

## 1 A brief introduction to Volume conjecture

## 2 Linear Fractional Transformation and 2-dimensional hyperbolic geometry

### 2.1 Linear Fractional Transformation (LFT)

A linear fractional transformation (or Möbius transformation) is of the form

$$f(z) = \frac{az + b}{cz + d} : \hat{\mathbb{C}} \longrightarrow \hat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$$

where  $a, b, c, d \in \mathbb{C}$  satisfying  $ad - bc \neq 0$ .

Let  $M^+$  be the set of LFT's and define  $\phi : \text{GL}(2, \mathbb{C}) \longrightarrow M^+$  by

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \longmapsto f : z \mapsto \frac{az + b}{cz + d}$$

Since  $\ker \phi = \left\{ \lambda I = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} \mid \lambda \in \mathbb{C} \right\}$ ,  $M^+ \cong \text{PGL}(2, \mathbb{C}) = \text{GL}(2, \mathbb{C}) / \{\lambda I\}$ .

Remark  $f$  is a projective transformation:

$(\phi, p) : (\text{GL}(2, \mathbb{C}), \mathbb{C}^2) \longrightarrow (M^+ = \text{PGL}(2, \mathbb{C}), \mathbb{C}P^1 = \hat{\mathbb{C}})$  is an equivariant map, i.e., for  $A \in \text{GL}(2, \mathbb{C})$ ,  $p \circ A = \phi(A) \circ p$ , and  $\phi(A) = f$  is a projective transformation induced by the linear map  $A$ .

.

Fig.1

.

In an affine chart of  $\mathbb{C}P^1 = \hat{\mathbb{C}}$  given by  $z_2 = 1$  for  $(z_1, z_2) \in \mathbb{C}^2$ , we see that

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z \\ 1 \end{pmatrix} = \begin{pmatrix} az + b \\ cz + d \end{pmatrix} \sim \begin{pmatrix} \frac{az+b}{cz+d} \\ 1 \end{pmatrix}$$

Alternatively, we can use  $\text{SL}(2, \mathbb{C})$ , i.e., if we define  $\phi : \text{SL}(2, \mathbb{C}) \longrightarrow M^+$  in the same way, then  $\ker \phi = \{\pm I\}$  and  $M^+ \cong \text{PSL}(2, \mathbb{C}) = \text{SL}(2, \mathbb{C}) / \{\pm I\}$

### 2.2 Geometry

$M^+$  is generated by

- ①  $z \mapsto z + a$  translation
- ②  $z \mapsto \lambda z$  homothety (rotation, when  $|\lambda| = 1$ )
- ③  $z \mapsto \frac{1}{z}$  inversion (orientation preserving)

Note that  $z^* = \frac{1}{\bar{z}}$  is a symmetric point of  $z$  with respect to the unit circle  $|z| = 1$ .

FIG.2

More generally,  $J_{s(a,r)}: z \mapsto \frac{r^2}{z-a} + a$

*Exercise.* Show that  $g \in M^+$  maps circles to circles.

### 2.3 Cross Ratio

Let  $[z_1, z_2, z_3, z_4] := \frac{(z_1 - z_3)(z_2 - z_4)}{(z_1 - z_2)(z_3 - z_4)}$ . Then we have

1)  $[1, 0, \infty, z] = z$ .

2)  $g(z) := [z_1, z_2, z_3, z] = \frac{(z_1 - z_3)(z_2 - z)}{(z_1 - z_2)(z_3 - z)}$   
 $\Rightarrow g(z_1) = 1, g(z_2) = 0, g(z_3) = \infty$ .

3)  $\forall g \in M^+$  preserves cross ratio:

$$\begin{aligned} \because g(z) - g(w) &= \frac{az + b}{cz + d} - \frac{aw + b}{cw + d} = \frac{(ad - bc)(z - w)}{(cz + d)(cw + d)} \\ &= \frac{(ad - bc)(z_1 - z_3)}{(cz_1 + d)(cz_3 + d)} \frac{(ad - bc)(z_2 - z_4)}{(cz_2 + d)(cz_4 + d)} \\ [g(z_1), g(z_2), g(z_3), g(z_4)] &= \frac{(cz_1 + d)(cz_3 + d)}{(ad - bc)(z_1 - z_2)} \frac{(cz_2 + d)(cz_4 + d)}{(ad - bc)(z_3 - z_4)} = [z_1, z_2, z_3, z_4]. \end{aligned}$$

