then it is well known that the figure-eight knot  $K$  can be arranged so that  $\iota(K) = K$  and the two fixed points of  $\iota$  lies on  $K$ . Let  $E$  be an  $\iota$ -invariant tubular neighborhood of  $K$ . Then there is a 2-disc  $D$  in the interior of  $E$ ,  $\overset{\circ}{E}$ , such that  $\iota(D) = D$  and  $D \cap K = \text{one point}$ . Let  $m = \partial D$  and  $L = K \cup m$ . Let  $S_1 = \{(z_1, 0) \mid |z_1| = 1\}$ ,  $S_2 = \{(0, z_2) \mid |z_2| = 1\}$  and  $L_0 = S_1 \cup S_2$ . Then  $\iota(S_1) = S_1$  and  $\iota(S_2) = S_2$ .

**Lemma 5.1.** *There is an orientation-preserving map  $k: S^3 \rightarrow S^3$  such that*

- (i)  $k(K) = S_1$  and  $k(m) = S_2$ ,
- (ii)  $k$  maps the neighborhood  $E$  of  $L = K \cup m$  diffeomorphically onto a neighborhood  $E_0$  of  $L_0 = S_1 \cup S_2$ , and  $k(S^3 - E) \subset S^3 - E_0$ ,
- (iii)  $k \iota = \iota k$ .

*Proof.* Let  $S_0 = \{(1/\sqrt{2}, z/\sqrt{2}) \mid |z| = 1\} \subset S^3$ . Then  $\iota(S_0) = S_0$ . Let  $R_0$  be a small closed tubular neighborhood of  $S_0$  such that  $\iota(R_0) = R_0$  and  $R_0 \cap L_0 = \emptyset$ . Let  $E_0 = S^3 - \overset{\circ}{R}_0$ . Then  $L_0 \subset E_0$ . Let  $l'$  (resp.  $l'_0$ ) be a simple closed curve on  $\partial E$  (resp.  $\partial E_0$ ) such that  $\iota(l') \cap l' = \emptyset$  (resp.  $\iota(l'_0) \cap l'_0 = \emptyset$ ) and it is homologous to zero in  $S^3 - \overset{\circ}{E}$  (resp.  $S^3 - \overset{\circ}{E}_0$ ). Let  $D^2$  be the unit 2-disc in  $\mathbb{R}^2$  and let  $\frac{1}{2} \partial D^2$  be the 1-sphere of radius  $\frac{1}{2}$  in  $\mathbb{R}^2$ . Then the quadruples  $(E, K, m, l')$  and  $(E_0, S_1, S_2, l'_0)$  are both equivariantly diffeomorphic to the quadruple  $(S^1 \times D^2, S^1 \times 0, 1 \times \frac{1}{2} \partial D^2, S^1 \times 1)$  with involution defined by  $(z, y) \rightarrow (\bar{z}, -y)$ , where  $z \in S^1$  and  $y \in D^2$  and we regard  $\mathbb{R}^2$  as the complex plane  $\mathbb{C}$ . Hence there is an orientation-preserving diffeomorphism  $k': E \rightarrow E_0$  such that  $k'(K) = S_1$ ,  $k'(m) = S_2$ ,  $k'(l') = l'_0$  and  $k' \iota = \iota k'$ . The complements  $S^3 - \overset{\circ}{E}$  and  $S^3 - \overset{\circ}{E} = R_0$  have the free involutions (the restrictions of  $\iota$ ), and  $k'$  is defined on their boundaries. Let  $\bar{k}': \partial(S^3 - \overset{\circ}{E})/\iota \rightarrow \partial R_0/\iota$  be the quotient diffeomorphism. Since  $R_0/\iota$  is homotopy equivalent to  $S^1$ , the only obstruction to extending  $\bar{k}'$  to map from  $(S^3 - \overset{\circ}{E})/\iota$  to  $R_0/\iota$  lies in the group  $H^2((S^3 - \overset{\circ}{E})/\iota, \partial, \mathbb{Z}) = \mathbb{Z}$ , and it can be measured by the difference of the homology classes  $\bar{k}'_*[l']$  and  $[l'_0]$  in  $H_1(\partial R_0/\iota, \mathbb{Z})$ , where  $l'$  and  $l'_0$  are identified with their images in the quotient spaces and  $\bar{k}'(l')$  and  $l'_0$  are considered as the curves in  $\partial R_0/\iota$ . Since  $\bar{k}'(l') = l'_0$ , this obstruction vanishes, and  $\bar{k}'$  extends to a map  $\bar{k}'': (S^3 - \overset{\circ}{E})/\iota \rightarrow R_0/\iota$ . Let  $\bar{k}''': S^3 - \overset{\circ}{E} \rightarrow R_0$  be the map which covers  $\bar{k}''$  and coincides with  $k'$  on the boundary  $\partial(S^3 - \overset{\circ}{E})$ . Define  $k: S^3 \rightarrow S^3$  by  $k|_E = k'$  and  $k|_{S^3 - \overset{\circ}{E}} = \bar{k}'''$ . q.e.d.

**Lemma 5.2.** *The map  $k$  in Lemma 5.1 is covered by a vector bundle map  $Tk: TS^3 \rightarrow TS^3$  of the tangent bundle of  $S^3$  such that  $Tk \iota_* = \iota_* Tk$ , where  $\iota_*$  is the differential of  $\iota$ .*

*Proof.* Let  $E_0$  be as in the proof of Lemma 5.1. By the construction of  $k$  in the proof of Lemma 5.1,  $k|E: E \rightarrow E_0$  is an equivariant diffeomorphism. We set  $Tk = k_*$ , the differential of  $k$ , on  $E$ . The restrictions of  $\iota_*$  to  $T(S^3 - \dot{E})$  and  $TR_0$  are free involutions. The quotient spaces  $T(S^3 - \dot{E})/\iota_*$  and  $TR_0/\iota_*$  are the tangent bundles of  $(S^3 - \dot{E})/\iota$  and  $R_0/\iota$  respectively. The quotient of  $k_*$ ,  $\bar{k}_*$ , is defined on their boundaries,  $\bar{k}_*: T(S^3 - \dot{E})/\iota_* | \partial(S^3 - \dot{E})/\iota \rightarrow TR_0/\iota_* | \partial R_0/\iota$ . The only obstruction to extending this bundle map to a bundle map from  $T(S^3 - \dot{E})/\iota_*$  to  $TR_0/\iota_*$  lies in the cohomology group  $H^2(S^3 - \dot{E}/\iota, \partial, \pi_1(GL_3(\mathbb{R})) = \mathbb{Z}_2$ . It can be seen that the obstruction lies in the subgroup  $\delta^* H^1(\partial(S^3 - \dot{E})/\iota, \mathbb{Z}_2)$ , where  $\delta^*$  is the coboundary homomorphism, and it can be measured by the restriction of  $\bar{k}_*$ ,  $\bar{k}_*: T(S^3 - \dot{E})/\iota_* | l' \rightarrow TR_0/\iota_* | l'_0$ , where  $l'$  and  $l'_0$  are the curves defined in the proof of Lemma 5.1. Since  $k$  maps  $l'$  to  $l'_0$ ,  $\partial E$  to  $\partial R_0$  diffeomorphically and  $Tk = k_*$  on  $E$ , it can be seen that this obstruction vanishes. Hence there is a bundle map extending  $\bar{k}_*$  from  $T(S^3 - \dot{E})/\iota_*$  to  $TR_0/\iota_*$ , and taking the bundle map from  $T(S^3 - \dot{E})$  to  $TR_0$  which covers it, we obtain the desired bundle map  $Tk$ . q.e.d.

