

## VI. Other duality

### VI.1 Alexander duality

1.

**정리 1** *Let  $(X, A)$  be a compact pair. Then there exists a long exact sequence*

$$\cdots \longrightarrow H_c^q(X - A) \xrightarrow{i} H^q(X) \xrightarrow{j} \check{H}^q(X) \xrightarrow{\delta} H_c^{q+1}(X - A) \longrightarrow \cdots .$$

먼저 map들이 어떻게 정의되었는지 살펴보자.

(1)  $i : U^{\text{open}} \subset X \Rightarrow \exists$  a canonical homomorphism  $i : H_c^q(U) \rightarrow H^q(X)$ :  
임의의 compact set  $K \subset U$ 에 대하여 아래 diagram이 commute하므로  $i$ 가 induce된다.

$$\begin{array}{ccc} H^q(U, U - K) & \xleftarrow[\cong]{\text{excision}} & H^q(X, X - K) \\ \downarrow \text{dotted} & \searrow \text{diagonal} & \downarrow i^* \\ H_c^q(U) & \xrightarrow[\exists! "i"]{\text{dotted}} & H^q(X) \end{array}$$

(2)  $j$ :

$$\begin{array}{ccc} H^q(X) & \xrightarrow{j^*, j=\text{inclusion}} & H^q(V) \\ \searrow \text{diagonal} & \searrow \text{diagonal} & \downarrow \text{dotted} \\ & \exists! "j" & \lim_{V^{\text{open}} \supset A} H^q(V) := \check{H}^q(A) \end{array}$$

(3)  $\delta$ :

$K = X - V, U = X - A$ 라 두면 다음 diagram을 얻는다.

$$\begin{array}{ccc} H^q(V) & \xrightarrow{\delta} & H^{q+1}(X, V) \xrightarrow[\cong]{\text{excision}} H^{q+1}(U, U - K) \\ \downarrow \text{dotted} & & \downarrow \\ \check{H}^q(A) & \xrightarrow[\exists! "\delta"]{\text{dotted}} & H_c^{q+1}(U) \end{array}$$

이 때,  $\delta$ 가 natural하므로 " $\delta$ "가 induce된다.

증명

$$\begin{array}{ccccccc}
H^q(X, V) & & & & & & H^{q+1}(X, V) \\
\downarrow \cong & & & & & & \downarrow \cong \\
\cdots \rightarrow H^q(U, U - K) \rightarrow H^q(X) \rightarrow H^q(V) \rightarrow H^{q+1}(U, U - K) \rightarrow \cdots \\
\vdots \downarrow & & \vdots \downarrow & & \vdots \downarrow & & \vdots \downarrow \\
\cdots \rightarrow H_c^q(X - A) \xrightarrow{i} H^q(X) \xrightarrow{j} \check{H}^q(X) \xrightarrow{\delta} H_c^{q+1}(X - A) \rightarrow \cdots
\end{array}$$

$\lim_{\rightarrow}$ 은 exact functor이므로 위 diagram과 같이  $(X, V)$ 의 cohomology long exact sequence로부터 원하는 exact sequence를 얻는다.  $\square$

2. (Alexander duality)  $X = M^n$ :  $R$ -orientable compact manifold,  $A^{\text{cpt}} \subset M$ .

Consider 
$$\begin{array}{ccc}
H^q(V) & \xrightarrow{\zeta_A \cap} & H_{n-q}(V, V - A) \\
\downarrow \cong & \searrow & \downarrow \cong \\
\check{H}^q(A) & \xrightarrow{\exists! D_A} & H_{n-q}(M, M - A)
\end{array}$$
 $\cong \downarrow \text{excision}$

where  $\zeta_A \in H_n(V, V - A)$ , restriction of orientation class  $\zeta_A \in H_n(M, M - A)$ . Then

$$D_A : \check{H}^q(A) \xrightarrow{\cong} H_{n-q}(M, M - A) \quad \forall q$$

증명 다음 diagram에 5-lemma를 적용하면 증명이 끝난다.

$$\begin{array}{ccccccc}
\cdots \rightarrow H_c^q(U) \rightarrow H^q(M) \rightarrow \check{H}^q(A) \xrightarrow{\delta} H_c^{q+1}(U) \rightarrow \cdots \\
D_U \downarrow \cong \quad (1) \quad D_M \downarrow \cong \quad (2) \quad D_A \downarrow ?? \quad (3) \quad D_U \downarrow \cong \\
\cdots \rightarrow H_{n-q}(U) \rightarrow H_{n-q}(M) \rightarrow H_{n-q}(M, U) \xrightarrow{\partial} H_{n-q-1}(U) \rightarrow \cdots
\end{array}$$

$U$ 는 앞서와 마찬가지로  $M - A$ 이고  $D_U$ 와  $D_M$ 은 Poincaré duality map들이다.

