

\*  $\mathfrak{g}$  is a Lie algebra if

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①  $\mathfrak{g}$  is a vector space endowed with bilinear form

$$[\cdot, \cdot]: \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$$
$$(x, y) \mapsto [x, y]$$

which is called bracket satisfying

①  $[x, x] = 0, \forall x \in \mathfrak{g}$

②  $[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0, \forall x, y, z \in \mathfrak{g}$  [Jacobi's identity]

Example ①  $A$ : an associative algebra w/ multiplication  $*$ .

$\Rightarrow A$  has a Lie structure via  $[x, y] := x * y - y * x$ .

②  $V$ : a vector space,  $A = \text{End}(V)$  is an associative alg w/  $\circ$ .

$\Rightarrow \mathfrak{gl}(V) := (\text{End}(V), [\cdot, \cdot])$ : the general linear algebra

If  $V = \mathbb{C}^n$ , we write  $\mathfrak{gl}_n$  or  $\mathfrak{gl}(n, \mathbb{C})$ , etc.

\*  $\mathfrak{p} \subset \mathfrak{g}$  is called a Lie subalgebra if it is a subspace of  $\mathfrak{g}$  and  $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{p}$ , i.e.  $[x, y] \in \mathfrak{p}, \forall x, y \in \mathfrak{p}$

Example  $\mathfrak{sl}(V) = \{x \in \mathfrak{gl}(V); \text{tr}(x) = 0\} \subset \mathfrak{gl}(V)$

is a subspace clearly.

And  $\text{tr}([u, v]) = \text{tr}(u \circ v - v \circ u) = 0, \forall u, v \in \mathfrak{gl}(V)$ .

Moreover,  $[\mathfrak{sl}(V), \mathfrak{sl}(V)] \subset \mathfrak{sl}(V)$ .

\* A subalgebra  $\sigma \subset \mathfrak{g}$  is called an ideal if

$$[\sigma, \mathfrak{g}] \subset \sigma, \text{ that is, } [a, x] \in \sigma \text{ for all } a \in \sigma, x \in \mathfrak{g}.$$

Example  $\mathfrak{sl}(V)$  is an ideal of  $\mathfrak{gl}(V)$ .

\*  $\mathfrak{g}$  is called a simple Lie algebra if  $\mathfrak{g}$  has only trivial ideals  $0$  and  $\mathfrak{g}$  and  $\mathfrak{g}$  is Not abelian, that is,  $[x, y] \neq 0$  for some  $x, y \in \mathfrak{g}$ .

\*  $\phi: \mathfrak{g} \rightarrow \mathfrak{g}'$  is a Lie alg homomorphism if

①  $\phi$  is a linear map,

$$\text{② } \phi([x, y]) = [\phi(x), \phi(y)], \quad \forall x, y \in \mathfrak{g}.$$

Example For a Lie algebra  $\mathfrak{g}$ ,  $\mathfrak{gl}(\mathfrak{g})$  is well-defined.

For  $x \in \mathfrak{g}$ , define  $\text{ad}(x): \mathfrak{g} \rightarrow \mathfrak{g}$  by  
 $y \mapsto [x, y]$ .

Then  $\text{ad}(x)$  is a linear map, i.e.  $\text{ad}(x) \in \mathfrak{gl}(\mathfrak{g})$ .

Define  $\text{ad}: \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$  a (well-defined) map.  
 $x \mapsto \text{ad}(x)$

Then  $\text{ad}$  is a linear map also. And we have

$$\text{ad}([x, y]) = [\text{ad}(x), \text{ad}(y)]$$

since 
$$\begin{cases} \text{ad}([x, y])(z) = [[x, y], z] = -[z, [x, y]] = [x, [y, z]] + [y, [z, x]] \\ [\text{ad} x, \text{ad} y](z) = \text{ad} x \circ \text{ad} y(z) - \text{ad} y \circ \text{ad} x(z) = [x, [y, z]] - [y, [x, z]] \end{cases}$$

\* Let  $\mathfrak{g}$  be a Lie algebra,  $V$  a vector space.

A Lie alg hom  $\phi: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  is called a representation. We say  $V$  is a representation, or just say  $V$  is a  $\mathfrak{g}$ -module.