**Lemma 5.3.** *Let  $k$  and  $Tk$  be the map and the bundle map in Lemmas 5.1 and 5.2 respectively. Then*

- (i) *the map  $k: S^3 \rightarrow S^3$  extends to a map  $\tilde{k}: D^4 \rightarrow D^4$ , and*
- (ii) *the bundle map  $Tk \oplus 1: TS^3 \oplus \varepsilon \rightarrow TS^3 \oplus \varepsilon$  extends to a bundle map  $T\tilde{k}: TD^4 \rightarrow TD^4$  which covers  $k$ , where  $l: \varepsilon \rightarrow \varepsilon$  is the identity map of the trivial line bundle and  $TD^4|S^3 = TS^3 \oplus \varepsilon$ .*

*Proof.* (i) Since  $D^4$  is contractible, (i) follows by obstruction theory.  
 (ii) The only obstruction to extending  $Tk \oplus 1$  to a bundle map over  $TD^4$  which covers  $k$  lies in the group  $H^4(D^4, \partial, \pi_3(GL_4(\mathbb{R})))$ . Since  $Tk \oplus 1$  preserves the subbundle  $\varepsilon$ , this obstruction is contained in the image of the composition of the maps

$$H^3(S^3, \pi_3(GL_3(\mathbb{R}))) \xrightarrow{i_*} H^3(S^3, \pi_3(GL_4(\mathbb{R}))) \xrightarrow{\delta^*} H^4(D^4, S^3, \pi_3(GL_4(\mathbb{R}))),$$

where  $i: GL_3(\mathbb{R}) \rightarrow GL_4(\mathbb{R})$  is the canonical inclusion and  $\delta^*$  is the coboundary homomorphism. Let  $F$  be a framing on  $S^3$  and set  $F(x) = (e_1(x), e_2(x), e_3(x))$  for  $x \in S^3$ , where  $e_i(x) \in T_x S^3$ . Then  $(Tk)(F(x)) = (Tk(e_1(x)), Tk(e_2(x)), Tk(e_3(x))) = (e_1(k(x)), e_2(k(x)), e_3(k(x)))A(x)$  for some  $A(x) \in GL_3^+(\mathbb{R})$ , where  $GL_3^+(\mathbb{R})$  denotes the connected component of  $GL_3(\mathbb{R})$  containing the identity element. Thus we obtain a continuous map  $A: S^3 \rightarrow GL_3^+(\mathbb{R})$  and the above obstruction can be measured by the homotopy class of  $A$ ,  $[A] \in \pi_3(GL_3^+(\mathbb{R})) = \mathbb{Z}$ , which does not depend on the choice of the framing  $F$ . By Lemmas 5.1 and 5.2,  $k \iota = \iota k$  and  $Tk \iota_* = \iota_* Tk$ . If we replace  $F$  by  $\iota_* F$ ,  $A(x)$  is replaced by  $A(\iota x)$  for  $x \in S^3$ . Since  $\iota$  is orientation reversing, we have  $[A] = -[A]$ . It follows that  $[A] = 0$ , and the obstruction vanishes. q.e.d.

Let  $N=S^3-K$  be the complete hyperbolic manifold of finite volume with one cusp. Then the involution  $\iota$  can be considered as an isometric involution  $\iota_N$  on  $N$ . Let  $m$  be a meridian curve of  $K$  which lies on a flat torus section of the cusp of  $N$ . We choose  $m$  so that  $\iota_N(m)=m$  and  $m$  is a simple closed geodesic with respect to the euclidean structure on the flat torus section. The map  $k$  in Lemma 5.1 gives an equivariant map  $k: N \rightarrow (S^3-S_1)$  such that  $k(m)=S_2$ .

For a point  $(z_1, z_2) \in S^3-L_0=S^3-S_1 \cup S_2$ , we set  $(z_1, z_2)=(\sqrt{t} \exp i\theta, \sqrt{1-t} \exp i\psi)$ , where  $0 < t < 1$ ,  $0 \leq \theta < 2\pi$  and  $0 \leq \psi < 2\pi$ . In this parametrization,  $\iota$  is represented by  $\iota(\theta, \psi, t) = (-\theta, \psi + \pi, t)$ .

Each torus section  $T$  of the cusp of  $N$  has a meridian-longitude pair of closed geodesic curves on it with respect to its euclidean structure. By construction of  $k$  in the proof of Lemma 5.1, we can make the following assumption on  $k$ ,

(\*\*) In a small neighborhood of the end of  $N$ ,  $k$  maps each flat torus section  $T$  to a torus  $T_c = \{(\theta, \psi, t) | t = c\}$ , and  $k$  maps each euclidean closed geodesic curve on  $T$  parallel to the meridian (resp. the longitude) on it to a curve  $\{\theta = \text{const}\}$  (resp.  $\{\psi = \text{const}\}$ ) on  $T_c$ .

For each  $u \in U$ , if we deform the hyperbolic structure on  $N$  to  $N_u$ , each flat torus section of the cusp of  $N$  becomes a  $\delta$ -torus section of the end of  $N_u$  for some  $\delta > 0$ . Hence  $k$  has the same property (\*\*) in a neighborhood of the end of  $N_u$ .

Let  $\mu(t)$  be a smooth monotone increasing function defined on  $[0, 1]$  such that  $\mu(t) = 0$  ( $0 \leq t \leq 1/3$ ) and  $\mu(t) = 1$  ( $2/3 \leq t \leq 1$ ). Let  $\mathcal{F}_0$  be the framing on  $S^3-L_0$  defined by, for  $x = (\theta, \psi, t)$ ,

$$\mathcal{F}_0(x) = \begin{pmatrix} \frac{1}{\sqrt{1-t}} \partial/\partial\psi, & -\frac{1}{\sqrt{t}} \partial/\partial\theta, & 2\sqrt{t(1-t)} \partial/\partial t \\ \cos \pi \mu(t) & 0 & -\sin \pi \mu(t) \\ 0 & 1 & 0 \\ \sin \pi \mu(t) & 0 & \cos \pi \mu(t) \end{pmatrix}.$$

Then

$$\mathcal{F}_0 = \left( -\frac{1}{\sqrt{1-t}} \partial/\partial\psi, -\frac{1}{\sqrt{t}} \partial/\partial\theta, -2\sqrt{t(1-t)} \partial/\partial t \right) \text{ near } S_1$$

$$\mathcal{F}_0 = \left( \frac{1}{\sqrt{1-t}} \partial/\partial\psi, -\frac{1}{\sqrt{t}} \partial/\partial\theta, 2\sqrt{t(1-t)} \partial/\partial t \right) \text{ near } S_2.$$

**Lemma 5.4.** *There is an orthonormal framing  $\mathcal{F} = (e_1, e_2, e_3)$  on  $N-m$  such that*

(i)  $\mathcal{F}$  is a simple framing  $\mathcal{F}(\varepsilon)$  on a neighborhood of the cusp and  $F$  has a special singularity at  $m$ ,

(ii)  $\int_{s(N-m)} Q = 0$ , where  $s: N-m \rightarrow F(N)$  is the section defined by  $\mathcal{F}$ , and

(iii) the bundle map  $Tk$  in Lemma 5.2 can be deformed by fibre homotopy so that it may satisfy  $Tk(\mathcal{F}) = \mathcal{F}_0$ .