따라서 (1), (2), (3)이 commute한다는 것만 보이면 된다. (1)은 cap product의 naturality에 의하여 commute한다.

(2)는 다음 diagram에서

$$\begin{array}{ccccc}
H^q(M) & \longrightarrow & H^q(V) & \cdots \cdots \cdots \longrightarrow & \check{H}^q(A) \\
D_M \downarrow \cong & \circlearrowleft & \downarrow \zeta_A \cap & & D_A \downarrow \\
H_{n-q}(M) & \longrightarrow & H_{n-q}(V, V - A) & \xrightarrow[\text{excision}]{\cong} & H_{n-q}(M, U)
\end{array}$$

cap product의 naturality에 의하여 왼쪽 사각형이 commute하고 이것의 direct limit이 (2)이므로 commute한다.

(3)은 up to sign으로 commute하는데 다음 diagram에서 확인할 수 있다.

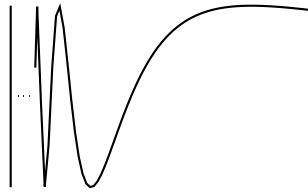
$$\begin{array}{ccccc}
 & & H^{q+1}(M, V) & & \\
 & & \nearrow \delta & \searrow \text{excision} & \\
 & & & \cong & \\
 & & & & H^{q+1}(U, U - K) \\
 & & & & \vdots \\
 & & & & H_c^{q+1}(U) \\
 & & & & \downarrow D_U \\
 & & & & H_{n-q-1}(U) \\
 & & & & \uparrow \zeta_K \cap \\
 & & & & \delta \\
 & & & & \downarrow \delta \\
 & & & & \check{H}^q(A) \\
 & & & & \downarrow D_A \\
 & & & & H_{n-q}(M, U) \\
 & & & & \downarrow \partial \\
 & & & & H_{n-q-1}(U) \\
 & & & & \uparrow \zeta_A \cap \\
 & & & & H^q(V) \\
 & & & & \downarrow \delta \\
 & & & & H^{q+1}(M, V)
 \end{array}$$

여기서  $V = M - K$ 이다. chain level에서  $\partial(\zeta \cap a) = (-1)^q(\partial\zeta \cap a + \zeta \cap \delta a) = (-1)^q(\zeta \cap \delta a)$ 이므로 up to sign으로 commute함을 확인할 수 있다.  $\square$

3.(Taut embedding) When is  $\check{H}^q(A) = H^q(A)$ ?

e.g. non-taut embedding

$$A = \{(x, \sin \frac{1}{x}) \cup \{(1, y) \mid |y| \leq 1\}$$

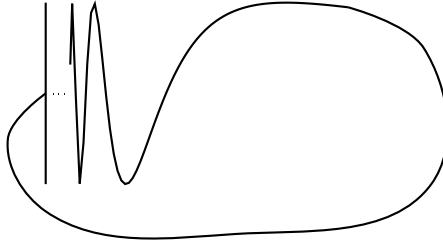


$A$ 는  $\mathbb{R}^2$ 의 closed subset이고 다음이 성립한다.

- (1) number of path components of  $A = 2$
- (2) number of connected components of  $A = 1$

(1)에 의하여  $H^0(A) = \mathbb{Z}^2$ 이다. 그러나 (2)에서 임의의 open set  $U \supset A$ 에 대하여  $A$ 는  $U$ 의 한 component에 속해있으므로  $\check{H}^0(A) = \varinjlim H^0(U) = \mathbb{Z}$ 이다. 따라서  $A$ 는 taut embedding이 아니다.

또,  $A =$



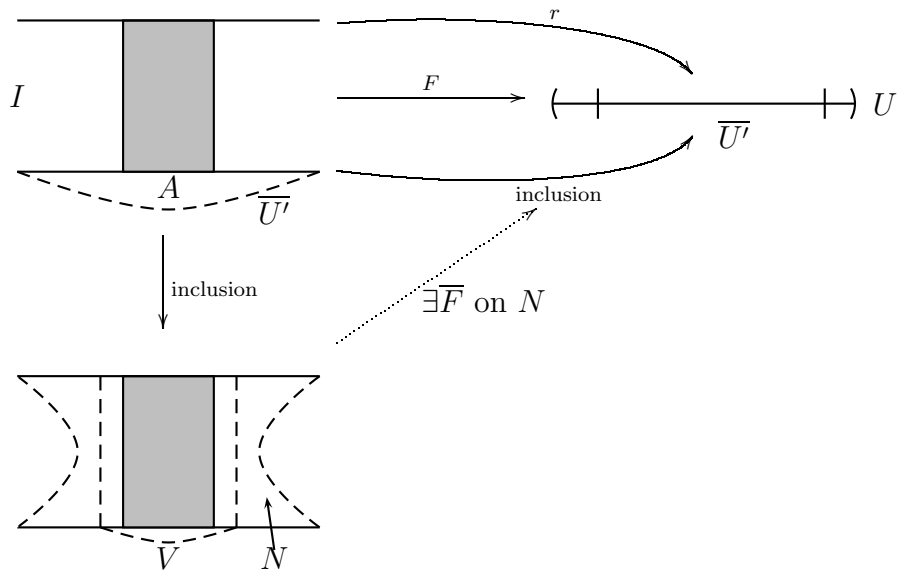
이러면  $\check{H}^1(A) = \mathbb{Z}$ ,  $H^1(A) = 0$ 임을 확인할 수 있다.