Example 1  $\mathfrak{g}$  is a  $\mathfrak{g}$ -module via ad-representation.

② For  $\mathfrak{g} = \mathfrak{sl}(V)$ , we have inclusion  $i: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ .

Then it is clearly a Lie alg hom.

Hence  $V$  is a  $\mathfrak{sl}(V)$ -module.

$$\cdot: \mathfrak{g} \times V \rightarrow V$$

\* We denote bilinear  $x \cdot v = \phi(x)(v)$  for  $x \in \mathfrak{g}$ ,  $v \in V$ ,  $\phi: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ .

Then  $\phi$  is a representation

$$\Leftrightarrow x \cdot (y \cdot v) - y \cdot (x \cdot v) = [x, y] \cdot v$$

for all  $x, y \in \mathfrak{g}$  and  $v \in V$ .

Example 1  $\text{ad}: \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$  induces  $\mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$   
 $(x, y) \mapsto [x, y]$

②  $i: \mathfrak{sl}(V) \rightarrow \mathfrak{gl}(V)$  induces  $\mathfrak{sl}(V) \times V \rightarrow V$   
 $(x, v) \mapsto x \cdot v$

which is just a matrix multiplication.

\* For representations  $V^\phi$  and  $W^\psi$ , we define

① dual representation :  $\phi^* : \mathfrak{g} \rightarrow \mathfrak{gl}(V^*)$

$$(x \cdot f)(v) := -f(x \cdot v), \begin{cases} x \in \mathfrak{g} \\ f \in V^* \\ v \in V \end{cases}$$

② direct sum :  $\phi \oplus \psi : \mathfrak{g} \rightarrow \mathfrak{gl}(V \oplus W)$

$$x \cdot (v + w) := x \cdot v + x \cdot w$$

③ tensor product :  $\phi \otimes \psi : \mathfrak{g} \rightarrow \mathfrak{gl}(V \otimes W)$

$$x \cdot (v \otimes w) := x \cdot v \otimes w + v \otimes x \cdot w \quad (v \in V, w \in W)$$

④ Hom space :  $\text{Hom}(\phi, \psi) : \mathfrak{g} \rightarrow \mathfrak{gl}(\text{Hom}(V, W))$

$$(x \cdot f)(v) := x \cdot (f(v)) - f(x \cdot v), \begin{cases} x \in \mathfrak{g} \\ f \in \text{Hom}(V, W) \\ v \in V \end{cases}$$

~~Note  $V^* \otimes W = \text{Hom}(V, W)$~~

~~$f \otimes w \iff (f \otimes w)(v) := f(v)w$  rank 1 operator~~

~~gives a mo~~

\* For representations  $V, W$ ; a linear map  $f: V \rightarrow W$  is a  $\mathfrak{g}$ -module map if

$$f(x \cdot v) = x \cdot (f(v)) \quad \text{for all } x \in \mathfrak{g}, v \in V.$$

Or we call a Lie-homomorphism.

\* We have notions of mono-, epi-, isom-.

\*  $\phi: \mathfrak{g} \rightarrow \mathcal{L}(V)$  a repn.

A subspace  $W$  of  $V$  is called a submodule if  
 $x \cdot w \in W$  for all  $x \in \mathfrak{g}, w \in W$

Example  $\text{ad}: \mathfrak{g} \rightarrow \mathcal{L}(\mathfrak{g})$

A subspace  $\mathcal{P}$  of  $\mathfrak{g}$  is an  $\text{ad}$ -submodule if  
 $[\mathfrak{x}, \mathfrak{w}] \in \mathcal{P}$  for all  $\mathfrak{x} \in \mathfrak{g}, \mathfrak{w} \in \mathcal{P}$ ,  
 that is,  $\mathcal{P}$  is an ideal of  $\mathfrak{g}$ .

\*  $f: V \rightarrow W$   $\mathfrak{g}$ -module map.

- ①  $\text{Ker } f$  is a submodule of  $V$ ,
- ②  $\text{Im } f$  is a submodule of  $W$ .

\* A repn  $V$  is called irreducible if  
 $V$  has no nontrivial submodules (say,  $\{0\}$  and  $V$ ).

