

## VI.2 Lefschetz Duality

### Induced orientation on the boundary

1. Let  $M$  be an  $R$ -orientable manifold with boundary  $\partial M \neq \emptyset$   
 $x \in \partial M, W$  a coordinate neighborhood of  $x \cong \mathbb{R}_+^n = \{x \in \mathbb{R}^n \mid x_n \geq 0\}$   
 $\supset V$  a coordinate neighborhood of  $x \cong D_+^n = \{x \in D^n \mid x_n \geq 0\}$ .

Want to extend the orientation on  $\overset{\circ}{V}$  to  $\partial V$  locally first in a compatible way to obtain a global extension :

$$\text{orientation} \in \Gamma \overset{\circ}{V} \xleftarrow{j_{\overset{\circ}{V}} \cong} H_n(M, M - \overset{\circ}{V})$$

$$\xrightarrow{\text{Want } \partial} \text{orientation} \in \Gamma(\partial V) \xleftarrow{j_{\partial \overset{\circ}{V}} \cong} H_{n-1}(\partial M, \partial M - \partial V):$$

Note that  $j_{\overset{\circ}{V}}$  is  $\cong$  since

$$\begin{array}{ccc} \text{orientation} \in \Gamma \overset{\circ}{V} & \xleftarrow{j_{\overset{\circ}{V}}} & H_n(M, M - \overset{\circ}{V}) \\ \uparrow j: \cong & & \uparrow \text{excision: } \cong \\ H_n(\mathbb{R}^n, \mathbb{R}^n - \overset{\circ}{V}) & \xleftarrow{\text{excision: } \cong} & H_n(\mathbb{R}_+^n, \mathbb{R}_+^n - \overset{\circ}{V}) \end{array}$$

$$\text{and similarly } \Gamma(\partial V) \xleftarrow{j_{\partial V} \cong} H_{n-1}(\partial M, \partial M - \partial V)$$

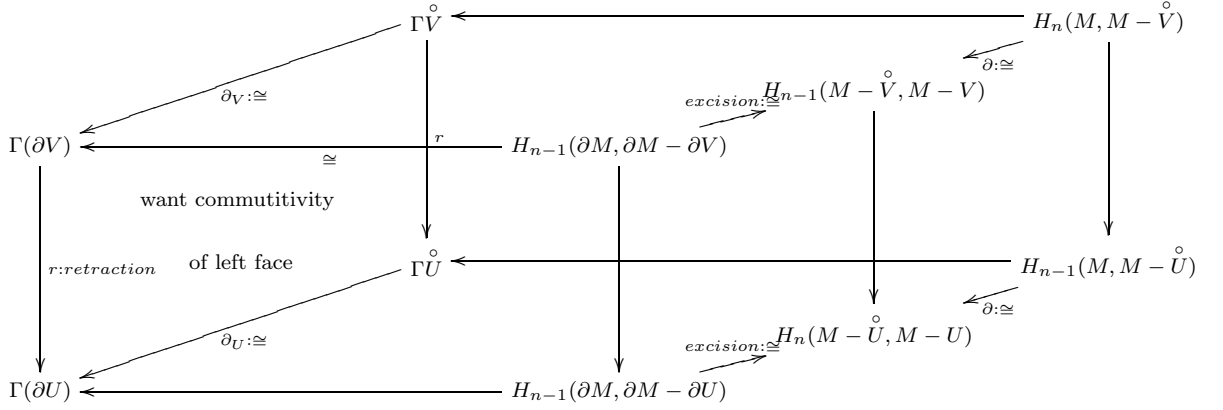
Consider the long exact sequence of the triple  $(M, M - \overset{\circ}{V}, M - V)$  and note that  $M - V$  is a strong deformation retract of  $M$ .

$$\begin{array}{ccccccc} & & & \Gamma \overset{\circ}{V} & & & \\ & & & \cong \uparrow & & & \\ 0 & \xlongequal{\quad} & H_n(M, M - V) & \longrightarrow & H_n(M, M - \overset{\circ}{V}) & \xrightarrow{\partial: \cong} & H_{n-1}(M - \overset{\circ}{V}, M - \overset{\circ}{V} - \partial V) \longrightarrow 0 \\ & & & \swarrow & & & \uparrow \text{excision: } \cong \\ & & & & & & H_{n-1}(U, U - \partial V) \\ & & & & & & \downarrow \partial W \text{ is a s.d.r. of } U \\ R \cong \Gamma(\partial V) & \xleftarrow{\cong} & H_{n-1}(\partial M, \partial M - \partial V) & \xleftarrow{\text{excision: } \cong} & H_{n-1}(\partial W, \partial W - \partial V) & & \end{array}$$

Here  $U = (W - \overset{\circ}{V}) \cap \{x \in \mathbb{R}^n \mid 0 \leq x_n < 1\}$ .