Let  $A^{\text{closed}} \subset X^{\text{normal}}$  and suppose  $A$  is ANR. Then there exists  $U^{\text{open}}$  such that  $A \xrightleftharpoons[i]{r} U$  with  $ri = id$ .

$$\Rightarrow \begin{array}{ccc} H^q(A) & \xrightleftharpoons[r^*]{i^*} & H^q(U) & \text{and } \kappa \text{ is onto.} \\ & \swarrow \exists! \kappa & \downarrow & \\ & & \check{H}^q(A) & \end{array}$$

Furthermore, let  $X$  be a binormal ANR. (i.e.  $X \times I$  is also normal.)

$A \subset U^{\text{open}} \subset X^{\text{normal}} \Rightarrow \exists U'$  open such that  $A \subset U' \subset \overline{U'} \subset U$ .  
Consider



, where  $N$  is an open neighborhood of  $\overline{\square} =: C$

$F$ 를 위의 그림과 같이  $C$ 에서  $U$ 로 가는 map으로서 0-level에서는 inclusion으로 1-level에서는  $r$ 로,  $A \times I$ 에서는  $A$ 로의 projection으로 정의한다.  $\overline{U} \times I$ 는 normal space  $X \times I$ 의 closed subset이므로 normal이고  $C$ 는  $\overline{U} \times I$ 의 closed subset이다.  $X$ 가 ANR이므로  $U$ 도 ANR이다. 따라서  $N$ 에서 정의된  $F$ 의 extension  $\overline{F}$ 가 존재한다.

$V^{\text{open}} \supset A$ 를  $V \times I \subset N$ 이 되도록 잡으면  $\overline{F}|_{V \times I}$ 는  $j : V \hookrightarrow U$ 와  $ir' : V \xrightarrow{r'} A \xrightarrow{i} U$ ,  $r' = r|_V$  사이에 homotopy를 준다. 따라서  $r'^*i^* = j^*$ 이다.

이제  $\kappa$ 가 1-1임을 보이자.  $\kappa(x) = 0$ 이라 하면, 어떤  $U$ 에 대하여  $x = \{x_u\}, x_u \in H^q(U)$ 이고,  $i^*(x_u) = 0$ 이다. 따라서  $j^*(x_u) = r'^*i^*(x_u) = 0$ 이고 결국  $x = \{j^*(x_u)\} = 0$ 임을 알 수 있다.

Note. The product of Paracompact space and compact Hausdorff is paracompact.  
(Munkres, p.259)

In particular  $\kappa$  becomes an isomorphism for  $A^{\text{closed, ANR}}$  in paracompact manifold  $X$ . (eg.  $A$  is a closed submanifold of  $X$ .)

**Remark.** The proof of 3 shows in particular that if  $\exists V \supset A$  such that  $A$  is a deformation retract of  $V$  then  $A$  is taut.

4. If  $X$  is compact and  $\check{H}^q(A) \cong H^q(A)$ , then we have

$$\begin{array}{ccccccc}
 & & H^q(U, U - K) \xrightarrow{\cong} H^q(X, X - K) & & \text{where } X - K = V & & \\
 & & \downarrow & \nearrow i^* & \text{and } X - A = U & & \\
 \cdots \rightarrow \check{H}^{q-1}(A) & \xrightarrow{\delta} & H_c^q(X - A) & \longrightarrow & H^q(X) & \longrightarrow & \check{H}^q(A) \rightarrow \cdots \\
 & \downarrow \kappa: \cong & \downarrow \exists! & & \downarrow id: \cong & & \downarrow \kappa: \cong \\
 \cdots \rightarrow H^{q-1}(A) & \xrightarrow{\delta} & H^q(X, A) & \longrightarrow & H^q(X) & \longrightarrow & H^q(A) \rightarrow \cdots
 \end{array}$$

Diagram commutes since all the maps involved are induced by inclusion and  $\delta$  is natural. Then by 5 lemma,  $H_c^q(X - A) \cong H^q(X, A)$ .