Example  $\mathfrak{g}$ -module  $\mathfrak{g}$  is irreducible if and only if  
 it is a simple Lie algebra.

(If  $\mathfrak{g}$  is NOT abelian)

Note  $\mathfrak{g}$ : abelian  $\Leftrightarrow \text{ad}$  is a zero map.

Lemma [Schur]  $\mathfrak{g}$ : Lie alg,  $V$ : repn

$V$ : irreducible,  $f: V \rightarrow V$   $\mathfrak{g}$ -module map.

$\Rightarrow f = c \cdot \text{id}_V$  for some scalar  $c \in \mathbb{C}$ .

<pf> choose eigen-value  $\lambda \in \mathbb{C}$  of  $f$ .

$\Rightarrow g = f - c \cdot \text{id}_V: V \rightarrow V$  also a  $\mathfrak{g}$ -module map

$\text{Ker}(g) \leq V$ ,  $\text{Im}(g) \leq V$ .

Since  $V$  is irreducible and  $\text{Ker}(g) \neq 0$ , we must obtain

$\text{Ker } g = V$ ,  $\text{Im}(g) = 0$ , i.e.  $g = 0$ , equivalently,  $f = c \cdot \text{id}_V$   $\square$

Theorem [Weyl]  $\mathfrak{g}$ : (f.d.) Lie alg,  $V$ : (f.d.) repn

$\Rightarrow V$  is isomorphic to a direct sum of its irreducible submodules.

\*  $\phi: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  repn.  $\Rightarrow$  trace form of  $\phi$  is defined by

$$\text{tr}_\phi: \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{C}, \quad \text{tr}_\phi(x, y) = \text{tr}(\phi(x) \circ \phi(y)).$$

$\Rightarrow$  ① bilinear, symmetric form

② invariant in the sense that  $\text{tr}_\phi([x, y], z) = \text{tr}_\phi(x, [y, z])$

$\cdot$   $\text{tr}_{\text{ad}}(x, y)$  is called the Killing form  $\kappa$  of  $\mathfrak{g}$ , that is,

$$\kappa(x, y) = \text{tr}(\text{ad } x \circ \text{ad } y)$$

\*  $\mathfrak{g} = \mathfrak{sl}(2) = \mathfrak{sl}_2$  has three basis

$$H = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}, \quad E = \begin{pmatrix} & 1 \\ & \end{pmatrix}, \quad F = \begin{pmatrix} & \\ 1 & \end{pmatrix}.$$

We have presentation

$$\mathfrak{g} = \langle H, E, F; [H, E] = 2E, [H, F] = -2F, [E, F] = H \rangle.$$

We fix an order of  $\mathfrak{g}$ :  $E, H, F$

\*  $U(\mathfrak{sl}_2)$  is the associative algebra

generated by  $1, H, E, F$  subjecting to the relations

$$H \cdot E - E \cdot H = 2E, \quad H \cdot F - F \cdot H = -2F, \quad E \cdot F - F \cdot E = H$$

Note ①  $H \cdot E \notin \mathfrak{sl}_2$ , ~~is gen~~

② We can define  $U(\mathfrak{g})$  for general Lie alg  $\mathfrak{g}$ .

(or construct using ~~the~~ <sup>some</sup> universal property)

③  $U(\mathfrak{g})$ -module  $\Leftrightarrow \mathfrak{g}$ -module

Thm [Poincare - Birkhoff - Witt]

$$U(\mathfrak{sl}_2) \text{ has basis } \{E^l H^m F^n; l, m, n \in \mathbb{Z}_{\geq 0}\}$$

↑  $\exists$  more general thm.

Thm  $U(\mathfrak{sl}_2)$  is a Hopf algebra  $\Rightarrow$

$$\begin{cases} \Delta(x) = x \otimes 1 + 1 \otimes x, & (x \in \mathfrak{sl}_2) & (\Delta(1) = 1 \otimes 1) \\ \varepsilon(x) = 0, & (x \in \mathfrak{sl}_2) & (\varepsilon(1) = 1) \\ S(x) = -x & & (S(1) = 1) \end{cases}$$

<PB> It is well-defined, i.e.