Note the construction of  $\partial_V : \Gamma \overset{\circ}{V} \rightarrow \Gamma(\partial V)$  is compatible:

i.e., let  $x \in U \subset V$ , where  $x \in \partial M$  and  $U$  is a half ball neighborhood of  $x$  contained in  $V$ , then



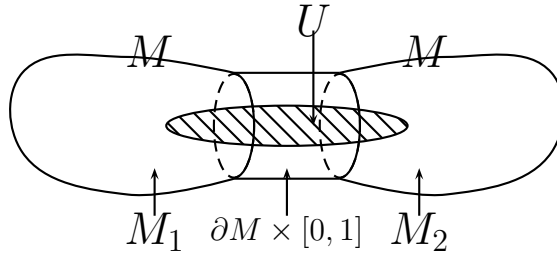
$\Rightarrow$  commutes (다른 면이 모두 commute하므로)

$\therefore$  we have a well-defined map  $\partial : \Gamma M \rightarrow \Gamma(\partial M)$

$\therefore M : R$ -orientable  $\Rightarrow \partial M : R$ -orientable.

## 2. Double of $M$

Consider the double of  $M$ ,  $DM = M_1 \amalg \partial M \times [0, 1] \amalg M_2 / \sim$ , where  $M_1 = M_2 = M$  and  $x \in \partial M_1 \Rightarrow x \sim (x, 0) \in \partial M \times [0, 1]$ ,  $x \in \partial M_2 \Rightarrow x \sim (x, 1) \in \partial M \times [0, 1]$ .

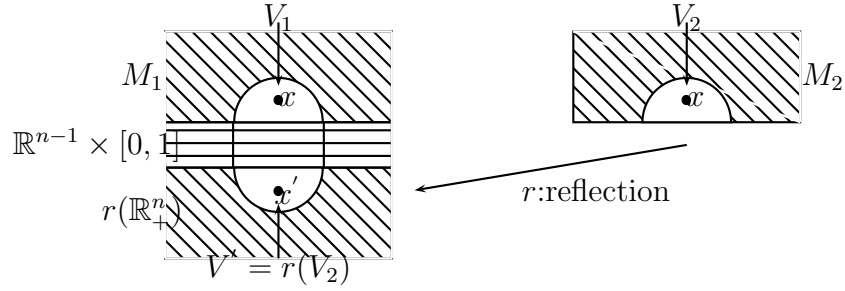


**Note** If  $M$  is  $R$ -orientable,  $DM$  is  $R$ -orientable. (unique orientation compatible with that of  $M$ )

### Proof

$U$ : "coordinate neighborhood across the boundary" can be obtained as follow:

Given  $x \in V \subset M$ ,



$$\begin{aligned}
\Rightarrow H_n(M_2, M_2 - x) &= H_n(V_2, V_2 - x) \xrightarrow[\times(-1)]{r_*} H_n(V', V' - x') \\
&\cong \downarrow & & \cong \downarrow \\
H_{n-1}(S^{n-1}) &\xrightarrow[\deg r = -1]{r_*} H_{n-1}(S^{n-1}) \\
\Rightarrow H_n(M_1, M_1 - x) &\xrightarrow{id.} H_n(DM, DM - x) \xrightarrow{id.} H_n(DM, DM - U) = R \\
& & \nearrow id. & \\
H_n(M_2, M_2 - x) &\xrightarrow[\times(-1)]{id.} H_n(DM, DM - x') \\
\Rightarrow (M_1, s) \text{ and } (M_2, -s) &\text{ can be spliced together to give an orientation of } DM.
\end{aligned}$$

□

### 3. (Fundamental orientation class for $\partial M$ )

Let  $M(\partial M \neq \emptyset)$  be compact,  $R$ -orientable. (i.e.,  $\overset{\circ}{M}$  is  $R$ -orientable.)