*Proof.* Let  $Tk: TN \rightarrow T(S^3 - S_1)$  be the restriction of the bundle map in Lemma 5.2. There is a framing  $\mathcal{F}' = (e'_1, e'_2, e'_3)$  on  $N - m$  such that  $Tk(\mathcal{F}'(x)) = \mathcal{F}_0(k(x))$  for  $x \in N - m$ . For each  $x \in N - m$ , applying the Schmidt orthonormalization to  $\mathcal{F}'(x)$ , we obtain an orthonormal framing  $\mathcal{F}(x) = (e_1(x), e_2(x), e_3(x))$ . By (\*\*) and the construction of  $k$ , we may assume that, near the cusp, the  $e_3$ -vectors are transversal to each torus section of the cusp and  $\mathcal{F}$  is  $i_N$ -equivariantly homotopic to a simple framing. Near  $m$ , the  $e_1$ -vectors are directed along  $m$  and  $\mathcal{F}$  is  $i_N$ -equivariantly homotopic to a framing which has a special singularity at  $m$ . Hence we may deform  $\mathcal{F}$ ,  $i_N$ -equivariantly near  $m$ , so that it may satisfy (i). Since  $i_*Tk = Tk i_{N^*}$  and  $i_*$  maps the vector fields  $\{\partial/\partial\psi\}$ ,  $\{\partial/\partial\theta\}$  and  $\{\partial/\partial t\}$  to  $\{\partial/\partial\psi\}$ ,  $\{-\partial/\partial\theta\}$  and  $\{\partial/\partial t\}$  respectively,  $i_{N^*}$  maps the frame field  $(e'_1, e'_2, e'_3)$  to  $(e'_1, -e'_2, e'_3)$  and hence  $(e_1, e_2, e_3)$  to  $(e_1, -e_2, e_3)$ . From this, it follows that  $i_{N^*} s^* Q = s^* Q$  by definition of the Chern-Simons form  $Q$ . As  $i_N$  reverses the orientation of  $N$ ,  $\int_{N-m} s^* Q = \int_{N-m} i_{N^*} s^* Q = - \int_{N-m} s^* Q$  and it must be zero. This proves (ii). By construction, we may deform  $Tk$  by fibrewise homotopy so that it may satisfy (iii). q.e.d.

Using the orthonormal framing  $\mathcal{F}$  on  $N$  in Lemma 5.4, by Proposition 3.2 and its proof, we obtain a family of orthonormal framings  $\{\mathcal{F}_u\}_{u \in U}$  on the family of hyperbolic manifolds  $\{N_u\}_{u \in U}$  such that each  $\mathcal{F}_u$  has the properties mentioned in Proposition 3.2 and  $\mathcal{F}_{u^0} = \mathcal{F}$ , where  $u^0$  corresponds to the original complete hyperbolic structure on  $N$ .

We have chosen the meridian curve  $m$  in  $N$  lying on a flat torus section of the cusp. We choose an orthonormal framing  $\kappa = (f_1, f_2, f_3)$  defined on  $m$  as follows: at each  $y \in m$ ,  $f_1(y)$  is the unit tangent vector at  $y$  of  $m$  having the same direction as the first vectors of  $\mathcal{F}$  near  $y$ ,  $f_2(y)$  is tangent to the torus section on which  $m$  lies, and  $f_3(y)$  is normal to it. Note that this framing  $\kappa$  induces a product structure  $D^2 \times m$  on a tubular neighborhood of  $m$  such that  $1 \times m$  is homotopic to zero in  $S^3 - m$ .

**Lemma 5.5.** *Let  $s: m \rightarrow F(N)$  be the section defined by  $\kappa$ . Then  $\int_{s(m)} \theta_{23} = 0$ .*

*Proof.* By definition of  $\kappa$ , it follows that  $s^* \theta_{23} = 0$ . q.e.d.

For each  $u \in U$ , let  $\kappa_u$  be the orthonormal framing on  $m$  defined by the Schmidt orthonormalization of  $\kappa$  with respect to the hyperbolic metric of  $N_u$ .

We define the complex function  $f(u)$  on  $U$  by, for  $u \in U$ ,

$$f(u) = \int_{s(N_u - m)} C - \frac{1}{2\pi s(m)} \int (\theta_1 - i\theta_{23}),$$

where  $s: N_u - m \rightarrow F(N_u)$  and  $s: m \rightarrow F(N_u)$  are the sections defined by  $\mathcal{F}_u$  and  $\kappa_u$  respectively.

**Proposition 5.1.**  *$f(u)$  is a complex analytic function on  $U$ .*

*Proof.* By the proof of Theorem 3.1, it follows that we may take as  $V$  in Theorem 3.1 any neighborhood of  $u^0$  such that  $V \cap P$  is a proper analytic subset in  $V$ , where  $P$  is defined as before. In this case  $P = \{u^0\}$  and we may set  $V = U$ . q.e.d.



**Theorem 5.1.** For  $u=(z, w)\in U$ , setting  $f(z, w)=f(u)$ , we have

$$f(z, w) = -\frac{i}{\pi^2} \left( R(z) + R(w) - \frac{\pi^2}{6} \right),$$

where  $R(x)$  is the function on the upper half plane defined by

$$R(x) = \frac{1}{2} \log x \log(1-x) - \int_0^x \log(1-t) d \log t.$$

*Proof.* At first we calculate the real part of  $f(z, w)$ . Let  $u=(z, w)$  be a point of  $U-u^0$ . Let  $E_\delta(\varepsilon)$  be the  $\delta$ -neighborhood of the end  $\varepsilon$  of  $N_u$  (see Sect. 3, for definition) and let  $T_\delta(\varepsilon)=\partial E_\delta(\varepsilon)$  be the  $\delta$ -torus section of  $\varepsilon$ , where  $\delta>0$  is sufficiently small. We may assume that  $F_u=F(\varepsilon)$  is a simple framing on  $E_\delta(\varepsilon)$  by (ii) of Proposition 3.2. Let  $X_\delta$  be the closure of  $s(N_u-E_\delta(\varepsilon)-m)$  in  $F(N_u)$ , where  $s: N_u-m \rightarrow F(N_u)$  is the section defined by  $\mathcal{F}_u$ . Then  $X_\delta$  is a 3-manifold in  $F(N_u)$  with boundary  $\partial X=s(T_\delta(\varepsilon))\cup R$ , where  $R$  is mapped onto  $m$  by the bundle projection  $F(N_u)\rightarrow N_u$ . We have

$$\begin{aligned} \operatorname{Re} f(z, w) &= \int_{s(N_u-m)} \operatorname{Re} C - \frac{1}{2\pi} \int_{s(m)} \theta_1 \\ &= \lim_{\delta \rightarrow 0} \int_{X_\delta} \operatorname{Re} C - \frac{1}{2\pi} \int_{s(m)} \theta_1. \end{aligned} \tag{1}$$

Now

$$\operatorname{Re} C = \frac{1}{\pi^2} \theta_1 \wedge \theta_2 \wedge \theta_3 - \frac{1}{4\pi^2} d\Theta,$$

where  $\Theta = \theta_1 \wedge \theta_{23} + \theta_2 \wedge \theta_{31} + \theta_3 \wedge \theta_{12}$ . Hence

$$\begin{aligned} \lim_{\delta \rightarrow 0} \int_{X_\delta} \operatorname{Re} C &= \lim_{\delta \rightarrow 0} \int_{X_\delta} \frac{1}{\pi^2} \theta_1 \wedge \theta_2 \wedge \theta_3 - \lim_{\delta \rightarrow 0} \int_{X_\delta} \frac{1}{4\pi^2} d\Theta \\ &= \frac{1}{\pi^2} \operatorname{vol}(N_u) - \lim_{\delta \rightarrow 0} \int_{s(T_\delta(\varepsilon))} \frac{1}{4\pi^2} \Theta - \int_R \frac{1}{4\pi^2} \Theta, \end{aligned}$$

by Stokes's theorem.