~~$$\begin{aligned} \Delta(H \cdot E - E \cdot H) &\stackrel{?}{=} \Delta(H) \Delta(E) - \Delta(E) \Delta(H) \\ &= (H \otimes 1 + 1 \otimes H) \cdot (E \otimes 1 + 1 \otimes E) - (E \otimes 1 + 1 \otimes E) \cdot (H \otimes 1 + 1 \otimes H) \\ &= (HE - EH) \otimes 1 + 1 \otimes (HE - EH) \quad \square \end{aligned}$$~~

e.g. 
$$\begin{aligned} \Delta([x, y]) &\stackrel{?}{=} \Delta(x \cdot y - y \cdot x) \\ \parallel &\qquad \qquad \qquad \parallel \\ [x, y] \otimes 1 + 1 \otimes [x, y] &\qquad \qquad \Delta(x) \Delta(y) - \Delta(y) \Delta(x) \end{aligned}$$

① 
$$\begin{cases} (id \otimes \Delta) \circ \Delta(x) \\ (\Delta \otimes id) \circ \Delta(x) \end{cases} = (id \otimes \Delta)(x \otimes 1 + 1 \otimes x) = x \otimes \Delta(1) + 1 \otimes \Delta(x) = x \otimes 1 \otimes 1 + 1 \otimes x \otimes 1 + 1 \otimes 1 \otimes x$$

② 
$$\begin{cases} (\varepsilon \otimes id) \circ \Delta(x) \\ \parallel \\ x \end{cases} = (\varepsilon \otimes id)(x \otimes 1 + 1 \otimes x) = 0 \otimes 1 + 1 \otimes x \longrightarrow 1 \otimes x$$

③  $(id \otimes \varepsilon) \circ \Delta(x) = x \otimes 1$

④ 
$$\begin{aligned} m_0(S \otimes id) \circ \Delta(x) &= m_0(S \otimes id)(x \otimes 1 + 1 \otimes x) = m_0(-x \otimes 1 + 1 \otimes x) \\ &= -x \cdot 1 + 1 \cdot x = 0 = (i_0 \varepsilon)(x) \end{aligned}$$

⑤  $m_0(id \otimes S) \circ \Delta(x) = (i_0 \varepsilon)(x)$



~~For  $x=1$ , we can check them trivially.~~

Def  $U_q(\mathfrak{sl}_2)$  is an associative alg w generators

$1, K^{\pm 1}, E, F$  subjected to the relations

$$\left\{ \begin{array}{l} K^{+1} \cdot K^{-1} = K^{-1} \cdot K^{+1} = 1 \\ K \cdot E = q E \cdot K, \quad K \cdot F = q^{-1} F \cdot K \\ E \cdot F - F \cdot E = \frac{K - K^{-1}}{q^{1/2} - q^{-1/2}} \end{array} \right.$$

\* In Lie theory, we usually use  $\mathfrak{g} \rightsquigarrow \mathfrak{g}^2$  version.

Thm  $U_q(\mathfrak{sl}_2)$  is a Hopf algebra via

$$\left\{ \begin{array}{l} \Delta(K^{\pm}) = K^{\pm} \otimes K^{\pm} \\ \Delta(E) = 1 \otimes E + E \otimes K \\ \Delta(F) = F \otimes 1 + K^{-1} \otimes F \end{array} \right. \left\{ \begin{array}{l} \varepsilon(K^{\pm}) = 1 \\ \varepsilon(E) = 0 \\ \varepsilon(F) = 0 \end{array} \right. \left\{ \begin{array}{l} S(K^{\pm}) = K^{\mp} \\ S(E) = -EK^{-1} \\ S(F) = -KF \end{array} \right.$$

Rmk We regard  $\begin{cases} q = e^{\hbar} \\ K = q^{H/2} \end{cases}$ . (Recall  $H \cdot E = E \cdot (H+2)$ )

$$\begin{aligned} K \cdot E &= q^{H/2} \cdot E = \sum_n \frac{1}{n!} \left(\frac{\hbar}{2} H\right)^n \cdot E = \sum_n \frac{1}{n!} \left(\frac{\hbar}{2}\right)^n \cdot \underbrace{H^n \cdot E} \\ &= \sum_n \frac{1}{n!} \left(\frac{\hbar}{2}\right)^n \cdot E (H+2)^n = E \cdot q^{(H+2)/2} = E \cdot K \cdot q' = qEK. \end{aligned}$$

\* Representation Theory of  $\mathfrak{sl}_2$ , i.e. of  $U(\mathfrak{sl}_2)$ .