**숙제 25.** Show that  $H_c^q(\mathbb{R}^n) \cong H^q(S^n, \infty) = \widetilde{H}^q(S^n)$ .

5. Let  $M$  be a compact  $n$ -manifold,  $A^{\text{closed ANR}} \subset M$ . Then

$$\begin{array}{ccc} H_c^q(M - A) & \xrightarrow{\cong} & H^q(M, A) \\ & \searrow \downarrow \text{P.D.:} \cong & \swarrow \text{Lefschetz duality} \cong \\ H_{n-q}(M - A) & & \end{array}$$

e.g.  $H^q(M, \partial M) \cong H_{n-q}(M - \partial M) \cong H_{n-q}(M)$  ( $\partial M$  has a collar)

**Remark.**  $(K, L)$ : compact pair in  $M$ , a compact manifold.

relative A.D. :  $\check{H}^q(K, L) \xrightarrow{\cong} H_{n-q}(M - L, M - K)$

In particular, if  $M = K$ , then  $\check{H}^q(M, L) \xrightarrow{\cong} H_{n-q}(M - L)$ . (숙제 26.)

### Application of Alexander Duality

6.  $A^{\text{compact}} \subset \mathbb{R}^n \subset S^n$

$\Rightarrow$  (1)  $\check{H}^q(A) \cong \tilde{H}_{n-q-1}(\mathbb{R}^n - A)$

(2)  $\check{H}^q(A) \cong \tilde{H}_{n-q-1}(S^n - A)$ , where  $\check{H}^q(A) = \lim_{\vec{V}} \check{H}^q(V)$

증명(1) and (2) :

$$\begin{array}{ccc} \check{H}^q(A) & \xrightarrow{\text{A.D.:} \cong} & H_{n-q}(S^n, S^n - A) \xrightarrow{\text{excision:} \cong} H_{n-q}(\mathbb{R}^n, \mathbb{R}^n - A) \xrightarrow{\cong} \tilde{H}_{n-q-1}(\mathbb{R}^n - A) \\ & \downarrow (*): \cong & \\ & & \tilde{H}_{n-q-1}(S^n - A) \end{array}$$

(\*) : reduced long exact sequence of pair where  $q \neq 0$

$q = 0$  case :

$$\begin{array}{ccccccc} \rightarrow \tilde{H}_n(S^n - A) & \rightarrow & \tilde{H}_n(S^n) & \rightarrow & H_n(S^n, S^n - A) & \xrightarrow{\partial} & \tilde{H}_{n-1}(S^n - A) \rightarrow \tilde{H}_{n-1}(S^n) \\ & \text{(\textit{open} \cong 0)} & (= R) & & & & (= 0) \\ \Rightarrow \tilde{H}_{n-1}(S^n - A) & \cong & H_n(S^n, S^n - A)/R. & & & & \end{array}$$

Now note that  $\check{H}^0(A)/R \cong \check{H}^0(A)$ .

Then by A.D.,  $H_n(S^n, S^n - A) \cong \check{H}^0(A)$  and  $\tilde{H}_{n-1}(S^n - A) \cong \check{H}^0(A)$ .  $\square$

7.  $A \subset \mathbb{R}^n \subset S^n$ ,  $A$  : compact  $(n - 1)$ -manifold.

$\Rightarrow$  the number of components of  $\mathbb{R}^n - A = (\text{the number of components of } A) + 1$

**증명**  $\tilde{H}_0(\mathbb{R}^n - A; \mathbb{Z}/2) \cong H^{n-1}(A; \mathbb{Z}/2) \stackrel{P.D.}{=} H_0(A, \mathbb{Z}/2) = (\mathbb{Z}/2)^k$  where  $k =$  the number of components of  $A$  □

**8.** A non-orientable closed  $M^n$  can not be embedded in  $\mathbb{R}^{n+1}$ .

**증명** May assume  $M$  is connected.

$M$  is non-orientable.

$\Rightarrow H_n(M) = 0 \Rightarrow rk(H^n(M; \mathbb{Z})) = 0$  and hence  $H^n(M; \mathbb{Z}) \cong \tilde{H}_0(\mathbb{R}^{n+1} - M; \mathbb{Z}) = 0$

$\therefore \mathbb{R}^{n+1} - M$  has 1 component. This is a contradiction to 7. □

**9.**  $A =$  a link with  $k$  components in  $\mathbb{R}^3$

$\Rightarrow H_*(\mathbb{R}^3 - A) = H_*(\mathbb{R}^3 - \text{trivial link with } k \text{ component})$

**증명**  $\tilde{H}_{n-q-1}(\mathbb{R}^3 - A) = H^q(A) = H^q(\text{trivial link}) = \tilde{H}_{n-q-1}(\mathbb{R}^3 - \text{trivial link with } k \text{ component})$ . □