4) For each distinct points  $z_1, z_2, z_3$ , and  $w_1, w_2, w_3$  respectively, there is a unique  $g \in M^+$  such that  $g(z_i) = w_i$ :

$\because$  By 2),  $\exists g_1, g_2 \in M^+$  such that  $g_1(z_1) = g_2(w_1) = 1, g_1(z_2) = g_2(w_2) = 0$ , and  $g_1(z_3) = g_2(w_3) = \infty$ . Then take  $g_2^{-1} \circ g_1$ .

5) Other possibilities of defining cross ratio:

This problem essentially reduces to a permutation problem. And under permutation, there are 6 different cross ratios up to sign, namely,  $\lambda, 1-\lambda, \frac{\lambda}{\lambda-1}, \frac{1}{\lambda}, \frac{1}{1-\lambda}, \frac{\lambda-1}{\lambda}$ ,

and hence 3  $(\lambda, \lambda' = \frac{1}{1-\lambda}, \lambda'' = \frac{\lambda-1}{\lambda})$  up to sign and their inverses. (Exercise)

Later we will use Neumann's convention of cross ratio given by  $[z_1, z_2, z_3, z_4] := \frac{(z_1 - z_4)(z_2 - z_3)}{(z_1 - z_3)(z_2 - z_4)} =: \lambda$ . In this case we have

$$[2, 1, 3, 4] = [1, 2, 4, 3] = \frac{1}{\lambda}$$

$$[3, 2, 1, 4] = [1, 4, 3, 2] = \frac{1}{\lambda'}$$

$$[4, 2, 3, 1] = [1, 3, 2, 4] = \frac{1}{\lambda''}$$

Hence  $[1, 2, 3, 4] = [2, 1, 4, 3] = [3, 4, 1, 2] = [4, 3, 2, 1]$ , and we have 6 different permutation values out of  $4! = 24$  permutations.

**Proposition 2.3.1.**  $M^+ = \text{Aut}(\hat{\mathbb{C}})$

*Proof.* (⊂) Trivial

(⊃) For  $g \in \text{Aut}(\hat{\mathbb{C}})$ , we may assume  $g(0) = 0$  and  $g(\infty) = \infty$  by composing a suitable LFT. Then  $h(z) = \frac{g(z)}{z}$  is a holomorphic function with  $h(0) \neq \infty$  and  $h(\infty) \neq \infty$ . Since  $\hat{\mathbb{C}}$  is compact,  $h : \hat{\mathbb{C}} \rightarrow \mathbb{C}$  is bounded and hence constant by Liouville's theorem.  $\square$

## 2.4 Poincaré Upper Half Plane and Disk

We shall first find the automorphism group of the upper half plane  $\mathbb{H}^2 = \{z \in \mathbb{C} \mid \text{Im}z > 0\}$  and the unit disk  $D = \{z \in \mathbb{C} \mid |z| < 1\}$ .

**Proposition 2.4.1.**  $f \in M^+$  acts on  $\mathbb{H}^2$  if and only if  $f \in \text{PSL}(2, \mathbb{R}) = \text{SL}(2, \mathbb{R})/\{\pm I\}$

*Proof.* ( $\Rightarrow$ ) Suppose that  $f$  maps  $p, q, r \in \mathbb{R}$  to  $1, 0, \infty$  respectively. Then  $f(z) = [1, 0, \infty, f(z)] = [p, q, r, z]$  and hence  $f$  has a real representative.

( $\Leftarrow$ ) Obviously  $f$  sends  $\mathbb{R}$  to  $\mathbb{R}$  and hence a half plane to a half plane. By direct computation,  $f(z) - \overline{f(z)} = \frac{z - \bar{z}}{|cz + d|^2}$ . (We shall use this result later again.) Therefore,  $f$  maps  $\mathbb{H}^2$  to itself.  $\square$

**Proposition 2.4.2.**  $\mathbb{H}^2 \cong D$

*Proof.*  $\phi(z) = -i \frac{z+i}{z-i}$  maps  $D$  onto  $\mathbb{H}^2$ , which is called a Cayley transformation. Note that  $\phi$  maps  $-i, 0, i, \text{and } 1$  to  $0, i, \infty, \text{and } 1$  respectively.  $\square$

FIG.3

**Proposition 2.4.3.**  $\text{Aut}(\mathbb{H}^2) = \text{PSL}(2, \mathbb{R})$

*Proof.* (⊃) Proposition 2.4.1.