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Let  $\rho = \rho_V : \mathfrak{sl}_2 \rightarrow \mathfrak{gl}(V)$  be a f.d. Repn.

Think  $\rho(H) : V \rightarrow V$   
 $v \mapsto H \cdot v$

**Prop**  $V = \bigoplus_{\lambda \in \mathbb{C}} V_\lambda$  where  $V_\lambda = \{v \in V ; H \cdot v = \lambda \cdot v\}$ .

~~Choose  $v_\lambda \in V_\lambda$  such that~~

**Lem**  $v \in V_\lambda \Rightarrow E \cdot v \in V_{\lambda+2}, F \cdot v \in V_{\lambda-2}$ .

<pf> 
$$\begin{aligned} H \cdot (E \cdot v) &= E \cdot H \cdot v + [H, E] \cdot v \\ &= E(\lambda \cdot v) + 2E \cdot v = (\lambda+2)E \cdot v \end{aligned}$$

\* Now choose  $v_\lambda \in V_\lambda \setminus \{0\}$  such that  $E \cdot v_\lambda = 0$ .

Define  $w_k = \frac{1}{k!} F^k \cdot v_\lambda$ , (i.e.  $w_0 = v_\lambda$ )

**Lemma** ①  $H \cdot w_k = (\lambda - 2k) w_k$

②  $F \cdot w_k = (k+1) w_{k+1}$

③  $E \cdot w_k = (\lambda - k + 1) w_{k-1}$



Thm  $V(m) = V_{m+1}$  exhausts the mod reps of  $U(\mathfrak{sl}_2)$ . [12]

Thm The following correspondence gives f.d. reps  $V_q(m) = V_{m+1}^q$

$$K \Leftrightarrow \begin{pmatrix} q^{m/2} & & & \\ & q^{m/2-1} & & \\ & & \ddots & \\ & & & q^{-m/2} \end{pmatrix} \quad F \Leftrightarrow \begin{pmatrix} 0 & & & \\ [1] & 0 & & \\ & [2] & & \\ & & \ddots & \\ & & & [m] & 0 \end{pmatrix}$$

$$E \Leftrightarrow \begin{pmatrix} 0 & [m] & & \\ & 0 & & \\ & & \ddots & \\ & & & [1] & \\ & & & & 0 \end{pmatrix}$$

where  $[m] = \frac{q^{m/2} - q^{-m/2}}{q^{1/2} - q^{-1/2}}$ , the <sup>modified</sup> quantum integers.

e.g.  $\mathbb{Z} \cdot K \cdot E = \begin{pmatrix} q^{\frac{m}{2}} & & & \\ & q^{\frac{m-2}{2}} & & \\ & & \ddots & \\ & & & q^{-\frac{m}{2}} \end{pmatrix} \left| \begin{pmatrix} 0 & [m] & & \\ & 0 & & \\ & & \ddots & \\ & & & [1] & \\ & & & & 0 \end{pmatrix} \right.$

$$= \begin{pmatrix} 0 & q^{\frac{m}{2}} [m] & & \\ & 0 & q^{\frac{m-2}{2}} [m-1] & \\ & & \ddots & \\ & & & q^{-\frac{m-2}{2}} [1] & \\ & & & & 0 \end{pmatrix}$$

$$q \cdot E \cdot K = q \begin{pmatrix} 0 & [m] & & \\ & 0 & & \\ & & \ddots & \\ & & & [1] & \\ & & & & 0 \end{pmatrix} \begin{pmatrix} q^{\frac{m+2}{2}} & & & \\ & q^{\frac{m}{2}} & & \\ & & \ddots & \\ & & & q^{-\frac{m-2}{2}} \end{pmatrix}$$

$$= \begin{pmatrix} 0 & [m] q^{\frac{m}{2}} & & \\ & 0 & & \\ & & \ddots & \\ & & & [1] \cdot q^{-\frac{m-2}{2}} & \\ & & & & 0 \end{pmatrix}$$