Let  $\psi: S^1 \times m \rightarrow R$  be the diffeomorphism defined by

$$\begin{aligned} \psi(v, y) &= (f_1(y), (\cos v)f_2(y) - (\sin v)f_3(y), \\ &\quad (\sin v)f_2(y) + (\cos v)f_3(y)), \end{aligned}$$

where  $v \in S^1 = \mathbb{R}/2\pi\mathbb{Z}$  and  $y \in m$  and  $\kappa_u(y) = (f_1(y), f_2(y), f_3(y))$ . Then  $\psi^* \theta_i(\partial/\partial v) = \psi^* \theta_{i1}(\partial/\partial v) = 0$  ( $i=1, 2, 3$ ) and  $\psi^* \theta_{23}(\partial/\partial v) = 1$  as in the proof of Lemma 1.5. The orientation of  $S^1 \times m$  is given by  $(f_1, -\partial/\partial v)$ . Hence by calculations similar to the ones in the proof of Lemma 1.5, we have

$$\begin{aligned} -\frac{1}{4\pi^2} \int_R \Theta &= -\frac{1}{4\pi^2} \int_{S^1 \times m} \psi^* \theta_1 \wedge \psi^* \theta_{23} \\ &= \frac{1}{2\pi} \int_{s(m)} \theta_1, \end{aligned}$$

where  $s: m \rightarrow F(N_u)$  is the section defined by  $\kappa_u$ .

We compute  $-\int_{s(T_\delta(\varepsilon))} \frac{1}{4\pi^2} \Theta$ . Let  $\tilde{N}_u$  be the universal cover of  $N_u$  and let  $\widetilde{E_\delta(\varepsilon)}$  be a connected component of the inverse image of  $E_\delta(\varepsilon)$  of the covering projection. Let  $d_u: \tilde{N}_u \rightarrow H^3$  be a developing map of  $N_u$ . By conjugation by an element of  $PSL_2(\mathbb{C})$ , if necessary, we may assume that  $\widetilde{E_\delta(\varepsilon)}$  is mapped by  $d_u$  into the cylinder around the  $t$ -axis,

$$E_\delta = \left\{ (r, \beta, \phi) \in H^3 \mid \log \cot \left( \frac{\pi}{4} - \frac{\beta}{2} \right) \leq \delta \right\},$$

where  $(r, \beta, \phi)$  is the polar coordinate of  $H^3$  defined in Sect. 3. Set  $T_\delta = \partial E_\delta$ . Let  $\tilde{T}_\delta$  be the universal cover of  $T_\delta$ . On  $\tilde{T}_\delta$ , we can put the complex coordinate  $z = \log r + i\phi$  for  $z \in \tilde{T}_\delta$ , where  $(r, \phi)$  is the part of the polar coordinate of the image of  $z$  in  $T_\delta$  by the covering projection. Then  $T_\delta(\varepsilon)$  is identified with the quotient space of  $\tilde{T}_\delta$  by the  $\mathbb{Z} \times \mathbb{Z}$ -action generated by the translations by two complex numbers

$$\{ \log w(1-z), 2 \log z(1-z) \}$$

by (III) at the beginning of this section [14]. Let  $I$  be the parallelogram spanned by these two complex numbers in the complex plane. Then  $I$  is a fundamental domain of this  $\mathbb{Z} \times \mathbb{Z}$ -action. From the equations in the proof of Lemma 3.2, we have, on  $\tilde{T}_\delta$ ,

$$-s^* \Theta = \left( \frac{1 + \sin^2 \beta}{\cos^2 \beta} \right) (d \log r) \wedge (d\phi),$$

where  $s: \tilde{T}_\delta \rightarrow PSL_2(\mathbb{C})$  is defined by the simple framing  $\mathcal{F}$  ( $t$ -axis). Since  $\mathcal{F}_u = \mathcal{F}(\varepsilon)$  on  $E_\delta(\varepsilon)$ , we have

$$\begin{aligned} -\int_{s(T_\delta(\varepsilon))} \frac{1}{4\pi^2} \Theta &= \frac{1}{4\pi^2} \left( \frac{1 + \sin^2 \beta}{\cos^2 \beta} \right) \int_I d \log r \wedge d\phi \\ &= \frac{1}{4\pi^2} \left( \frac{1 + \sin^2 \beta}{\cos^2 \beta} \right) \\ &\quad \cdot 2 [\arg z(1-z) \log |w(1-z)| - \arg w(1-z) \log |z(1-z)|]. \end{aligned}$$

As  $\delta \rightarrow 0, \beta \rightarrow 0$  and from (1) above, we obtain

$$\begin{aligned} \operatorname{Re} f(z, w) &= \frac{1}{\pi^2} \operatorname{vol}(N_u) \\ &\quad + \frac{1}{2\pi^2} [\arg z(1-z) \log |w(1-z)| - \arg w(1-z) \log |z(1-z)|]. \end{aligned} \tag{2}$$

Now  $N_u = \Delta(z) \cup \Delta(w)$  and  $\operatorname{vol}(N_u) = \operatorname{vol}(\Delta(z)) + \operatorname{vol}(\Delta(w))$ . There is a well-known formula for the volume of an ideal simplex in  $H^3$  (see [6, 13]), and we have

$$\begin{aligned} \frac{1}{\pi^2} \operatorname{vol}(N_u) &= \frac{1}{\pi^2} \left[ \arg(1-z) \log |z| - \operatorname{Im} \int_0^z \log(1-t) d \log t \right] \\ &\quad + \frac{1}{\pi^2} \left[ \arg(1-w) \log |w| - \operatorname{Im} \int_0^w \log(1-t) d \log t \right]. \end{aligned}$$

Using the equation (I) in Introduction, the second term of the right hand side of (2) can be written as

$$\begin{aligned} & \frac{1}{2\pi^2} [\arg z \log |1-z| - \arg(1-z) \log |z|] \\ & + \frac{1}{2\pi^2} [\arg w \log |1-w| - \arg(1-w) \log |w|]. \end{aligned}$$

Summing up, we have

$$\begin{aligned} \operatorname{Re} f(z, w) &= \frac{1}{\pi^2} \operatorname{Im} \left[ \frac{1}{2} \log z \log(1-z) - \int_0^z \log(1-t) d \log t \right] \\ &+ \frac{1}{\pi^2} \operatorname{Im} \left[ \frac{1}{2} \log w \log(1-w) - \int_0^w \log(1-t) d \log t \right] \\ &= -\operatorname{Re} \left( \frac{i}{\pi^2} (R(z) + R(w)) \right). \end{aligned}$$

Both sides of this equation are smooth functions on  $U$ , and hence the equality holds at  $u^0 = (e^{\pi i/3}, e^{\pi i/3})$ . By Proposition 5.1,  $f(u)$  is an analytic function on  $U$ . Since two complex analytic functions with the same real parts differ from each other only by an imaginary constant, it follows that

$$f(z, w) = -\frac{i}{\pi^2} (R(z) + R(w)) + ic,$$

for some real constant  $c$ . By Lemmas 5.4 and 5.5, we have  $\operatorname{Im} f(u^0) = 0$ . We may calculate the value  $\operatorname{Re} R(e^{\pi i/3}) = \pi^2/12$ , and we have  $c = 1/6$ . This proves the theorem.  $\square$

Here we insert the following subsection.

Subsection: Lens space.

Let  $(p, q)$  be a coprime pair of integers. Let  $r$  and  $s$  be the integers such that  $0 \leq r < |p|$  and  $ps + qr = 1$ . The Lens space  $L(p, q)$  is the quotient space of  $S^3$  by  $\mathbb{Z}_p$  action generated by  $\zeta(z_1, z_2) = (\zeta z_1, \zeta^r z_2)$  for  $(z_1, z_2)$ , where  $\zeta = \exp 2\pi i/p$ .  $L(p, q)$  is naturally oriented and has the standard metric of constant sectional curvature 1 as the quotient space of  $S^3$ . We denote the image of  $(z_1, z_2) \in S^3$  by the canonical projection by  $[z_1, z_2]$ . Thus  $[\zeta z_1, \zeta^r z_2] = [z_1, z_2]$ .