(⊂) Let  $g \in \text{Aut}(\mathbb{H}^2)$  and may assume  $g(i) = i$  using a suitable homothety and a translation in  $\text{PSL}(2, \mathbb{R})$ . Then  $\tilde{g} = \phi^{-1} \circ g \circ \phi$  maps  $D$  to itself and  $\tilde{g}(0) = 0$ . By the Schwarz lemma,  $|\tilde{g}(z)| \leq |z|$ . Actually,  $|\tilde{g}(z)| = |z|$  since  $\tilde{g}^{-1}$  satisfies the same condition. Thus  $\tilde{g}$  is a rotation, which is an LFT, and so is  $g$ .  $\square$

**Corollary 2.4.1.**  $\text{Aut}(\mathbb{D}) = \left\{ \frac{az + b}{\bar{b}z + \bar{a}} \mid a, b \in \mathbb{C} \text{ with } |a|^2 - |b|^2 = 1 \right\}$   
 $= \left\{ e^{i\theta} \frac{z - a}{1 - \bar{a}z} \mid a \in \mathbb{D} \right\}.$

*Proof.* Exercise. (Use for instance  $|f(z)| = 1$  for  $|z| = 1$ , and let  $\frac{a}{\bar{a}} = e^{i\theta}$  for the second equality.)  $\square$

Remark

① From the corollary we see that the isotropy subgroup of  $\text{Aut}(\mathbb{D})$  at 0 is isomorphic to  $\text{SO}(2) \cong S^1$ .

②  $\text{PSL}(2, \mathbb{R})$  is a three dimensional Lie group.

**Poincaré metric**

If  $w = f(z) = \frac{az + b}{cz + d} \in \text{PSL}(2, \mathbb{R})$ , then  $\text{Im}w = \frac{\text{Im}z}{|cz + d|^2}$  and  $\frac{dw}{dz} = \frac{1}{(cz + d)^2}$ .

Hence  $\frac{|dw|}{\text{Im}w} = \frac{|dz|}{\text{Im}z}$  is an invariant metric, which is called the Poincaré metric.

If we write  $z = x + iy$  and  $|dz| = \sqrt{dx^2 + dy^2} = ds_0$ , then the Poincaré metric can be expressed as  $ds := \frac{ds_0}{y}$ . Hence the length of a curve  $\gamma$ ,  $l(\gamma) := \int_{\gamma} ds =$

$$\int_{\gamma} \frac{|z'(t)|}{\text{Im}z} dt \text{ is invariant under } g \in \text{PSL}(2, \mathbb{R}).$$

Remark The invariance of the Poincaré metric can also be derived from the cross ratio  $[z, \bar{z}, w, \bar{w}] = \frac{|z - w|^2}{-4\text{Im}z\text{Im}w}$  by considering  $w = z + dz$ .

*Exercise.* Show that, on  $\mathbb{D}$ , the Poincaré metric is given by  $\phi^* ds = \frac{2|dz|}{1 - |z|^2}$  both by computing a pull back metric and by using cross ratio.

**Isometry Group**

**Proposition 2.4.4.**  $\text{PSL}(2, \mathbb{R}) = \text{Isom}^+(\mathbb{H}^2)$

*Proof.* (⊂) Clear.

(⊃) An isometry is a conformal map, and an orientation preserving conformal map is complex analytic.  $\square$

If  $J$  is an orientation reversing isometry, e.g., a reflection with respect to the imaginary axis, then  $J\text{Isom}^-(\mathbb{H}^2) = \text{Isom}(\mathbb{H}^2)$ , and

$$\text{Isom}(\mathbb{H}^2) = \text{Isom}^+(\mathbb{H}^2) \amalg J\text{Isom}^+(\mathbb{H}^2).$$

*Exercise.* Show that the sectional curvature of  $\mathbb{H}^2$  is constant  $-1$ .

Note By virtue of prop 2.4.4, we can view a complex analysis problem as a geometry problem and vice versa.