$$\begin{array}{ccc}
H_n(M, M - \overset{\circ}{M}) & \xrightarrow{j} & \Gamma \overset{\circ}{M} \\
\cong \downarrow \text{excision} \circlearrowleft & \nearrow j_{\overset{\circ}{M}} & \uparrow r: \text{restriction} \\
H_n(DM, DM - \overset{\circ}{M}) & & \\
i_* \uparrow \cong \circlearrowleft & & \\
H_n(DM, DM - M) & \xrightarrow[\cong]{j_M} & \Gamma M
\end{array}$$

Here  $i_*$  is an isomorphism since  $DM - \overset{\circ}{M}$  and  $DM - M$  are homotopically equivalent to  $M_2$ , and  $j_M$  is isomorphism since  $M$  is compact.

Note that  $r$  is an isomorphism since an orientation on  $\overset{\circ}{M}$  can be extended uniquely on  $DM$ . (**by 2.**)

Hence  $j$  is an isomorphism.

$\therefore$  An orientation of  $\overset{\circ}{M}$ ,  $s \in \Gamma \overset{\circ}{M}$  determines a unique class  $\zeta \in H_n(M, \partial M)$  via  $j(\cong)$ , so that  $\zeta|_{x \in \overset{\circ}{M}} = s(x) \in H_n(\overset{\circ}{M}, \overset{\circ}{M} - x)$ .

Now consider

$$\begin{array}{ccccc}
 & & H_n(M, M - \overset{\circ}{V}) & \xrightarrow{\cong} & \Gamma \overset{\circ}{V} \\
 & \nearrow & \cong \downarrow \text{exc}^{-1} \circ \partial & & \downarrow \cong \partial_V \\
 \zeta \in H_n(M, \partial M) & \xrightarrow{j \cong} & & \xrightarrow{\cong} & \Gamma M \\
 \downarrow \partial & & H_{n-1}(\partial M, \partial M - \partial V) & \xrightarrow{\cong} & \Gamma(\partial V) \\
 & \nearrow & \downarrow \partial & & \downarrow \cong \partial_V \\
 \partial \zeta = \zeta_{\partial M} \in H_{n-1}(\partial M) & \xrightarrow{j \cong} & & \xrightarrow{\cong} & \Gamma(\partial M)
 \end{array}$$

diagram에서 옆면과 위아래면은 commutativity는 자명하고, 뒷면의 commutativity는 1의 내용으로부터 성립한다. 따라서 앞면도 commute하다.( $r$ 을 작용했을 때 일치하면 section의 uniqueness에 의해 일치한다.)

#### 4. Lefschetz Duality

Let  $M$  be compact,  $R$ -orientable manifold with  $\partial M \neq \emptyset$ . Consider

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & H^{q-1}(M) & \longrightarrow & H^{q-1}(\partial M) & \xrightarrow{\delta} & H^q(M, \partial M) & \longrightarrow & H^q(M) & \longrightarrow & \cdots \\
 & & \zeta \downarrow & & (1) \cong, \text{P.D} \downarrow \partial \zeta \cap & & (2) \downarrow \zeta \cap & & (3) \downarrow \zeta & & \\
 \cdots & \longrightarrow & H_{n-q+1}(M, \partial M) & \xrightarrow{\partial} & H_{n-q}(\partial M) & \longrightarrow & H_{n-q}(M) & \longrightarrow & H_{n-q}(M, \partial M) & \longrightarrow & \cdots
 \end{array}$$

,where  $\zeta \in H_n(M, \partial M)$  is the fundamental orientation class.

(1) is commutative up to sign  $(-1)^{q-1}$  : Note that we have the following on the chain level.

$$\partial(\zeta \cap a) = (-1)^{q-1}(\partial \zeta \cap a - \zeta \cap \partial a). \quad (*)$$

$$(2) \partial \zeta \cap a = \zeta \cap \partial a : \quad (*) \text{ on the chain level } \Rightarrow !.$$

(3) Clear.

Show  $\zeta \cap : H^q(M) \rightarrow H_{n-q}(M, \partial M)$  is an isomorphism :

$$H^q(M) = \check{H}^q(M) \xrightarrow{\cong, \text{A.D.}} H_{n-q}(DM, DM - M) \xrightarrow{\text{excision, cf.3}} H_{n-q}(M, \partial M)$$

and note  $\zeta \in H_n(M, \partial M) = \Gamma \overset{\circ}{M}$  is a restriction of  $\zeta_M \in H_n(DM, DM - M)$  and A.D. map is essentially  $\zeta_{M \cap}$ .  
 Now 5-lemma  $\Rightarrow \zeta_{\cap} : H^q(M, \partial M) \rightarrow H_{n-q}(M)$  is also an isomorphism.