Let  $\phi_1$  and  $\phi_2$  be the embeddings  $D^2 \times S^1 \rightarrow L(p, q)$  defined by

$$\begin{aligned} \phi_1(a, b) &= \left[ \frac{b^{1/p}}{\sqrt{|a|^2 + 1}}, \frac{a b^{r/p}}{\sqrt{|a|^2 + 1}} \right] \\ \phi_2(a, b) &= \left[ \frac{a b^{q/p}}{\sqrt{|a|^2 + 1}}, \frac{b^{1/p}}{\sqrt{|a|^2 + 1}} \right], \end{aligned}$$

where  $a$  and  $b$  represent complex numbers such that  $0 \leq |a| \leq 1$  and  $|b| = 1$ . Then  $L(p, q) = D^2 \times S^1 \cup_g D^2 \times S^1$ , where  $g = \phi_2^{-1} \phi_1: \partial D^2 \times S^1 \rightarrow \partial D^2 \times S^1$  is given by  $g(a, b) = (a^{-q} b^s, a^p b^r)$ , for  $(a, b) \in \partial D^2 \times S^1$ .

Let  $S_1 = \{(z_1, 0) \mid |z_1| = 1\}$ ,  $S_2 = \{(0, z_2) \mid |z_2| = 1\}$  and  $L_0 = S_1 \cup S_2$  as before. The action of  $\zeta$  on  $S^3 - L_0$  is written as  $\zeta(\theta, \psi, t) = \left(\theta + \frac{2}{p}, \psi + \frac{r}{p} 2\pi, t\right)$ . We denote the image of the point  $(\theta, \psi, t)$  in  $L(p, q)$  by  $[\theta, \psi, t]$ . Let  $\bar{L}_0 = \bar{S}_1 \cup \bar{S}_2$  be the image of  $L_0$  in  $L(p, q)$ . Define the map

$$h: S^3 - L_0 \rightarrow L(p, q) - \bar{L}_0$$

by  $h(\theta, \psi, t) = \left[\frac{1}{p}\theta, \psi + \frac{r}{p}\theta, t\right]$ . Then  $h$  is an orientation-preserving diffeomorphism, and it extends naturally to a diffeomorphism  $\tilde{h}: S^3 - S_1 \rightarrow L(p, q) - \bar{S}_1$ . We identify  $L(p, q) - \bar{L}_0$  with  $S^3 - L_0$  and  $L(p, q) - \bar{S}_1$  with  $S^3 - S_1$  by  $h$  and  $\tilde{h}$  respectively. With this identification, the metric on  $L(p, q)$  is written on  $S^3 - L_0$  as

$$ds^2 = \left(\sqrt{t} \frac{1}{p} d\theta\right)^2 + \left(\sqrt{1-t} \left(d\psi + \frac{r}{p} d\theta\right)\right)^2 + \left(\frac{1}{2\sqrt{t(1-t)}} dt\right)^2.$$

Define the framing  $\mathcal{F}_0(p, q)$  on  $S^3 - L_0$  by, for  $x = (\theta, \psi, t)$ ,

$$\mathcal{F}_0(p, q)(x) = \begin{pmatrix} \frac{1}{\sqrt{1-t}} \partial/\partial\psi, & -\frac{1}{\sqrt{t}}(p \partial/\partial\theta - r \partial/\partial\psi), & 2\sqrt{t(1-t)} \partial/\partial t \\ \cos \pi \mu(t) & 0 & -\sin \pi \mu(t) \\ 0 & 1 & 0 \\ \sin \pi \mu(t) & 0 & \cos \pi \mu(t) \end{pmatrix}$$

where  $\mu: [0, 1] \rightarrow [0, 1]$  is the smooth function defined before the definition of the framing  $\mathcal{F}_0$ . Then  $\mathcal{F}_0(p, q)$  is orthonormal with respect to the above Lens space metric. Actually  $\mathcal{F}_0(p, q)$  is the orthonormalization of  $\mathcal{F}_0$  with respect to that metric. Near  $\bar{S}_1 \subset L(p, q)$ ,

$$\mathcal{F}_0(p, q) = \left(-\frac{1}{\sqrt{1-t}} \partial/\partial\psi, -\frac{1}{\sqrt{t}}(p \partial/\partial\theta - r \partial/\partial\psi), -2\sqrt{t(1-t)} \partial/\partial t\right)$$

and near  $\bar{S}_2 \subset L(p, q)$

$$\mathcal{F}_0(p, q) = \left(\frac{1}{\sqrt{1-t}} \partial/\partial\psi, -\frac{1}{\sqrt{t}}(p \partial/\partial\theta - r \partial/\partial\psi), 2\sqrt{t(1-t)} \partial/\partial t\right).$$

From this we see that  $\mathcal{F}_0(p, q)$  has a special singularity at  $\bar{S}_2$  but it does not at  $\bar{S}_1$ . We deform it slightly near  $\bar{S}_1$  and define the framing  $\mathcal{F}(p, q)$  on  $S^3 - L_0$  as follows: for  $x = (\theta, \psi, t)$ , setting  $\mathcal{F}(p, q)(x) = (e_1(x), e_2(x), e_3(x))$  and  $\mathcal{F}_0(p, q)(x) = (e'_1(x), e'_2(x), e'_3(x))$ , we define

$$\begin{aligned} e_1(x) &= (\cos v(t)) e'_1(x) + (\sin v(t)) e'_2(x) \\ e_2(x) &= -(\sin v(t)) e'_1(x) + (\cos v(t)) e'_2(x) \\ e_3(x) &= e'_3(x) \end{aligned}$$

where  $v: (0, 1) \rightarrow \mathbb{R}/2\pi\mathbb{Z}$  is a smooth map such that  $v(t)=0$  for  $0 < t < 1 - \varepsilon$  ( $\varepsilon > 0$  is sufficiently small),  $v(t)=v_0$  (constant) for  $1 - (\varepsilon/2) < t < 1$  and in this range  $e_1(x) = (\cos v_0) e'_1(x) + (\sin v_0) e'_2(x)$  has the direction along  $\bar{S}_1$ .

**Lemma 5.6.** *The framing  $\mathcal{F}(p, q)$  is an orthonormal framing on  $S^3 - L_0 = L(p, q) - \bar{L}_0$  having a special singularity at  $\bar{L}_0$ . Moreover  $s^*Q=0$ , where  $Q$  is the Chern-Simons form on  $F(L(p, q))$  (the  $SO(3)$  frame bundle of  $L(p, q)$ ) and  $s: L(p, q) - \bar{L}_0 \rightarrow F(L(p, q))$  is the section defined by  $\mathcal{F}(p, q)$ .*

*Proof.* By construction,  $\mathcal{F}(p, q)$  has a special singularity at  $\bar{L}_0$ . Since  $L(p, q)$  has the metric of constant sectional curvature 1,  $\Omega_{ij} = \theta_i \wedge \theta_j$ , where  $\Omega_{ij}$  and  $\theta_i$  are the curvature forms and the fundamental forms of  $L(p, q)$  respectively ( $i, j = 1, 2, 3$ ). It is easy to prove that the Chern-Simons form vanishes for the framing

$$\left( \frac{1}{\sqrt{1-t}} \partial/\partial\psi, -\frac{1}{\sqrt{t}}(p \partial/\partial\theta - r \partial/\partial\psi), 2\sqrt{t(1-t)} \partial/\partial t \right).$$

From this it follows that

$$\begin{aligned} s^*Q &= -d(\pi\mu(t)) \wedge d\left(-\sqrt{t}\left(d\psi + \frac{r}{p}d\theta\right)\right) \\ &\quad -d(v(t)) \wedge d\left((\sin\pi\mu(t))\sqrt{1-t}\frac{1}{p}d\theta\right) \\ &= 0. \quad \text{q.e.d.} \end{aligned}$$

The tangent space at  $[z_1, z_2]$  of  $L(p, q)$  is identified with the tangent space at  $(z_1, z_2)$  of  $S^3$ , and each vector in  $\mathbb{C}^2$  which is orthogonal to the vector  $(z_1, z_2) \in S^3$  is considered as a tangent vector at  $[z_1, z_2]$  of  $L(p, q)$ .