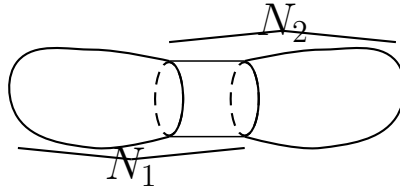
### Application

**5.** Let  $M$  be a compact manifold with  $\partial M$ .

(1)  $\chi(DM) = 2\chi(M) - \chi(\partial M)$ .

(2) ( $M : R$  - orientable  $\Rightarrow$ )  $\chi(\partial M) = \text{even}$ .

**Proof**



Use MV-sequence : " $N_1 \cap N_2 \rightarrow N_1 \oplus N_2 \rightarrow DM$ ".

$\Rightarrow \chi(N_1) + \chi(N_2) = \chi(N_1 \cap N_2) + \chi(DM) \Rightarrow$  (1)

(2)  $n$ : even  $\Rightarrow \dim(\partial M) = \text{odd} \Rightarrow \chi(\partial M) = 0$ .

$n$ : odd  $\Rightarrow \chi(DM) = 0 \Rightarrow \chi(\partial M) = 2\chi(M)$ .

**Example**  $\mathbb{R}P^{2n}(\chi = 1)$ ,  $\mathbb{C}P^{2n}(\chi = 2n + 1)$  and  $\mathbb{H}P^{2n}(\chi = 2n + 1)$  can not be a boundary of some manifolds. □

### 6. (Signature of $M^{4k}$ )

Let  $M^{4k}$  be  $R$ -orientable, closed (and connected).

Consider  $I : H^{2k}(M) \otimes H^{2k}(M) \rightarrow R$

$\Rightarrow I$  is a symmetric bilinear pairing.

Let  $R = \mathbb{R} \Rightarrow I$  is a non-degenerated symmetric bilinear form.

Signature of  $M = \sigma(M) :=$  signature of  $I$ .

### Linear Algebra

Let  $V^n$  be a vector space over  $\mathbb{R}$ .

$b : V \otimes V \rightarrow \mathbb{R}$ , a symmetric bilinear form.

Choose  $e_1 \in V$  such that  $b(e_1, e_1) \neq 0$ . (If not,  $b \equiv 0$ ). May assume  $b(e_1, e_1) = \pm 1$ .

Let  $\epsilon^1 : V \rightarrow \mathbb{R}$ ,  $\epsilon^1(x) = b(x, e_1)$ . Then  $\epsilon^1$  is onto.  $\Rightarrow V_1 = \ker \epsilon^1 = \langle e_1 \rangle^\perp$ .

Apply the same argument to  $V_1$  to get  $e_2$  with  $b(e_2, e_2) = \pm 1$ .

$$\Rightarrow b = \begin{pmatrix} 1 & & & & & & & \\ & \ddots & & & & & & \\ & & 1 & & & & & \\ & & & -1 & & & & \\ & & & & \ddots & & & \\ & & & & & -1 & & \\ & 0 & & & & & 0 & \\ & & & & & & & \ddots \\ & & & & & & & & 0 \end{pmatrix} \quad b_{ij} = b(e_i, e_j)$$

sign  $b := \#$  of positive eigenvalues of  $b - \#$  of negative eigenvalues of  $b = r - s$   
and  $\text{rk } b = r + s$ .

**Witt index** Let  $b$  be a non-degenerated symmetric bilinear form on  $V$ .  
 $\nu(b) :=$  Witt index of  $b = \dim(\text{maximal totally isotropic subspace } U \text{ of } V \text{ i.e., } \forall x \in U \Rightarrow b(x, x) = 0(b|_U \equiv 0))$ .

Then  $\nu(b) = \frac{1}{2}(n - |\text{sign}b|) = \#$  of  $(1, -1)$  pairs in  $\begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & 1 & & \\ & & & -1 & \\ & 0 & & & \ddots & \\ & & & & & -1 \end{pmatrix}$ .