**Lemma 5.7.** *There is an orthonormal framing  $\alpha(p, q)$  on  $L(p, q)$  such that at each  $[z_1, 0] \in \bar{S}_1$ ,*

$$\alpha(p, q) = ((iz_1, 0), (0, z_1^{r+\lambda p}), (0, iz_1^{r+\lambda p}))$$

and at each  $[0, z_2] \in \bar{S}_2$

$$\alpha(p, q) = ((0, iz_2), (z_2^{q+\nu p}, 0), (iz_2^{q+\nu p}, 0))$$

where  $\lambda = \frac{1+(-1)^r}{2}$  and  $\nu = \frac{1+(-1)^q}{2}$ .

*Proof.* Let  $\alpha_1$  and  $\alpha_2$  be orthonormal framings of  $L(p, q)$  defined on  $\bar{S}_1$  and  $\bar{S}_2$  respectively. The only obstruction to extending  $\alpha_1 \cup \alpha_2$  to an orthonormal framing on  $L(p, q)$  lies in the group  $H^2(L(p, q), \bar{S}_1 \cup \bar{S}_2, \pi_1(SO(3)))$ . From the cohomology exact sequence of the pair  $(L(p, q), \bar{S}_1 \cup \bar{S}_2)$  with  $\mathbb{Z}_2$ -coefficients, we see that this group is isomorphic to  $\mathbb{Z}_2 + \mathbb{Z}_2$ . If  $\alpha_1 = ((iz_1, 0), (0, z_1^r), (0, iz_1^r))$  at  $[z_1, 0] \in \bar{S}_1$  and  $\alpha_2 = ((0, iz_2), (z_2^n, 0), (iz_2^n, 0))$  at  $[0, z_2] \in \bar{S}_2$  for some integers  $m$  and  $n$  respectively, then the obstruction is equal to  $((m+1) \bmod 2, (n+1) \bmod 2)$ . This can be seen by considering the restriction of the obstruction to the Moore spaces in  $L(p, q)$ ,  $M_1 = \{z_2 = \text{real} > 0\}$  and  $M_2 = \{z_1 = \text{real} > 0\}$ . If  $q$  or  $r$  is even, then  $p$  must be odd by  $qr = 1 \bmod p$ , and the lemma follows. q.e.d.

**Lemma 5.8.** *Let  $\alpha(p, q)$  be the framing on  $L(p, q)$  in Lemma 5.7. Then*

$$\tau(\bar{S}_1, \alpha(p, q)) = \left(\frac{r}{p} + \lambda\right) 2\pi$$

and

$$\tau(\bar{S}_2, \alpha(p, q)) = \left(\frac{q}{p} + v\right) 2\pi,$$

where  $\lambda$  and  $v$  are as in Lemma 5.7.

*Proof.* By definition,  $\tau(\bar{S}_1, \alpha(p, q)) = - \int_{s(S_1)} \theta_{2,3}$ , where  $s: \bar{S}_1 \rightarrow F(L(p, q))$  is the section defined by  $\alpha(p, q)$ . Parametrizing as  $\bar{S}_1 = [0, \exp it]$  ( $0 \leq t < 2\pi/p$ ), we have  $s^* \theta_{2,3} = -(r + \lambda p) dt$ . Hence  $\tau(\bar{S}_1, \alpha(p, q)) = \int_0^{2\pi/p} (r + \lambda p) dt = \left(\frac{r}{p} + \lambda\right) 2\pi$ . For  $\tau(\bar{S}_2, \alpha(p, q))$ , the proof is similar. q.e.d.

Using the framing  $\mathcal{F}(p, q)$  on  $L(p, q) - \bar{L}_0$  and the framing  $\alpha(p, q)$  on  $L(p, q)$ , we apply Theorem 1 in Introduction to the  $\eta$ -invariant of  $L(p, q)$ . Then by Lemmas 5.6 and 5.8, we have

$$\begin{aligned} \eta(L(p, q)) &= -\frac{1}{3} \left(\frac{q}{p} + \frac{r}{p} + \lambda + v\right) \\ &\quad + \frac{2}{3} d(\mathcal{F}(p, q), \alpha(p, q)) + \delta(L(p, q), \alpha(p, q)). \end{aligned}$$

In [2], the following has been proved,

$$\begin{aligned} \eta(L(p, q)) &= -\frac{1}{p} \sum_{k=1}^{p-1} \cot \frac{k}{p} \pi \cot \frac{k}{p} q \pi \\ &= \frac{1}{p} \text{def}(p; q, 1). \end{aligned}$$

Thus we obtain

**Proposition 5.2.** *Let  $\alpha(p, q)$  and  $\mathcal{F}(p, q)$  be as above. Then*

$$\begin{aligned} &\frac{2}{3} d(\mathcal{F}(p, q), \alpha(p, q)) + \delta(L(p, q), \alpha(p, q)) \\ &= \frac{1}{3} \left(\frac{q}{p} + \frac{r}{p} + \lambda + v\right) + \frac{1}{p} \text{def}(p; q, 1). \end{aligned}$$

This finishes Subsection.

Let  $(p, q)$  be a coprime pair of integers such that  $|p| \geq 5$  if  $|q| = 1$ . Let  $(r, s)$  be the pair of integers such that  $0 \leq r < |p|$  and  $ps + qr = 1$ . Let  $u = (z, w)$  be the point of  $U$  satisfying the equation (II). Then as before mentioned  $N_u$  can be completed to a closed hyperbolic manifold  $M_{p,q}$  by adjoining a closed geodesic  $\gamma$  to the end of  $N_u$ . Let  $E_1$  be a small tubular neighborhood of  $K$  such that  $E_1 \subset E$  and  $E_1 \cap m = \phi$ , where  $E$  is defined in Lemma 5.1. Let  $(m_1, l_1)$  be a meridian-longitude pair in  $T_1 = \partial E_1$ . Then  $M_{p,q}$  can be written as  $M_{p,q} = D^2 \times S^1 \bigcup_f (S^3 - \mathring{E}_1)$ , where  $f: \partial D^2 \times S^1 \rightarrow T_1 = \partial(S^3 - \mathring{E}_1)$  is a diffeomorphism such

that  $f(\partial D^2 \times 1)$  and  $f(1 \times S^1)$  represent the homology classes  $p[m_1] + q[l_1]$  and  $r[m_1] - s[l_1]$  in  $H_1(T_1)$  respectively. The geodesic loop  $\gamma$  corresponds to the core curve  $0 \times S^1$  of the solid torus  $D^2 \times S^1$ . On the other hand, we have the diffeomorphism  $\phi = \phi_1 \cup \phi_2: D^2 \times S^1 \bigcup_g D^2 \times S^1 \rightarrow L(p, q)$  as in Subsection. Let  $k: S^3 - \mathring{E}_1 \rightarrow S^3 - k(\mathring{E}_1)$  be the restriction of the map  $k$  in Lemma 5.1. Note that, since  $E \supset E_1$ ,  $k$  maps  $E_1$  diffeomorphically onto a tubular neighborhood  $k(E_1)$  of  $S_1$  and the pair  $(k(m_1), k(l_1))$  forms a meridian-longitude pair of the trivial knot  $S_1 \subset S^3$ . Identifying  $S^3 - k(\mathring{E}_1)$  with  $D^2 \times S^1$  so that  $S_2$  may be identified with  $0 \times S^1$  and the pair  $(1 \times S^1, \partial D^2 \times 1)$  in  $\partial D^2 \times S^1$  may form a meridian-longitude pair of the trivial knot  $S_1 \subset S^3$ , we may write the map  $k$  as a map  $k: S^3 - \mathring{E}_1 \rightarrow D^2 \times S^1$  such that  $k(m_1) = 1 \times S^1$ ,  $k(l_1) = \partial D^2 \times 1$  and  $k(m) = 0 \times S^1$ . Then the composition  $(k|\partial)f: \partial D^2 \times S^1 \rightarrow \partial D^2 \times S^1$  is isotopic to  $g = \phi_2^{-1} \phi_1|\partial$ , and we may assume that  $(k|\partial)f = g$ . Define the map