**Proof** Let  $U$  be a maximal totally isotropic subspace and  $\{x_1, \dots, x_\nu\}$  be a basis for  $U$ .

Let  $\alpha_1 : U \rightarrow \mathbb{R}$  be the dual of  $x_1$ , i.e.,  $\alpha_1(x_1) = 1, \alpha_1(x_i) = 0, i \geq 2$  and extend  $\alpha_1 : V \rightarrow \mathbb{R}$  trivially ( $V = U \oplus U'$  and  $\alpha_1(U') = 0$ )

$b$ : non-degenerate  $\Rightarrow \exists y_1 \in V$  such that  $\alpha_1 = b(\cdot, y_1)$  so that  $b(x_1, y_1) = 1, b(x_i, y_1) = 0, i \geq 2$  and may assume  $b(y_1, y_1) = 0$ .

$(b(y_1 + ax_1, y_1 + ax_1) = b(y_1, y_1) + 2ab(x_1, y_1) + a^2b(x_1, x_1) = b(y_1, y_1) + 2a$  and let  $a = -\frac{1}{2}b(y_1, y_1)$ ).

Let  $H_1 = \text{span}\{x_1, y_1\}$  and consider  $\theta_1 : V \rightarrow \mathbb{R}^2$  given by  $\theta_1(v) = (b(v, x_1), b(v, y_1))$ .  
Then  $\theta_1(x_1) = (0, 1), \theta_1(y_1) = (1, 0)$ , so  $\ker \theta_1 = H_1^\perp$  and  $\{x_2, \dots, x_\nu\} \subset \ker \theta_1$ .

Apply the same argument to  $x_2$  in  $H_1^\perp$ , etc., finally to get  $V = H_1 \oplus \dots \oplus H_\nu \oplus W$  (orthogonal direct sum) for some  $W$ .

Now  $W$  does not have an isotropic vector by the maximality of  $U$ .

$\Rightarrow b|_W$  is definite and  $b|_{H_i} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  with respect to  $\{\frac{x_i+y_i}{\sqrt{2}}, \frac{x_i-y_i}{\sqrt{2}}\}$ .  $\square$

**정리 1** Let  $M^{4k+1}$  be compact and  $\mathbb{R}$ -orientable. Then

(1)  $\dim H_{2k}(\partial M) = 2 \dim(\text{im } j_*) = 2 \dim(\ker j_*) = 2 \dim(\text{im } \tilde{j}^*)$ , where  $j_* : H_{2k}(\partial M) \rightarrow H_{2k}(M)$ .

(2)  $\sigma(\partial M) = 0$ .

**Proof**

$$\begin{array}{ccccc} H_{2k+1}(M, \partial M) & \xleftarrow[\text{L.D.}]{\cong} & H^{2k}(M) & \xrightarrow{\cong} & \text{Hom}(H_{2k}(M), \mathbb{R}) \\ \partial \downarrow & \circlearrowleft & \downarrow j_* & \circlearrowleft & \downarrow \tilde{j}^* \\ H_{2k}(\partial M) & \xleftarrow[\text{P.D.}]{\cong} & H^{2k}(\partial M) & \xrightarrow{\cong} & \text{Hom}(H_{2k}(\partial M), \mathbb{R}) \\ & & \downarrow j_* & & \\ & & H_{2k}(M) & & \end{array}$$

$$\dim H_{2k}(\partial M) = \dim H^{2k}(\partial M)$$

$$\dim(\text{im } j^*) = \dim(\text{im } \partial) = \dim(\ker j_*) \text{ and}$$

$$\dim(\text{im } \tilde{j}^*) = \dim(\text{im } j^*)$$

$$\therefore \dim H_{2k}(\partial M) = \dim(\text{im } j_*) + \dim(\ker j_*) = 2 \dim(\text{im } j_*) = 2 \dim(\text{im } \tilde{j}^*)$$

Now note  $I \equiv 0$  on  $\text{im } j^* \subset H^{2k}(\partial M)$  since

$$I(j^*a, j^*b) = \langle \zeta_{\partial M}, j^*a \cup j^*b \rangle = \langle \zeta_{\partial M}, j^*(a \cup b) \rangle = \langle j_* \zeta_{\partial M}, a \cup b \rangle = \langle j_* \partial \zeta, a \cup b \rangle = 0$$

$$\text{Hence } \nu(I) = \frac{1}{2} \dim H^{2k} \Rightarrow \sigma(\partial M) = \sigma(I) = 0.$$

$\square$

**Cobordism theory Reference**

Milnor, "Characteristic classes"

Stong, "Cobordism theory"