$$H': M_{p,q} = D^2 \times S^1 \bigcup_f (S^3 - \mathring{E}_1) \rightarrow D^2 \times S^1 \bigcup_g D^2 \times S^1$$

by  $H'|D^2 \times S^1 =$  the identity map and  $H'|S^3 - \mathring{E}_1 = k$ . By composing with  $\phi$ , we obtain a map  $H: M_{p,q} \rightarrow L(p, q)$  such that  $H$  maps a neighborhood of  $\gamma \cup m$  diffeomorphically onto a neighborhood of  $\bar{S}_1 \cup \bar{S}_2$  and  $H(\gamma) = \bar{S}_1$  and  $H(m) = \bar{S}_2$ .

Similarly the bundle map  $Tk$  of Lemma 5.2 gives a bundle map  $TH: TM_{p,q} \rightarrow TL(p, q)$  which covers  $H$ .  $TM_{p,q}$  and  $TL(p, q)$  have the orthogonal bundle structures induced from the Riemannian metric on them. We may assume that  $TH$  is a bundle map between these orthogonal bundles.

Now recall the construction of the framing  $\mathcal{F}_u$  on  $N_u - m = M_{p,q} - \gamma \cup m$  which has a special singularity at  $\gamma \cup m$ .  $\mathcal{F}_u$  was constructed as follows. At first we pull back by  $Tk$  the framing  $\mathcal{F}_0$  on  $S^3 - L_0$  to  $N_u - m$ . Next we orthonormalize it with respect to the metric of  $N_u$ , and finally in a neighborhood of the end of  $N_u$ , we rotate about its  $e_3$ -vectors so that it may become a simple framing there. Also recall the construction of the framing  $\mathcal{F}(p, q)$  on  $L(p, q) - \bar{L}_0$ . It was defined by rotating the framing  $\mathcal{F}_0(p, q)$  about its  $e_3$ -vectors in a small neighborhood of  $\bar{S}_1$  so that it may have a special singularity at  $\bar{S}_1$ . As noted before,  $\mathcal{F}_0(p, q)$  is the orthonormalization of the framing  $\mathcal{F}_0$  with respect to the metric of  $L(p, q)$ . The map  $k$  in Lemma 5.1 maps the end of  $N_u$  diffeomorphically onto a neighborhood of  $\bar{S}_1$  ( $\bar{S}_1$  is deleted) in a nice way (assumption (\*\*)) and the two rotations about  $e_3$ -vectors in the above two constructions can be carried in the same way. That is, we may assume that (deforming fibre homotopically if necessary)  $TH$  maps  $\mathcal{F}_u$  to  $\mathcal{F}(p, q)$ ,  $TH(\mathcal{F}_u(x)) = \mathcal{F}(p, q)(H(x))$  for each  $x \in M_{p,q} - \gamma \cup m$ .

We define the orthonormal framing  $\alpha_u$  on  $M_{p,q}$  as the pull-back of the orthonormal framing  $\alpha(p, q)$  on  $L(p, q)$  by  $TH$ ,  $TH(\alpha_u(x)) = \alpha(p, q)(H(x))$  for each  $x \in M_{p,q}$ . This is possible, for  $TH$  preserves the orthogonal structures on the fibres.

Using the framings  $\alpha_u$ ,  $\mathcal{F}_u$  and  $\kappa_u$ , we apply Theorem 3.2 to the  $\eta$ -invariant of  $M_{p,q}$ . We must calculate the terms in the right hand side of the equation in Theorem 3.2. The term  $\text{Im} f(u)$  is given by Theorem 5.1.

**Lemma 5.9.**  $d(\mathcal{F}_u, \alpha_u) = d(\mathcal{F}(p, q), \alpha(p, q))$ .

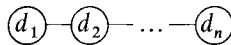
*Proof.* Let  $\bar{L}(p, q)$  be the closure of  $s(L(p, q) - \bar{L}_0)$  in  $F(L(p, q))$ , where  $s: L(p, q) - \bar{L}_0 \rightarrow F(L(p, q))$  is the section defined by  $\mathcal{F}(p, q)$ . Let  $\bar{M}_{p, q}$  be the closure of  $s(M_{p, q} - \gamma \cup m)$  in  $F(M_{p, q})$ , where  $s: M_{p, q} - \gamma \cup m \rightarrow F(M_{p, q})$  is the section defined by  $\mathcal{F}_u$ . Then  $H$  induces the map  $\bar{H}: \bar{M}_{p, q} \rightarrow \bar{L}(p, q)$ . If  $f: \bar{L}(p, q) \rightarrow SO(3)$  is the difference map of  $\mathcal{F}(p, q)$  and  $\alpha(p, q)$  as in Sect. 1, then  $f \circ H$  gives that of  $\mathcal{F}_u$  and  $\alpha_u$ . Since  $\bar{H}$  is of degree 1, the lemma follows. q.e.d.

**Lemma 5.10.**  $\delta(M_{p, q}, \alpha_u) = \delta(L(p, q), \alpha(p, q))$ .

*Proof.* There is a decomposition

$$\begin{pmatrix} p & r \\ -q & s \end{pmatrix} = \begin{pmatrix} -d_n & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} -d_{n-1} & 1 \\ -1 & 0 \end{pmatrix} \cdots \begin{pmatrix} -d_1 & 1 \\ -1 & 0 \end{pmatrix}$$

where  $\{d_j\}_{j=1, \dots, n}$  are integers. For  $j=1, \dots, n$ , let  $A_j$  be the oriented  $D^2$ -bundle over the oriented 2-sphere  $S_j^2$  whose euler class is  $d_j$  times the orientation class of  $S_j^2$ . Let  $W$  be the 4-manifold obtained by plumbing of  $A_1, \dots, A_n$  according to the following weighted tree (see [8], §8),



where each  $(d_j)$  represents  $A_j$ . Let  $D_1^2$  (resp.  $D_n^2$ ) be a smooth 2-disc in  $S_1^2$  (resp.  $S_n^2$ ) which does not intersect with  $A_2$  (resp.  $A_{n-1}$ ) in the above plumbing. Let  $D_1^2 \times D^2$  (resp.  $D_n^2 \times D^2$ ) be the sub  $D^2$ -bundle of  $A_1$  (resp.  $A_n$ ) over  $D_1^2$  (resp.  $D_n^2$ ). Then the boundary of  $W$ ,  $\partial W$ , is diffeomorphic to the manifold  $D_1^2 \times S^1 \cup D_n^2 \times S^1$ , where  $g: \partial D_1^2 \times S^1 \rightarrow \partial D_n^2 \times S^1$  is the map defined by  $g(a, b) = (a^{-g} b^s, a^p b^r)$  for  $(a, b) \in \partial D_1^2 \times S^1$  [8], here we consider the 2-discs as the unit 2-disc in the complex plane. We identify the curve  $\partial D_n^2 \times 0 \subset \partial(D_n^2 \times D^2) = S^3$  with the curve  $S_1 = \{(z_1, 0) \mid |z_1| = 1\}$  in  $S^3$ . Then the map  $k$  in Lemma 5.1 gives a diffeomorphism  $k_1: E_1 \rightarrow \partial D_n^2 \times D^2$ , where  $E_1$  is the tubular neighborhood of the figure eight knot  $K$  in  $S^3$  and  $k_1$  maps a longitude curve in  $\partial E_1$  to  $\partial D_n^2 \times 1$ . Let  $W'$  be the 4-manifold obtained from the disjoint union  $(W - \mathring{D}_n^2 \times D^2) \cup D^4$  by identifying each point  $x \in E_1 \subset \partial D^4$  with  $k_1(x) \in \partial D_n^2 \times D^2 \subset \partial(W - \mathring{D}_n^2 \times D^2)$ . Then  $\partial W' = M_{p, q}$ . Using the maps  $\tilde{k}$  and  $T\tilde{k}$  of Lemma 5.3, we obtain a map  $G: W \rightarrow W'$  and a bundle map  $TG: TW' \rightarrow TW$  which covers  $G$  such that  $G|D^4 = \tilde{k}$ ,  $G|W - \mathring{D}_n^2 \times D^2 = \text{the identity map}$ ,  $TG|TD^4 = T\tilde{k}$  and  $TG|T(W - \mathring{D}_n^2 \times D^2) = \text{the identity map}$ . Then  $G|\partial W' = H$  and  $TG|T\partial W' = TH$ . Now,

$$\delta(L(p, q), \alpha(p, q)) = \frac{1}{3} P_1 [W] - \text{Sign}(W)$$

and

$$\delta(M_{p, q}, \alpha_u) = \frac{1}{3} P_1 [W'] - \text{Sign}(W')$$

Clearly  $\text{Sign}(W) = \text{Sign}(W')$ . Since the Pontrjagin class is functorial with respect to the bundle map,  $P_1 [W] = P_1 [W']$ . q.e.d.

**Proposition 5.3**

- (i)  $\tau(\gamma, \alpha_u) = \left(\frac{r}{p} + \lambda\right) 2\pi - \frac{2}{p} \arg z(1 - z)$
- (ii)  $\tau(m, \kappa_u) - \tau(m, \alpha_u) = -v 2\pi$ .



*Proof.* (i) We use the coordinate  $(x, y, t)$  of  $D^2 \times S^1$  defined by  $a = x + iy$  and  $b = \exp it$ , where  $(x, y) \in \mathbb{R}^2$  with  $x^2 + y^2 \leq 1$ ,  $0 \leq t < 2\pi$ ,  $a \in D^2$  and  $b \in S^1$ . Let  $\alpha'_0$  be the framing on  $D^2 \times S^1$  defined by  $\alpha'_0 = (\partial/\partial t, \partial/\partial x, \partial/\partial y)$ . In the representation  $M_{p,q} = D^2 \times S^1 \bigcup_f (S^3 - E_1)$ ,  $0 \times S^1$  represents  $\gamma$  and we may consider  $\tau(\gamma, \alpha_0)$ , where  $\alpha_0$  is the orthonormalization of  $\alpha'_0$  with respect to the metric of  $M_{p,q}$ . Since the curve  $f(1 \times S^1) \subset \partial E_1$  represents the curve  $rm_1 - sl_1$ , it follows from (III) at the beginning of this section that

$$\tau(\gamma, \alpha_0) = r \arg w(1 - z) - s \arg z^2(1 - z)^2.$$

Since  $p \arg w(1 - z) + q \arg z^2(1 - z)^2 = 2\pi$  and  $ps + qr = 1$ , we have

$$\tau(\gamma, \alpha_0) = \frac{r}{p} 2\pi - \frac{2}{p} \arg z(1 - z).$$

Let  $\phi = \phi_1 \cup \phi_2: D^2 \times S^1 \bigcup_g D^2 \times S^1 \rightarrow L(p, q)$  be the diffeomorphism defined in Subsection. Consider the framing  $(\phi^{-1})_* \alpha(p, q)$ . On  $\phi^{-1}(\bar{S}_1) = 0 \times S^1$ , we may write as  $((\phi^{-1})_* \alpha(p, q))(t) = \alpha'_0(t)v(t)$  for  $t \in \phi^{-1}(\bar{S}_1)$ , where  $v: \phi^{-1}(\bar{S}) \rightarrow SO(2)$  ( $\subset SO(3)$ ) is the difference map as in the proof of Lemma 1.1 in Sect. 1. Then from the definitions of  $\phi_1$  and  $\alpha(p, q)$ , it follows that the mapping degree of  $v$  is  $\lambda$ . The map  $H': M_{p,q} = D^2 \times S^1 \bigcup_f (S^3 - E_1) \rightarrow D^2 \times S^1 \bigcup_g D^2 \times S^1$  is the identity map on  $D^2 \times S^1$ . Since  $\alpha_u$  is defined as the pull back of  $\alpha(p, q)$  by the bundle map  $TH$ , it follows that, on  $\gamma = 0 \times S^1$ , we may write as  $\alpha_u(t) = \alpha_0(t)v(t)$  for  $t \in \gamma$ , where  $v$  is the above map. By the calculation in the proof of Lemma 1.1, we have

$$\begin{aligned} \tau(\gamma, \alpha_u) &= \tau(\gamma, \alpha_0) + \lambda 2\pi \\ &= \left(\frac{r}{p} + \lambda\right) 2\pi - \frac{2}{p} \arg z(1 - z). \end{aligned}$$

(ii) On  $\phi^{-1}(\bar{S}_2) = 0 \times S^1$ ,  $((\phi^{-1})_* \alpha(p, q))(t) = \alpha'_0(t)w(t)$  for  $t \in \phi^{-1}(\bar{S}_2)$ , where  $w: \phi^{-1}(\bar{S}_2) \rightarrow SO(2)$  ( $\subset SO(3)$ ) is the difference map. From the definitions of  $\phi_2$  and  $\alpha(p, q)$ , we see that the mapping degree of  $w$  is  $v$ . Since  $H'(S^3 - E_1) = k$  and  $Tk = k_*$  near  $m$ , it follows from the definition of  $\kappa_u$  and the construction of  $k$  that  $TH'(\kappa_u)$  is isotopic to  $\alpha'_0$  on  $H(m) = 0 \times S^1 \subset D^2 \times S^1$ . This shows that, on  $m$ , the mapping degree of the difference map of  $\alpha_u$  and  $\kappa_u$  is  $v$ . By the calculation in the proof of Lemma 1.1, we see that  $\tau(m, \alpha_u) = \tau(m, \kappa_u) + v 2\pi$ .  $\square$  e.d.

By Theorem 5.1, Propositions 5.2 and 5.3, and Lemmas 5.9 and 5.10, we have

$$\begin{aligned} \frac{1}{3} \operatorname{Im} f(u) &= -\frac{1}{3\pi^2} \operatorname{Re} \left( R(z) + R(w) - \frac{\pi^2}{6} \right), \\ -\frac{1}{6\pi} \tau(\gamma, \alpha_u) &= -\frac{1}{3} \left( \frac{r}{p} + \lambda \right) + \frac{1}{3p\pi} \arg z(1 - z), \\ \frac{2}{3} d(\mathcal{F}_u, \alpha_u) + \delta(M_{p,q}, \alpha_u) &= \frac{1}{3} \left( \frac{q}{p} + \frac{r}{p} + \lambda + v \right) + \frac{1}{p} \operatorname{def}(p; q, 1), \\ \frac{1}{6\pi} (\tau(m, \kappa_u) - \tau(m, \alpha_u)) &= -\frac{1}{3} v. \end{aligned}$$

Summing up these equations, by Theorem 3.2, we obtain Theorem 3 in Introduction.

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